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A post-incorporation study on the use of palivizumab in the Brazilian public health system

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ABSTRACT

Respiratory syncytial virus (RSV) is the main cause of lower respiratory disease in infants and children under five years of age. As there is no specific treatment for RSV infections, prophylaxis with the specific monoclonal antibody palivizumab (PVZ) has been widely recommended for high-risk cases during the RSV season. The present study aimed to evaluate the effectiveness of a public prophylaxis program with palivizumab on the incidence of hospitalizations for lower respiratory tract infections and RSV in children at high risk for severe RSV infections. A retrospective cohort study was carried out with preterm children or children under two years of age with chronic lung disease or hemodynamically significant congenital heart disease; the children were selected on the basis of their exposure status, which was defined as the prophylactic use of palivizumab during the RSV season. Children were enrolled retrospectively in two hospitals located in Southern Brazil, from May 2009 to August 2016. In a sample of 129 children, 69 (53.5%) received palivizumab and adherence to three or more doses was observed in 78%; 60 (46.5%) children did not receive palivizumab. PVZ prophylaxis was independently associated with a 66% reduction in hospitalizations for any cause (26/69 - 37.7%) in the PVZ group and 34/60 (56.7%) in the control group). A 52% reduction in hospitalizations due to lower respiratory tract infection was observed in the PVZ group (15/69 -21.7%) and 25/60 (41.7%) in the control group. These findings suggest that, for the group of studied patients, the adoption of an RSV prophylaxis scheme reached the same effectiveness as those described in previous clinical trials.

KEYWORDS: Palivizumab. Respiratory syncytial viruses. Infant health. Comparative effectiveness research.

INTRODUCTION

Respiratory syncytial virus (RSV) is one of the main etiological agents of infections affecting the lower respiratory tract among infants and children under five years of age, and it accounts for nearly 75% of bronchiolitis and 40% of pneumonia cases in infants up to one year of age during the RSV season^{1,2}. Usually, the first infection progresses as an ordinary cold; however, severe RSV infections are responsible for hospitalization and, eventually, the need of mechanical ventilation (MV), mostly in children of less than 1 year of age^{1,3}.

As there is no specific treatment for RSV infections, prophylaxis with the specific monoclonal antibody palivizumab (PVZ) has been widely recommended for high-risk cases during RSV season⁴. The groups with a higher risk of severe respiratory disease caused by RSV are premature infants, children with chronic

lung disease of prematurity, immunosuppressed children and children suffering from heart conditions, especially those with hemodynamic consequences¹.

The effectiveness of palivizumab in reducing hospitalizations due to RSV in infants has been demonstrated in randomized clinical trials, ranging from 39 to 78% in two pivotal clinical trials⁵⁻⁷. Evidence from observational studies suggests that PVZ prophylaxis reduces the incidence of recurrent wheezing over the first six years of life; however, it does not prevent the onset of atopic asthma^{8,9}.

In December 2012, PVZ was introduced in the Brazilian public health system (SUS) for use in high-risk cases and premature infants at a gestational age equal to or less than 32 weeks¹⁰; this decision was amended in 2013, with prophylaxis being recommended for infants under one year of age who were born prematurely at a gestational age equal to or less than 28 weeks, as well as in children up to two years of age suffering from chronic lung disease or hemodynamically significant congenital heart disease¹¹.

Since the drug was incorporated into SUS, few studies have assessed the impact of the use of palivizumab on lower respiratory tract infection rates in children at high risk for respiratory syncytial virus infections. Post-incorporation studies are crucial to monitor the outcomes achieved with the use of this approach. The present study aimed to evaluate the effectiveness of a public prophylaxis program with palivizumab on the incidence of hospitalizations for lower respiratory tract infections and RSV cases in children at high-risk for severe RSV infections.

