Probing mass segregation in the globular cluster NGC 6397

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Accepted 2014 May 23. Received 2014 May 6; in original form 2014 January 13

ABSTRACT

In this study, we present a detailed study of mass segregation in the globular cluster NGC 6397. First, we carry out a photometric analysis of projected European Southern Observatory (ESO)–Very Large Telescope (VLT) data (between 1 and 10 arcmin from the cluster centre), presenting the luminosity function corrected by completeness. The luminosity function shows a higher density of bright stars near the central region of the data with respect to the outer region. We calculate a deprojected model (covering the whole cluster), estimating a total number of 193 000 ± 19 000 stars. The shapes of the surface brightness and density-number profiles versus the radial coordinate r (instead of the projected coordinate R) lead to a decreasing luminosity and thus mass for an average star, up to 1 arcmin, quantifying the mass segregation. The deprojected model does not show evidence of mass segregation outside this region.

Key words: globular clusters: individual: NGC 6397 – Galaxy: structure.

1 INTRODUCTION

Globular clusters (GCs) are among the oldest structures in the Milky Way. Their fundamental parameters (e.g. age, metallicity, mass, spatial distribution) constitute a valuable source of basic information on the early stages of Galactic formation (e.g. Gratton et al. 2003). In addition, they can be used as unique test-beds of star formation and stellar evolution models (e.g. Heyl et al. 2012).

One of the most interesting aspects of GCs is their dynamical evolution. At high stellar densities, binary encounters may be frequent and, over time, tend to establish a state of equal energy among the member stars. On average, more massive stars transfer orbital energy to less massive ones in the encounters. In this context, heavier stars tend to clump in the cluster centre, while lighter ones orbit the outskirts, with some fraction even escaping the system and populating the Milky Way stellar field (e.g. McLaughlin & Fall 2008; van Putten 2012). This dynamical process is called mass segregation. Eventually, the accumulation of massive stars in the cluster centre may collapse, thus leading to the core collapse phase (e.g. Djorgovski & King 1986; Trager, King & Djorgovski 1995).

Observationally, mass segregation can be characterized by a mass function with a systematic radial variation (Andreuzzi et al. 2004), expected to depend on the prior dynamical evolution of the cluster (Hurley et al. 2008).

Because of its relative proximity, NGC 6397 has been the focus of several observational and theoretical studies, as well as N-body simulations (Hurley et al. 2008). At a distance from the Sun of $R_\odot = 2.2^{\pm 0.5}$ kpc (Hefy et al. 2012), NGC 6397 is one of the nearest GCs, which makes its faint stars more accessible than those of other more distant GCs. Also, NGC 6397 has a very low metallicity, $[Fe/H] = -1.99 \pm 0.02$ (Carretta et al. 2009), which indicates that it was formed from primordial clouds in the Milky Way or elsewhere.

Regarding mass segregation in NGC 6397, previous works present conflicting results, with some showing evidence of mass segregation (e.g. King, Sosin & Cool 1995; Andreuzzi et al. 2004) while others do not (e.g. Drukier 1993).

In this work, we present a photometric analysis of NGC 6397 to quantify mass segregation. The article is structured as follows: in Section 2 we present previous and relevant information on NGC 6397 regarding mass segregation; in Section 3 we describe the observational data reduction; in Section 4 we present the preliminary analysis of projected data; in Section 5 we build the deprojected profiles; in Section 6 we discuss the results and present our concluding remarks.

2 PREVIOUS ANALYSIS OF NGC 6397

NGC 6397 is located at $\alpha = 17^h 40^m 42.09^s$, $\delta = -53^\circ 40' 27.6''$ (J2000), at a distance from the Galactic Centre $R_{gc} = 6$ kpc (Harris 1996, 2010 edition). Its galactocentric coordinates are $\ell = 338.17^\circ$, $b = -11.96^\circ$, which explains the relatively low contamination by field stars. Its absolute distance modulus is $(m-M)_V = 12.07 \pm 0.06$ (Richer et al. 2013), $A_V = 0.56$ (Heyl et al. 2012), using $R_V = 0.31$, according to Cardelli, Clayton & Mathis (1989). Despite its proximity, the innermost part of the cluster is relatively hard to observe due to the high stellar density. Indeed, NGC 6397 is classified as a core-collapse cluster, which has been confirmed by observations (Trager et al. 1995; Djorgovski & King 1986). Using Hubble Space Telescope (HST) data, Sosin (1997) estimates an angular size of $\sim 5.5$ arcsec for the collapsed core of NGC 6397, which agrees

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with Cohn et al. (2010) but is somewhat larger than the 3 arcsec found by Harris (1996).

