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## FILOGENIA E FILOGEOGRAFIA DO GÊNERO *Hollandichthys*

### EIGENMANN 1909 (TELEOSTEI: CHARACIDAE)

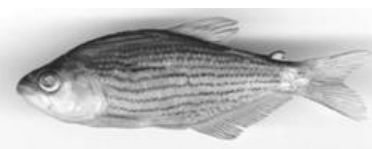
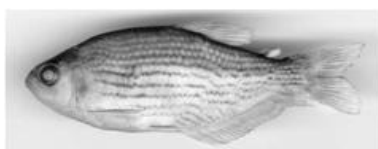
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**Filogenia e Filogeografia do Gênero *Hollandichthys*  
Eigenmann 1909 (Teleostei: Characidae)**

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## DEDICATÓRIA

"Je hais les voyages et les explorateurs"

"Odeio as viagens e os exploradores"

(Claude Lévi-Strauss, 1908-2009)

Aos meus queridos pais:

Ana e Jamba.

## **AGRADECIMENTOS**

Aos meus orientadores, Malabarba e Sandro, pela possibilidade de coalescência dos peixes com a molecular.

Aos genômicos pela alta diversidade haplotípica, possibilitando uma grande troca de conhecimentos, opiniões e boas risadas.

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## SUMÁRIO

Dedicatória .....	iii
Agradecimentos .....	iv
Sumário .....	v
Resumo .....	1
Abstract .....	2
Apresentação .....	3
A Região Costeira e sua Ictiofauna .....	3
O Gênero <i>Hollandichthys</i> .....	5
Estudos Filogeográficos .....	6
Objetivos .....	8
<b>Capítulo 1</b> – “Relationships of <i>Hollandichthys</i> Eigenmann 1909 (Teleostei: Characidae): a Molecular Inference” .....	9
Introduction .....	11
Material and Methods .....	13
Results .....	16
Discussion .....	19
References .....	23
<b>Capítulo 2</b> – Phylogeography of the Species Complex <i>Hollandichthys multifasciatus</i> Eigenmann & Norris, 1900 (Teleostei: Characidae) in Brazilian Coastal Drainages .....	39
Introduction .....	42
Material and Methods .....	44
Results .....	47
Discussion .....	51
References .....	60
Conclusões .....	83
Bibliografia .....	85

## RESUMO

*Hollandichthys* é um gênero de caracídeos inseminadores cujas relações de parentesco e diversidade ainda estão por serem descobertas. Suas relações ainda são incertas, tendo sido considerado como *incertae sedis* dentro de Characidae. Algumas hipóteses recentes propuseram *Hollandichthys* como relacionado alternativamente a dois gêneros distintos, *Pseudochalceus* e *Rachoviscus*. É considerado como um gênero monotípico habitando pequenos riachos associado à Mata Atlântica (uma das regiões mais endêmicas do mundo), mas esconde uma grande diversidade por trás desta única espécie válida – *H. multifasciatus*. Para propor as relações filogenéticas de *Hollandichthys* dentro da família, nós analisamos genes mitocondriais e nucleares representando 41 espécies de Characidae. Para inferir a história evolutiva do gênero, nós sequenciamos 201 espécimes pertencentes a 20 populações de toda sua área de distribuição. Nós propomos aqui o gênero *Rachoviscus* como o grupo-irmão de *Hollandichthys*. Além disso, os resultados suportam a evidência de que a inseminação evoluiu independentemente pelo menos três vezes em Characidae. Nas análises filogeográficas, nós inferimos uma separação clara, datada de 1.9 milhões de anos, em dois grupos distintos (Norte e Sul) isolados pelo estuário de Paranaguá. As diversas populações agrupam-se consistentemente em cinco grupos que melhor representam a diversidade molecular e geográfica dos nossos dados. De modo geral, a história evolutiva inferida para esse gênero é estritamente correlacionada com as mudanças climáticas que causaram impacto na área da Floresta Atlântica. Um evento de *bottleneck* teria ocorrido durante o último máximo glacial, seguido de um crescimento populacional que coincide com a expansão da floresta – de pequenas e isoladas áreas para uma distribuição contínua.