MATERIAL AND METHODS

Study design

A retrospective cohort study was conducted. The medical records of preterm children and/or children under two years of age suffering from chronic lung disease or hemodynamically significant congenital heart disease were reviewed for data collection. Children were selected according to their exposure status, which was defined as the prophylactic use of at least one dose of palivizumab with monthly doses of palivizumab during the RSV season (between April and August). Children were retrospectively recruited at the Hospital Materno Infantil Presidente Vargas (HMIPV) and Hospital de Clinicas de Porto Alegre -RS (HCPA), both located in the city of Porto Alegre, in Southern Brazil. Although palivizumab was incorporated into the Brazilian public health system in 2013, due to the differences among Brazilian regions regarding drug acquisition and distribution and the issues of availability of electronic medical records in one of the centers, the study

period started in 2014. The cases (PVZ group) were chosen from May 2014 to August 2016, a period subsequent to the incorporation of palivizumab into SUS, and controls were chosen from May 2009 to August 2016. The initial date for the controls recruitment was extended to include the period prior to PVZ incorporation due to the difficulty in gathering a sufficient number of children with indication but who did not take PVZ after 2014, in the health services assessed. The follow-up was censored in June 2017. The study period was up to two years following the first dose of palivizumab for exposed cases or up to two years after the identification of the indication criterium for the use of PVZ in the medical records, as recommended by the Ministry of Health for controls.

The study included children who met one of the following three criteria: 1) prematurity, defined as a gestational age at birth ≤ 28 weeks; 2) less than two years of age with chronic lung disease resulting from prematurity; or 3) less than two years of age with hemodynamically significant congenital heart disease.

The list of children who received palivizumab was elaborated according to palivizumab dispensation records from the hospital pharmacies. Children in the control group were identified from the medical records of children hospitalized in neonatal or pediatric units during the study period, as indicated by International Classification of Diseases codes for prematurity or chronic lung disease resulting from prematurity or congenital heart disease. Children transferred from other health services were excluded.

Outcomes were assessed by analyzing data from the medical records according to the diagnosis made in the health service unit by the attending physician. The outcomes were grouped into four categories: 1) hospitalization for any reason (hospitalization rate); 2) hospitalization for lower respiratory tract infection regardless of etiology (ICD 10: J20 – J22); 3) hospitalization for respiratory syncytial virus infection (ICD 10: B97.4, J12.1, J20.5, or J21.0) and 4) death from any cause.

Sample size and data analysis

The sample size was calculated using the EPI-INFO software, version 7.2, considering the following parameters for a cohort study: 80% test power; 95% confidence level ($\alpha = 5$); an expected risk value to be detected of 0.6; and an expected emergency admission rate due to respiratory causes among the unexposed of 64% according to the pilot study conducted at the HCPA. The total sample size calculated based on these parameters was 134 (67 exposed and 67 unexposed).

A descriptive analysis on the frequency distribution of risk factors was carried out according to the exposure groups in the cohort. Student's t test was used to compare the means for some characteristics between the PVZ and control groups.

As the cohort groups had different years of inclusion as it was difficult to find children with indications for the use that were not using prophylaxis after PVZ was incorporated into SUS, it was decided that the year of entry into the cohort would be included as an independent variable to be tested.

A logistic regression analysis was performed to identify predictors of hospitalization. The variables that were significant in the bivariate analysis (with a p-value ≤ 0.25) were included in the multivariate logistic regression model. Backward and Irtest multivariate analyses were performed after the removal of each variable to verify whether this procedure had an impact on the model. The odds ratio (OR), relative risks (RR) and 95% confidence intervals were calculated. The data were entered into and stored in REDCap (Research Electronic Data Capture) and analyzed using STATA software, version 11.2 (Stata-Corp LP, College Station, Texas, USA). The study was approved by the ethics committees of Hospital Materno Infantil Presidente Vargas and Hospital de Clinicas de Porto Alegre.

RESULTS

One hundred and twenty-nine children were included in the study; 69 (53.5%) were exposed to palivizumab (cases) and 60 (46.5%) were in the unexposed group (controls). Most children (91/129 - 70.5) were included based on a gestational age equal to or less than 28 weeks and six days, followed by those with less than two years of age and with chronic lung disease of prematurity (28/129 - 21.7%), less

than two years of age and suffering from heart disease and severe lung hypertension (7/129 - 5.4%), and those with more than two years of age suffering from complex congenital heart disease (3/129 - 2.3%). Seventy nine children lived in the city of Porto Alegre (61.2%), 106 were born from single pregnancies (82.2%) and 91(70.5%) were breastfed. For the city of residence, there was a difference between the groups, as most of the unexposed (36/60 - 60%) lived in other cities, while those who received PVZ (55/69 - 79.7%) were mostly Porto Alegre residents (p<0.001). The control group children were less breastfed (38/53 - 71.7%) than the group receiving palivizumab (53/58 - 91.4%) (p<0.01). Clinical and demographic characteristics are shown in Table 1.