Using the observed proper motion of main-sequence stars, Heyl et al. (2012) estimated the kinematic mass of the cluster as \((1.1 \pm 0.1) \times 10^5 M_\odot\). They show (their fig. 7), through the mean projected radius of main-sequence stars, an apparent mass segregation up to 5 arcmin. They estimated that NGC 6397 has about 200 000 stars, with 2.5 per cent binaries and visible white dwarfs with masses between 0.5 and 0.6 M_\odot (Richer et al. 2013). Drukier (1993) used models of surface-brightness profiles (SBP) to find a total mass \(7.5 \times 10^5 M_\odot\) and a tidal radius of 39.1 pc.

King et al. (1995), imaging the highly concentrated core of NGC 6397, found that mass segregation effects were very large compared with the small degree of segregation determined by Drukier et al. (1993). King et al. (1995) observed with the Wide Field Planetary Camera 2 (WFPC2) a field taken 4.5 arcsec from the cluster centre. Adjusting a King model to the projected data, they fitted a proper dynamical model of the cluster to verify the amount of segregation.

Cool et al. (1998) suggested that the strong concentration of cataclysmic variables and a new class of faint UV stars towards the cluster centre is inconsistent with mass segregation related to two-body relaxation alone as seen by King et al. (1995).

Andreuzzi et al. (2004) built completeness-corrected colour–magnitude diagrams (CMDs) and luminosity functions (LFs) for main-sequence (MS) stars extracted from two fields extending from a region near the centre of the cluster out to \(\sim 10\) arcmin. They found that the LFs follow exponential laws with different slopes, being flatter near the centre than otherwise. This is consistent with the presence of different mass distributions at two different radial distances, a clear indication of mass segregation.

The internal dynamics of NGC 6397 was addressed by Heyl et al. (2012) by means of proper-motion measurements obtained with HST’s Advanced Camera for Surveys, revealing agreement with the features of a mass-segregated, lowered isothermal distribution of stars, especially for the selected MS subsamples.

Goldsbury, Heyl & Richer (2013) quantified mass segregation in 54 Milky Way GCs, including NGC 6397, by fitting models to cumulative projected star-count distributions. The work expands a database of structural and dynamical properties for 153 spatially resolved star clusters presented by McLaughlin & van der Marel (2005), where the structural and dynamical parameters were derived by fitting three different models. The results were compiled in Harris (1996, 2010 edition). Goldsbury et al. (2013) calculated the projected density distribution for various boundary conditions and found that fitting star counts rather than surface-brightness profiles produces results that differ significantly, due to the presence of mass segregation.

### 3 OBSERVATIONAL DATA AND REDUCTION

The images of NGC 6397 used in this work are part of the programme ID 083.D-0653(A) and were taken during 2009 July 27–28 with the ESO–Very Large Telescope (VLT)–Unit Telescope 1 (UT1), using the FOcal Reducer and low dispersion Spectrograph (FORS2) imager. The bands, filters and time exposures are described in Table 1. We obtained one image for each filter and exposure time. The FORS2 imager is composed of two CCDs (CCD1 and CCD2) (above and left). The dotted line indicates the border between CCD1 (above) and CCD2 (below).