## ABSTRACT

*Hollandichthys* is a genus of inseminating characid fishes whose relationships and diversity are still to be discovered. Its relationships are uncertain, having been considered as *incertae sedis* in Characidae. Some recent hypothesis set *Hollandichthys* alternatively related to two different genera, *Pseudochalceus* and *Rachoviscus*. It has been long considered a monotypic genus living in small creeks associated with the Atlantic Forest (one of the most endemic regions in the world), but it hides a great diversity behind a single valid species name - *H. multifasciatus*. To access the phylogenetic relationships of *Hollandichthys* we have analyzed mtDNA and nuclear genes representing 41 species of Characidae. To access the evolutionary history of the genus, we have sequenced 201 specimens from at least 20 populations from all distributional range. We found *Rachoviscus* as sister-group of *Hollandichthys*. Furthermore, the results support the evidence that insemination evolved at least three times inside this family. In the phylogeographic approach, we found a clear separation in two different groups (North and South) in the area of Paranaguá estuary in the Brazilian Coast, dating from 1.9 Mya, and the several populations consistently arranged into five groups that better fits to the diversity of our molecular and geographic dataset. In a general manner, the evolutionary history inferred for this genus is strictly correlated with the climatic changes that caused impact in the Atlantic Forest area. A bottleneck would have happened during the last maximum glacial, followed by a population growth that coincides with the expansion of the forest - from small isolated areas to a large continuum.

## APRESENTAÇÃO

### 1. A REGIÃO COSTEIRA E SUA ICTIOFAUNA

A costa brasileira apresenta cerca de 9.200km de extensão e, além dos importantes ecossistemas que a constituem, é a região em que se distribui a maior parte da população humana do país. Os diferentes condicionamentos geológicos e climáticos ao longo de sua extensão são responsáveis por uma grande diversidade de aspectos morfológicos (planícies, falésias, costões rochosos...), tendo sua origem com os eventos que conduziram a abertura do Oceano Atlântico Sul, que foram iniciados no Jurássico (130 milhões de anos) e resultaram na ruptura do super continente Gondwana, a partir de um sistema de fraturas (Villwock *et al.*, 2005).

Devido ao deslocamento das placas tectônicas no sentido Leste ➡ Oeste, a costa do Oceano Atlântico da América do Sul está desenvolvida sobre uma margem continental passiva, o que se contrapõe à costa do Oceano Pacífico, construída sobre uma margem continental ativa. O cavalgamento da Placa Sul-Americana sobre a Placa de Nazca, na margem oeste da América do Sul, desenvolve relevos muito acidentados, como a Cordilheira dos Andes. Já a margem leste, é construída por regiões mais baixas em relação à costa oeste onde, em ambiente atividade tectônica menos ativa, se desenvolveram planícies costeiras com sistemas lagunares e ilhas-barreira que transicionam para extensas plataformas continentais (Villwock *et al.*, 2005).

Ao sul de São Paulo e, principalmente no Paraná e norte de Santa Catarina, as planícies costeiras abrigam extensos complexos estuarino-lagunares, como Paranaguá e Guaratuba (Villwock *et al.*, 2005). Na margem leste brasileira, desde o sul da foz do



rio São Francisco até o rio da Prata, ocorrem numerosos riachos isolados, com pequena área de drenagem. Esses riachos são especialmente curtos e de declive acentuado ao longo da porção sudeste da serra (Lundberg *et al.*, 1998).

A área de abrangência deste estudo, que compreende desde o litoral norte do Rio Grande do Sul até o sul do Rio de Janeiro, é marcada por costas altas onde promontórios rochosos se alternam com pequenas planícies costeiras. O padrão de drenagens do escudo brasileiro é uma herança do período Jurássico-Cretáceo. Após a ruptura e abertura do oceano Atlântico os rios costeiros passaram a evoluir em consonância com processos tectônicos e erosivos locais. O soergimento de blocos, que acabaram por formar a Serra do Mar, causaram reajustes localizados tais como capturas de cabeceiras que permitiram a inversão no sentido de alguns cursos de rios que passaram a correr para o interior do continente (Villwock *et al.*, 2005). Além disso, diversas invasões marinhas desde a abertura do oceano Atlântico tiveram um papel muito importante para a paisagem desta área. Devido a esses eventos geológicos ocorrentes nos últimos milhões de anos, a região costeira vem apresentando grandes oscilações climáticas e na disponibilidade de habitats, culminando em áreas altamente dinâmicas, com um elevado grau de endemismo em sua fauna (Ribeiro, 2006).