Of the 69 children who received palivizumab, 28 (40.6%) received five doses, 13 (18.8%) received four doses, 13 (18.8%) received three doses, 13 (18.8%) received two doses, and two (2.9%) received one dose. It was noted that, in some cases, the first dose was taken up to three months after the beginning of the RSV season. Among patients who received palivizumab, 46 (66.7%) received all the necessary doses during the RSV season, 11 (15.9%) missed only one month, and the remainder 12 (17.4%) missed two months or more during the RSV season. Side effects were recorded in three (4.3%) children: a case of apnea with cyanosis, a case of low fever after the administration of the first dose (37.4 °C), and a case of cutaneous rash.

Analysis of hospitalization for any cause

Among children who did not receive palivizumab, 34 (56.7%) were hospitalized during the follow-up, and among those who received palivizumab, 26 (37.7%) children were hospitalized (p=0.024). The mean hospital length of stay

Table 1 - Demographic and clinical characteristics of children meeting the criteria for the use of palivizumab, treated at Hospital de Clinicas de Porto Alegre and Hospital Presidente Vargas, Porto Alegre, 2017.

Characteristics	Using PVZ mean	Not using PVZ mean	P*
Age at PVZ indication			
months	7.33	3.67	0.000
Weight at birth			
grams	1.177	1.059	0.188
Gestational age at birth			
weeks	29.24	29.14	0.855
Number of people in household	4.39	4.71	0.401
Length of stay in the neonatal ICU			
days	78.7	77.0	0.825

^{*}P from Student's t test

in the palivizumab group was 9.54 days, and in the control group, it was 8.75 days (p=0.81). Among hospitalized patients, only five children needed mechanical ventilation, three from the control group and two from the PVZ group. The mean mechanical ventilation time was 6.3 days for the controls and 3.0 days for those who received palivizumab (p=0.12).

The main reason for hospitalization was bronchopneumonia, which was responsible for 23.3% of the total hospitalizations (14/60), followed by acute bronchiolitis (10/60 - 16.7%), acute bronchitis (6/60 - 10%), acute bronchiolitis caused by RSV (6/60 or 10%), and asthma (5/60 - 8.3%). All other reasons for hospitalization accounted for only one case (1/60 - 1.7%) each.

The incidence rate of hospitalization for any cause was 45.7 per 100 people/year (95% CI: 35.5-58.9). In the group of children who did not take palivizumab (control group), the density of hospitalization incidence was 59.2 per 100 people/year (95% CI: 42.3-82.9), and in the children

who used palivizumab, it was 35.2 per 100 people/year (95% CI: 23.9-51.7).

Table 2 shows the bivariate analyses of variables associated with hospitalization for any cause. There was no association between the year of entry into the cohort and hospitalization for any cause, indicated by a p-value > 0.25 (p= 0.879); therefore, this variable was not included in the multivariate model (data not shown in the table).

Table 3 shows the final result of the multivariate model for predictors of hospitalization for any cause. The variables with $p \le 0.25$ were added to the saturated model and then removed one by one from the model as the p-value increased, until only those that were statistically significant (p < 0.05) remained. Only the use of palivizumab remained in the final model as a significant variable.

There was no difference in the number of doses of PVZ between children hospitalized for any reason (mean of 3.73 doses) and those that were not hospitalized (mean of 3.77 doses; p= 0.91).

Table 2 - Bivariate analysis of parameters associated with hospitalization for any cause in children with indication for the use of palivizumab, Porto Alegre, 2017.