![Figure 1. Rings around the centre of NGC 6397, superposed on the ESO–VLT image. The inner ring lies at \(\sim 1\) arcmin from the cluster centre (0.72 pc); the approximate ring width is 0.74 pc. The number of stars in each ring is shown at the right, except for the last ring (inside), as well as the ring number (above and left). The dotted line indicates the border between CCD1 (above) and CCD2 (below).](http://mnras.oxfordjournals.org/)

**Table 1.** ESO–VLT observational data used in the CMD and projected Luminosity Functions.

<table>
<thead>
<tr>
<th>Band</th>
<th>Filter</th>
<th>Exposure time (s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>B</td>
<td>B_HIGH</td>
<td>1, 10, 120, 300</td>
</tr>
<tr>
<td>V</td>
<td>V_HIGH</td>
<td>1, 10, 120, 300</td>
</tr>
</tbody>
</table>

The images were centred at \(\alpha = 17^h41^m01^s.78\), \(\delta = -53^\circ 44'47.2''\) (J2000) as shown in Fig. 1, corresponding to 1.1 arcmin from the cluster centre to the upper right corner of CCD1.

Data reduction for our ESO–VLT images was carried out with \texttt{IRAF} routines, with tasks \texttt{daofind}, \texttt{phot} and \texttt{allstar}, applying standard PSF photometric procedures. The best-fitting point spread function (PSF) among those available in \texttt{IRAF} was the elliptical Moffat with coefficient \(\beta = 2.5\). The precise description of the PSF is important, for instance, for the insertion procedure of artificial stars (Section 4.3). Objects brighter than \(V \simeq 19\) were saturated in the 300-s images; thus, both data sets were combined to avoid superposition. We detected 12 793 sources in CCD1 and 6528 in CCD2, totalling 19 321 detections. The photometric uncertainties were computed automatically by \texttt{IRAF}.

### 4 PRELIMINARY ANALYSIS

The first step in our study of mass segregation is to build the LF with our ESO–VLT data. We observed the LF difference between the region closest to the cluster centre and the outer region. It is necessary to make a completeness analysis, this being a crowded cluster, to determine whether this difference is observed only due to completeness effects in the data or is the effect of real mass segregation.

\[ \text{any gap between.} \]

\[ \text{The images were centred at } \alpha = 17^h41^m01^s.78, \delta = -53^\circ 44'47.2'' \text{ (J2000) as shown in Fig. 1, corresponding to 1.1 arcmin from the cluster centre to the upper right corner of CCD1.} \]

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Probing mass segregation in NGC 6397

4.1 Colour–magnitude filter

To exclude obvious non-member sources (mainly field stars), we use a colour–magnitude filter applied to the CMD built with the ESO–VLT data (Fig. 2), taking photometric uncertainties into account. Obviously, this procedure also eliminates some stars that belong to the cluster (e.g. white dwarfs), but these are practically negligible for our purposes. This procedure eliminated ∼25 per cent of the stars originally present in the CMD of NGC 6397.

4.2 Image slicing

In order to investigate the spatial dependence of properties such as the luminosity function and mass segregation, the ESO–VLT images were sliced into eight radial concentric sections with the same width, centred at the cluster centre (Fig. 1). Hereafter, these surfaces are referred to as rings.

The rings are numbered from 1–8, from the cluster centre outwards. The first ring is placed at ∼1 arcmin = 0.72 pc from the cluster centre, with a radial width of 0.74 pc, using the distance to the cluster given by Richer et al. (2013). The number of probable member stars in each ring is shown in the right side of Fig. 1.

4.3 Completeness correction

Before investigating the spatial dependence of the LFs, we first study the photometric and spatial completeness of our data by means of artificial star simulations. In summary, we insert, just in the 300-s V-filter images, grids of identical artificial stars (equally spaced and with the same magnitude at each time). This V magnitude ranges from 13–26, with increments of 0.5 mag. The grids of artificial stars for CCD1 and CCD2 contain 1008 and 756 stars, respectively, which corresponds to ∼10 per cent of the total number of observed stars in the images. These numbers are expected to be high enough to produce statistically significant completeness profiles for each ring without leading to excessive crowding.