Bizerril (1994) calculou a taxa de endemismo para a região costeira brasileira e obteve 23,4% ao nível de gêneros e 95% com relação a espécies. Esse elevado grau de endemismo deve-se ao fato de que peixes de água doce dependem, para sua dispersão, de conexões diretas entre os sistemas de drenagens. Desta forma, devido a história de interconexões entre as bacias, a distribuição íctica ao longo da planície costeira reflete o desenvolvimento geológico implícito. Análises de biogeografia

histórica de peixes permitem criar fortes inferências sobre a biota e a evolução geológica da região analisada (Bermingham and Martin, 1998). Entretanto, informações históricas sobre as comunidades de peixes das drenagens da região costeira são escassas e fragmentadas, dificultando o entendimento dos processos históricos que determinaram a composição e distribuição da fauna de peixes de água doce ao longo da costa brasileira (Bizerril and Lima, 2000).

## **2. O GÊNERO *Hollandichthys***

O gênero *Hollandichthys* corresponde a um grupo neotropical de peixes de água doce popularmente chamados de lambari-listrado, sendo facilmente reconhecidos pela presença de listras pretas longitudinais. Habitam rios costeiros e ilhas marinhas, além da porção superior do rio Tietê na drenagem do rio Paraná (Langeani, 1989). Após a realização de recentes expedições, sua distribuição, anteriormente conhecida desde o norte do estado de Santa Catarina ao sul do Rio de Janeiro, foi ampliada à região norte do Rio Grande do Sul. Nesses locais, são encontrados em pequenos riachos ou poças laterais, com pouca água corrente e fundo lodoso; sempre associados à densa vegetação ripária de mata Atlântica, e apenas em porções preservadas desse bioma (Bertaco, 2003). Segundo Britski (1972), *H. multifasciatus* pode ser capturado em água salobra, podendo ser o caracídeo mais resistente a variações de salinidade.

Esse gênero é composto por uma espécie válida - *H. multifasciatus*. Entretanto, a partir de estudos morfológicos (Bertaco, 2003), foram identificadas oito morfoespécies no gênero, agrupadas com base em 20 sinapomorfias compartilhadas, sendo todas alopátricas e obedecendo a um padrão de distribuição geográfico. Duas

das oito morfoespécies de Bertaco corresponderiam as espécies nominais (*H. affinis* e *H. perstriatus*), atualmente sinônimos de *H. multifasciatus*. Essas morfoespécies distinguem-se principalmente pelo número de raios procorrentes dorsais e ventrais presentes na nadadeira caudal, número de escamas na série longitudinal, diâmetro orbital e colorido padrão (Bertaco, 2003). Entretanto, estas propostas ainda não foram publicadas, e por isso o gênero *Hollandichthys* permanece como gênero monotípico.

*Hollandichthys* já foi considerado sinônimo de *Pseudochalceus* (Schultz, 1966), sendo mais tarde revalidado como gênero (Weitzman *et al.*, 1986). No estudo filogenético de Bertaco (2003), baseado em caracteres morfológicos, o gênero *Pseudochalceus*, ocorrente na porção transandina do Equador e Colômbia, foi proposto como grupo-irmão de *Hollandichthys*. Em outro estudo (Quevedo, 2006), propôs o gênero *Rachoviscus* como grupo irmão. Mais recentemente, Mirande (2009) propôs *Rachoviscus* e *Hollandichthys* como pertencentes a grupos distintos na família Characidae, enquanto Javonillo *et al.* (2010) propuseram os dois gêneros como grupos irmãos.

### **3. ESTUDOS FILOGEOGRÁFICOS**

A biogeografia da ictiofauna Neotropical é pouco conhecida (Ribeiro, 2006). Uma maneira para ampliar esse conhecimento seria através do estudo de pequenos grupos monofiléticos que se presume que sejam relativamente recentes filogeneticamente e que estejam confinados em áreas restritas (Weitzman *et al.*, 1988). Isso facilitaria a identificação de prováveis extinções, estudos de relações entre as áreas de endemismo e avaliação das hipóteses propostas sobre os mecanismos de evolução para esses organismos, o que proporcionaria ricas informações filogenéticas,

de fundamental importância para o entendimento de questões relativas à biogeografia histórica numa escala menor que a continental (Vari and Weitzman, 1990). A filogeografia, como uma subdisciplina da biogeografia, enfatiza aspectos históricos que levaram a distribuição contemporânea das linhagens gênicas (Avice *et al.*, 1998). Dessa forma, o entendimento de tais processos torna possível a inferência de modelos hierárquicos de diferenciação genética e de estrutura filogeográfica, que refletem, assim, a história geográfica da região (Beheregaray *et al.*, 2002).