	Hospitalization for any cause			
_	Yes n (%)	No n (%)	OR (95% CI)	p
Use of prophylactic palivizumab				
Yes	26 (37.7)	43 (62.3)	1.0	
No	34 (56.7)	26 (43.3)	0.46 (0.23 - 0.94)	0.032
Sex				
Female	32 (45.7)	38 (54.3)	1.0	
Male	28 (47.5)	31 (52.5)	1.07 (0.54 - 2.15)	0.843
Place of residence				
Porto Alegre	35 (44.3)	44 (55.7)	1.0	
Another city	25 (50.0)	25 (50.0)	1.26 (0.62 - 2.56)	0.528
Number of people in household				
Up to 3 people	09 (37.5)	15 (62.5)	1.0	
4 people or more	22 (37.9)	36 (62.1)	1.02 (0.38 - 2.72)	0.971
Main reason for inclusion in the study				
Prematurity	46 (50.5)	45 (49.5)	1.0	
Lung or heart disease	14 (36.8)	24 (63.2)	0.57 (0.26 - 1.24)	0.157
Breastfeeding records				
Yes	43 (47.2)	48 (52.8)	1.0	
No	09 (45.0)	11 (55.0)	0.91 (0.34 - 2.41)	0.855
For those who used PVZ:				
Number of doses administered				
Three or more	21 (38.9)	33 (61.1)	1.0	
Up to two	05 (33.3)	10 (66.7)	0.78 (0.23 - 2.62)	0.695

^{*}Adjusted per year of entry into the study

Table 3 - Final multivariate analysis of the association between hospitalization for any cause and exposure elements of individuals, Porto Alegre, 2017.

	Odds Ratio and 95% CI	р	Relative Risk and 95% CI	р	PAF
Use of prophylactic pal	livizumab				
Yes	1.0		1.0		
No	0.46 (0.23 - 0.94)	0.032	0.66 (0.46 -0.97)	0.031	-0.50

PAF = Population Attributable Fraction

Analysis of hospitalization due to lower respiratory tract infections

Among the children using palivizumab, hospitalizations for lower respiratory tract infections were noted in 21.7% (15/69), while in those who did not receive palivizumab, hospitalizations were observed in 41.7% (25/60) (p=0.01). The incidence rate of hospitalization for lower respiratory

tract infection was 26.0 per 100 people/year (95% CI: 19.1 - 35.5). In children who did not take palivizumab (control group), the density of hospitalization incidence was 35.3 per 100 people/year (95% CI: 23.9 - 52.2), and in the children who used palivizumab, the calculated rate was 18.1 per 100 people/year (95% CI: 10.9 - 30.0).

Table 4 shows the bivariate analyses of elements possibly associated with hospitalization for lower respiratory tract

Table 4 - Bivariate analysis of parameters associated with hospitalization for lower respiratory tract infections in children with indication for the use of palivizumab, Porto Alegre, 2017.

	Hospitalization for lower respiratory tract infections		OR (95% CI)	_
	Yes n (%)	No n (%)	On (95% CI)	р
Use of prophylactic palivizumab				
Yes	15 (21.7)	54 (78.3)	1.0	
No	25 (41.7)	35 (58.3)	0.39 (0.18 - 0.84)	0.016
Sex				
Female	24 (34.3)	46 (65.7)	1.0	
Male	16 (27.1)	43 (72.9)	0.71 (0.33- 1.52)	0.381
Place of residence				
Porto Alegre	21 (26.6)	58 (73.4)	1.0	
Another city	19 (38.0)	31 (62.0)	1.69 (0.79 - 3.61)	0.174
Number of people in household				
Up to 3 people	04 (16.7)	20 (83.3)	1.0	
4 people or more	16 (27.6)	42 (72.4)	1.90 (0.56 - 6.44)	0.300
Main reason for inclusion in the study				
Prematurity	31 (34.1)	60 (65.9)	1.0	
Lung or heart disease	09 (23.7)	29 (76.3)	0.60 (0.25 - 1.42)	0.248
Breastfeeding records				
Yes	29 (31.9)	62 (68.1)	1.0	
No	07 (35.0)	13 (65.0)	1.15 (0.41 - 3.19)	0.787
For those who used PVZ:				
Number of doses administered				
Three or more	11 (20.4)	43 (79.6)	1.0	
Up to two	04 (26.7)	11 (73.3)	1.42 (0.38 - 5.33)	0.602

^{*}Adjusted per year of entry into the study

Table 5 - Final multivariate analysis of the association between hospitalization for lower respiratory tract infections and exposure elements of individuals, Porto Alegre, 2017.