The artificial stars were created using the same PSF from the data reduction (Section 3) through the task imexpr from IRAF. They were then added to the actual images (task imarith) and reduced, in order to select and measure the position and magnitude of the stars, following the same procedures as for the original images (Section 3). We define completeness as the fraction of stars recovered with a maximum deviation of 0.25 mag relative to the inserted value (brightness test) and with a maximum deviation of one pixel in position (positional test). Thus, we can estimate the completeness as a function for magnitude for each ring.

In the simulations, the grids of artificial stars were placed at five different positions, shifting the stars ∆x = 5 and ∆y = 5 pixels from the initial position. After obtaining the completeness for all grid positions, we computed the average completeness and its variance (taken as the uncertainty). The completeness as a function of magnitude for each ring (and uncertainties) is shown in Fig. 3.

4.4 Luminosity function

The LF is an important information source on several features of a GC, especially those related to the dynamical state and evolution. In particular, a radial dependence of the LF might imply mass segregation (Ferraro et al. 1997).

We built the LF of NGC 6397 by dividing the selected stars from its CMD (Fig. 2) into bins 0.5 mag wide in V magnitude, both before (Fig. 4) and after (Fig. 5) completeness correction. The LF is expressed in terms of the number of stars per magnitude bin, in this case normalized by the highest bin. Interestingly, the LFs show systematic differences consistent with mass segregation (especially the increasing presence of bright stars towards the inner rings) before completeness correction.

After completeness correction, the resulting LFs (Fig. 5) confirm the presence of a higher density of brightness (V < 18) and thus more massive stars towards the central region of the cluster, which is consistent with mass segregation. At the same time, there is a marked deficiency of bright stars in the outermost eighth ring.
Figure 4. Luminosity function for each ring in V, normalized by the highest bin (hb), still not corrected by completeness. Representative uncertainties in \( N/N_{hb} \) as a function of magnitude are shown in the top panel.

Figure 5. Luminosity function for each ring in V normalized by the highest bin with the completeness-corrected LF. Bright stars are more frequent near the cluster centre than outwards.

However, projection effects may be affecting the observed profiles. For instance, a bright star (\( V < 18 \)) detected in the central region may, in fact, be an outsider projected therein, thus masking mass segregation. To investigate this point, we study the mass profile by means of deprojecting the luminosity and density profiles.

5 DEPROJECTION PROFILES

The rings discussed in the previous section correspond to on-the-sky projections of a three-dimensional structure. In this sense, the projected LFs of a given ring are contaminated by stars from the outer shells, in fractions that depend on the intrinsic (stellar or brightness) density distribution and the ring width.

Thus, before performing the final analysis of mass segregation in NGC 6397, we will proceed to deproject both the surface-brightness profile (SBP) and the stellar radial density profile (RDP). Here, we define \( R \) as the projected distance from the cluster centre (in arcsec) as seen by the viewer and \( r \) as the real distance (non-projected) from a three-dimensional coordinate to the cluster centre.

Since our observations are spatially restricted, not including the central region and outskirts of the cluster, we complement them with data available in the literature, combining CCD and photographic observations.

5.1 Surface-brightness profile

We compared three SBPs of NGC 6397: the profile proposed with a King profile (King 1962, 1966) and the data observed by Trager et al. (1995) and by Noyola & Gebhardt (2006). However, as is shown in Fig. 6, the SBP of NGC 6397 does not follow a King profile, especially for \( R > 1 \) arcmin. We do not use the ESO–VLT data for the SBP, because our data correspond to fewer than a quarter of the surface rings, covering from 1 arcmin out to 10 arcmin.

The data of Trager et al. (1995) cover the entire cluster in the V filter (Fig. 6), reaching out to 16 arcmin from the cluster centre, while Noyola & Gebhardt (2006) cover the centre out to \( \sim 100 \) arcsec in converted V magnitude (using WFPC2/HST images in F555W, F606W and F814W). The latter SBP diverges somewhat from that of Trager et al. (1995) only in the cluster centre (probably an improvement due to CCD images). Those more recent data (out to 100 arcsec) and Trager et al. (1995)’s data (from 100 arcsec out to the tidal radius) are used to fit the SBP in this work, using Chebyshev
5.2 Radial density profile

The RDP (Fig. 7) corresponds to the merging of three different data sets: Drukier et al. (1993) data covering the whole extent of the cluster, the WFPC2/HST images of the public file of the Hubble Legacy Archive that cover the central region \((R \leq 60\) arcsec\) and our ESO–VLT (Section 3) for the outer parts \((60\) arcsec \(\leq R \leq 600\) arcsec\).