	Odds Ratio and 95% CI	р	Relative Risk and 95% CI	р	PAF
Use of prophylactic palivizu	mab				
Yes	1.0		1.0		
No	0.39 (0.18 - 0.84)	0.016	0.52 (0.30 - 0.89)	0.014	-0.59

infections. The bivariate analysis of the association between the year of entry into the cohort and hospitalization for lower respiratory tract infections showed a p value > 0.25 (p = 0.43); thus, it was not a candidate for inclusion in the multivariate model (data not shown in the table).

Table 5 shows the results of the final multivariate analysis model for the association between the studied parameters and hospitalization for lower respiratory tract infections. Variables were removed from the model as the p-value increased until only the statistically significant ones remained (p < 0.05).

Six hospitalizations with laboratory confirmation of respiratory syncytial virus infections were recorded, all of which were in the control group. The rate of respiratory syncytial virus infection in the cohort was 3.2 per 100 people/year (95% CI: 1.42-7.04). As this was a retrospective study, RSV infection was only considered when the diagnosis was recorded in the medical records, and it is not possible to know whether all the patients were actually tested for RSV, as this is a retrospective study.

General analysis of the sample

The use of palivizumab remained independently associated with hospitalization for any cause and hospitalization for lower respiratory tract infections, was a protective factor, with relative risk reductions of 66% and 52%, respectively. The population attributable fraction associated with the use of palivizumab for hospitalizations for any cause was –0.50 and for hospitalization for lower respiratory tract infections was –0.59; that is, it is estimated that palivizumab decreases hospitalizations for any cause by 50% and hospitalizations for lower respiratory tract infections by 59%.

Only one death was observed in the cohort, and it occurred 1.2 years after the date of inclusion; this child was in the control group. The death rate in the cohort was 3.7 per 1,000 people/year (95% CI: 0.53-26.6).

DISCUSSION

Palivizumab prophylaxis was independently associated with a reduction in hospitalizations for any cause and

hospitalization due to lower respiratory tract infections in patients at high risk of RSV infection.

The two-year rate of hospitalization for any cause in the cohort was 46.5% and it is noteworthy that this was a more vulnerable group, given that children were premature and/ or suffered from pulmonary or cardiacdiseases. The hospitalization rate among those who did not receive palivizumab was higher for both, hospitalizations for any cause (56.7%) and hospitalizations for lower respiratory tract infections (41.7% versus 31%). These findings are corroborated by the result of the multivariate analyses as well as the calculation of the population attributable fraction, according to which, palivizumab reduced hospitalizations for any cause by 50% and hospitalizations for lower respiratory tract infections by 59%.

The rate of hospitalization for lower respiratory tract infections among those who received palivizumab (21.7%) was higher than the rate of hospitalization for acute respiratory tract infections (12.9%) described in Canada during the 2004 and 2005 seasons¹². It is important to stress that regarding the children who received palivizumab that we assessed, the first dose was administered up to three months after the beginning of the RSV season, which may indicate a delay in palivizumab prescription or in the patient's visit to the referral center after receiving the palivizumab prescription at the original hospital.

The effectiveness of palivizumab in reducing hospitalization due to respiratory syncytial virus was shown in the IMpact-RSV study⁶, a randomized double-blind clinical trial that included 1,502 premature children (up to 35 weeks of gestational age) or with bronchopulmonary dysplasia; the trial was conducted in the United States, United Kingdom and Canada in the late 1990s. In that trial, a 55% decrease in hospitalizations for RSV was observed in the group treated with palivizumab (4.8%) compared with the group that received placebo (10.6%). The hospitalization rate for RSV in the placebo group of the IMpact-RSV study was similar to the rate found in those unexposed to PVZ in our study, which was 10%. In another multicenter clinical trial⁵, a relative decrease of 45% in hospitalizations for RSV resulting from the use of palivizumab was described. A systematic review carried out in 2011 has also evaluated the RSV hospitalization rate among groups who received PVZ, the summarized measure of which was 0.35 for the premature infants group (p < 0.001)¹³. Although it was not possible to assess the reduction in RSV-specific hospitalizations in this study as this outcome did not occur in the exposed group, the observed data indicate that the use of palivizumab decreases hospitalizations for any cause by 50%.

The clinical trials comparing exposure to palivizumab with the use of placebo in the literature are international studies with different realities in terms of respiratory infection profiles. The studies that provided the basis for indicating the incorporation of palivizumab by CONITEC were published prior to 2010, and little has been done after this incorporation, especially in view of the ethical issue that makes it difficult to gather/ form a control group. In Brazil, studies on the topic are scarce, and our results can only be compared with the cohort study conducted by Monteiro et al.1, in 2014 with patients subjected to palivizumab prophylaxis; this study was performed without a control group for ethical reasons. Among the children who received palivizumab, the rate of hospitalization for lower respiratory tract infections was 9.1%¹, a much lower rate than that found in this study (21.7%). The Southern region of the country may present a different respiratory infection profile due to climate characteristics, with a more distinct winter and lower temperatures, as well as to an increase in the seasonality of RSV and other respiratory viruses.

As this was a retrospective study, it was not possible to ensure that all patients with acute lower respiratory tract infections underwent laboratory tests for respiratory syncytial virus, which may explain the low rate of RSV-positive infections among the patients with bronchiolitis, bronchitis and bronchopneumonia (16.7%). This percentage is much lower than the rate found by Souza in Porto Alegre¹⁴, who identified RSV in 59% of samples from infants up to 12 months of age with bronchiolitis; unfortunately, these patients were recruited between September 2009 and August 2011, prior to the incorporation of PVZ by the public health system.

There was a difference in the mean age of the patients chosen for the cohort, with the group using palivizumab being in general older than the unexposed group. This difference may have resulted from the fact that the controls were included upon the observation of the indication of palivizumab in accordance with criteria specified by the Brazilian Ministry of Health, while the cases that received palivizumab were included from the date of the first dose, which indicates the date of initial exposure to the drug under study. As there is a difference between the date of prescription and the first date the drug was administered, especially because the only referral hospital for the treatment with

palivizumab was the HMIPV, there may have been a delay in the patient's visit to this specialized service. At the HCPA, the doses were administered only to hospitalized patients, while outpatients were referred to the HMIPV.

Another difference found between the exposure groups was related to the patients' cities of residence. Most children in the group unexposed to palivizumab (60%) lived in cities other than the capital city Porto Alegre.

The hospitals included in this study are referral hospitals located in the metropolitan area of Porto Alegre. The unexposed patients from other cities may have risen questions about the prescription and the use of palivizumab in hospitals located in their home cities; however, to avoid this bias, only patients with at least two years of outpatient follow-up at the referral hospital and frequent visits were selected to ensure that their medical records really included information on medications occasionally administered in their cities of residence.

An important point in the monitoring of new components incorporated into the public health system should take into account the cost of prophylaxis in relation to the benefits it offers, ideally through a complete cost-effectiveness study. It would be important to analyze the increase in drug costs to the budget of the public health system, taking into account favorable outcomes, such as a decrease in hospitalizations and problems for patients and their families. Unfortunately, these economic issues were not analyzed in this study and should be addressed in future research.

The limitations of the study were those inherent to the retrospective cohort study design itself, such as the inability to retrospectively collect some variables that are possibly association confounders (in this case, socioeconomic variables). Regarding the external validity, this study produced specific results as it was conducted at referral hospitals and in a capital city located in the Southern region of the country, where seasonality is well defined and high incidence levels of respiratory infections are often experienced in winter, what makes this region stand out from the rest.

CONCLUSION

The use of PVZ has been approved in several countries, although its effectiveness is controversial in developing countries and in places with a low incidence of respiratory infections. In Brazil, there were many questions regarding the effectiveness of this drug for the Brazilian population at the time of its recommendation in view of sociodemographic, epidemiological and even geographical differences. This study sought to address a key aspect of monitoring the incorporation of new concepts into the public health system in an attempt to reinvigorate the process

based on the successes and mistakes resulting from the decisions made. The results suggest that the adoption of this prophylactic program achieved the expected effectiveness for the studied patients corroborating the findings of previously published international clinical trials.

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AUTHORS' CONTRIBUTIONS

JDLB and CAP participated in the study design; data collection, analysis and interpretation; and writing of the manuscript. MAPF, CSX and ITAS participated in the data collection; interpretation of the findings; and writing of the manuscript. LNC participated in the interpretation of the findings; and writing of the manuscript. All authors read and approved the final version of the manuscript.

CONFLICT OF INTERESTS

The authors declare no conflict of interests.

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