First, we matched the outermost point \((950\) arcsec\) in the Drukier et al. (1993) RDP to the background density predicted by TRILEGAL, assuming that missing stars (fainter than the observational data) form a constant distribution in the field, multiplying the image stars by a constant factor. We then matched the profiles smoothly under the constraint that the total amount of stars corresponds to a mass equal to \(1.1 \pm 0.1 \times 10^5 M_\odot\) (Heyl et al. 2012).

We find that the total number of stars in the cluster is about \(193 000 \pm 19 000\) down to the limit of hydrogen burning. Heyl et al. (2012) suggest a cluster with more than 150 000 stars. Hurley et al. (2008) performed a model \(N\)-body simulation of star cluster evolution starting with 100 000 stars (due to computational limitation) and suggest working with twice the number of stars to be more realistic.

The resulting RDP follows quite closely the King profile (King 1962):

\[
RDP(R) = \Sigma_{BG} + \Sigma_0 \left\{ \frac{1}{1 + (\frac{R}{R_{CD}})^2} - \frac{1}{1 + (\frac{R}{R_{TD}})^2} \right\}^{\frac{1}{2}},
\]

By fitting this function to the model by Drukier et al. (1993) and to the ESO–VLT and WFPC2 RDP (Fig. 7) and using as constraint the total mass \((\{1.1 \pm 0.1\} \times 10^5 M_\odot\); Heyl et al. 2012\) as well as the SBP, we obtained the values \(\Sigma_{BG} = 0.04\) (star arcsec\(^{-2}\)) for the field-star density, \(\Sigma_0 = 6.78\) (star arcsec\(^{-2}\)) for the density in the cluster centre, \(R_{CD} = 42.0\) arcsec for the core radius density and \(R_{TD} = 3 000\) arcsec for the tidal radius density.

We use units for the deprojected luminosity density and number density (the ratio between the magnitude and the number of stars by volume) equal to one \(V\) magnitude arcsec\(^{-3}\) and star arcsec\(^{-3}\), respectively.

To determine the deprojected number density of cluster stars \((\rho_N(r)\) in Fig. 8\), we calculate the volume of the outermost ring (geometrically) and its number density (from observational data), computing this amount for the outermost shell. Thereafter, we...
calculate the number of stars within the immediate inner ring and its volume. Subtracting the density of the outer rings, we estimate the density of the ring by dividing the remaining number of stars by its calculated volume. Thus, the density for each shell is computed from the values of the densities of the outer shells. The same procedure is used for the brightness density \( \rho_V(r) \) in Fig. 8. We use a 1-arcsec step for the ring width. We employed geometrical deprojections instead of an inverse Abel transform, because the former method can be used with discrete data (stars). Geometrical deprojection was suitable for our purposes and reprojection agrees with observational data (Fig. 9).

Subsequently, we projected the cluster stars assuming a radial symmetry in their distribution. In order to test this, we compared the reprojected data with the observed profiles in Fig. 9, showing the original projected SBP (from Fig. 6) and RDP (Section 5.2) profiles as dashed blue lines. Both functions fit the reprojected data quite well (solid black lines), from the tidal radius down to the cluster centre.

Calculating the ratio between the radial deprojected luminosity density \( \rho_V(r) \) (Fig. 8, left panel) and the radial deprojected number density \( \rho_N(r) \) (Fig. 8, right panel), we established the averaged luminosity radial function (magnitude \( \bar{V} \) in Fig. 10) of the stars.

To convert luminosity (Fig. 10) to mass (Fig. 11), we used the best fitting multichromatic isochrone. The parameters were obtained by a statistical method comparing the mean ridge line from CMDs using multiple colours from the grid of isochrones from the Dartmouth Stellar Evolution Database (Dotter et al. 2008). The best-fitting parameters for NGC 6397 are an age of 12.0 ± 0.5 Gyr, [Fe/H] = −1.8 ± 0.1, \( E(B − V) = 0.12 ± 0.01 \) mag and distance modulus \( (m − M)_0 = 12.04 ± 0.01 \) mag.

6 SUMMARY AND DISCUSSION

The completeness-corrected projected luminosity function built with our ESO–VLT photometry suggests the presence of mass segregation in NGC 6397. Indeed, Fig. 5 clearly shows a higher density of brighter stars near the projected central region of the cluster with respect to the outer parts. This apparent evidence may be due to contamination by outer shell stars.

Because of projection effects, mass segregation may not be detected directly in on-the-sky luminosity functions. In this sense, geometrical deprojection appears to be a better approach. Analysis of a non-segregated cluster may show a crowded projected central field where fainter stars are not detected, unlike the situation near the tidal radius.

To confirm the presence (or absence) of mass segregation, we deprojected both the surface brightness and radial density profiles of NGC 6397. The SBP was built with data from Trager et al. (1995) and Noyola & Gebhardt (2006; Fig. 6). For the radial density profile, we used a merging of three different data sets: Drukier et al. (1993), WFPC2/HST and ESO–VLT data (Fig. 7). We then obtained the deprojected SBP and RDP, using their respective profiles (Fig. 9) and deprojecting both these functions.

Finally, computing the ratio between the deprojected radial luminosity density and the deprojected radial number density (Fig. 8),
we obtained the averaged radial luminosity function (Fig. 10). We also determined the cluster radial mass function.

Fig. 11 shows that mass segregation occurs basically in the centre of the cluster, reaching up to a radius of 1 arcmin. In other words, we confirm the presence of mass segregation using deprojected data. Other authors (e.g. King et al. 1995; Cool et al. 1998; Andreuzzi et al. 2004; Heyl et al. 2012) evinced mass segregation to a different radius, using just projected data.

Even though the projected data in Figs 4 and 5 and the literature (e.g. fig. 7 of Heyl et al. 2012) show evidence of mass segregation beyond 1 arcmin, the deprojected profiles (Figs 10 and 11) do not. The reason is that deprojection considers the contribution of the outer regions to the inner ones; thus, we compute the individual uncertainty for each ring by means of error propagation, as shown in Figs 8, 10 and 11. The segregation in the centre of mass is well established. The projected functions (RDP and SBP) are still accurate, showing that there is no mass segregation evidence in the deprojected profiles beyond 1 arcmin.

We also observed a soft depression around 0.55 M⊙ (radius equal to 1 arcmin) in Fig. 11. The decrease in this region can be explained by molecular absorption in stellar atmospheres, also observed in the luminosity function (Richer et al. 2008). Richer et al. explain that the mass–brightness relation is modified by molecular absorption in such a way that a moderate range in stellar mass creates a small range in luminosity, so that stars accumulate at this luminosity.

The conversion of luminosity into mass shows a degeneracy between white dwarf masses [$M \approx 0.55$ M⊙] and main-sequence star masses [$M \approx 0.15$ M⊙] for the same luminosity ($V \approx 24$). White dwarf stars were neglected in this approach, being the main error source in the determination of mass, although the number of white dwarfs should be smaller than that of main-sequence stars.

In the present study, we found a way to determine the total number of stars in the cluster using the estimated mass as an input parameter. These are important results for theoretical studies, as well as N-body simulations.

The presence of dust is negligible and the luminous mass of the cluster is enough to explain the gravitational energy of the most distant stars, although a mass percentage (uncertain) could be due to non-luminous mass. On the other hand, assumptions about dark matter (large amounts as in galaxies) in the case of NGC 6397 are irrelevant (e.g. Shin, Kim & Lee 2013).

**ACKNOWLEDGEMENTS**

We thank the referee for important comments and suggestions. We thank the ESO–VLT support team for help with the data acquisition. We acknowledge financial support from the Brazilian Institution CNPq and CAPES.

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