A análise de diferentes grupos de peixes de água doce em uma determinada região frequentemente demonstra esses modelos hierárquicos de diferenciação genética e de estrutura filogeográfica, necessários para compreender a geografia histórica da região (Avice, 2000). Entretanto, a formulação e o teste de hipóteses gerais sobre a diversidade e diversificação desses peixes neotropicais requer conhecimento sobre sua filogenia e distribuição no tempo e no espaço (Lundberg, 1998).

Buscando respostas para essas questões, marcadores moleculares tem colaborado para um maior entendimento da ictiofauna, podendo corroborar hipóteses e auxiliar no esclarecimento de problemas evolutivos ainda não resolvidos. Para isso, a utilização de DNA mitocondrial (mtDNA) nos oferece grandes contribuições para obter um maior entendimento dos padrões geográficos de distribuição. É esperado que ele nos informe os níveis mais profundos de divergência filogenética (Sunnucks *et al.*, 2000) principalmente por apresentar uma evolução rápida nas populações da maioria dos animais e ser transmitido, raras exceções, pela linhagem materna, sem recombinação intermolecular, sendo por isso uma ferramenta muito utilizada em

estudos filogenéticos a nível de populações (Avice *et al.*, 1998). Além disso, as taxas de substituições de nucleotídeos são relativamente homogêneas dentro dos táxons, tornando possível estimar a cronologia da colonização e os eventos de diversificação (Bermingham and Martin, 1998).

Por outro lado, a utilização de genes nucleares tem aumentado nos últimos anos nesses tipos de estudos, entretanto, por sua evolução mais lenta são muito bons para estudos filogenéticos entre grupos mais antigos. A utilização dos íntrons nucleares ajudou os estudos filogeográficos a inferirem de forma mais completa a história evolutiva dos organismos (Avice, 2009).

#### **4. OBJETIVOS**

Este trabalho tem por objetivo (i) formular hipóteses de relações entre as diversas populações de *Hollandichthys*, (ii) testar a concordância entre os dados genéticos e as morfoespécies propostas e (iii) apresentar uma maior resolução das relações filogenéticas de *Hollandichthys* e os táxons supostamente inferidos como filogeneticamente aparentados. Para tanto esperamos:

- a. Construir uma filogenia baseada em dados moleculares que auxilie no entendimento das relações de *Hollandichthys* dentro da família Characidae;
- b. Compreender a distribuição espacial e temporal, verificando a estruturação haplotípica e eventos de expansão e contração populacional;
- c. Avaliar a diversidade genética dentro do grupo foco do estudo;
- d. Formular hipóteses que expliquem a história evolutiva da região, a qual culminou na distribuição atual do gênero *Hollandichthys*;

e. Ampliar o conhecimento sobre a ictiofauna da região que auxilie nos programas de conservação da mata Atlântica e principalmente dos corpos d'água desse bioma, a partir da diversidade genética estudada.

## Capítulo 1

**Relationships of *Hollandichthys* Eigenmann 1909 (Teleostei: Characidae): a Molecular Inference**

(Manuscrito a ser submetido para o periódico *Neotropical Ichthyology*)

## Relationships of *Hollandichthys* Eigenmann 1909 (Teleostei: Characidae): a Molecular Inference

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**Key-word:** phylogeny, *incertae sedis*, “clade C”, *Rachoviscus*, *Pseudochalceus*.

### Abstract

The phylogenetic relationships inside Characidae are complex with several genera remaining in an uncertain systematic position inside the family. The genus *Hollandichthys* is one of these problematic genera. It has been considered as *incertae sedis* inside this family, until two recent published phylogenies, one morphological and one molecular, have alternatively hypothesized *Hollandichthys* as related to two different genera, *Pseudochalceus* or *Rachoviscus*, respectively. In this paper, we infer the phylogenetic relations of these taxa based on arrangements of five genes sets (three mitochondrial - COI, ND2 and 16S; and two nuclear - Sia and Trop), totalling up to 2719 bp and 41 species in Characidae. Analyzed species include four *incertae sedis* characid taxa once hypothesized as related to *Hollandichthys*, but never analyzed in a single phylogeny (*Rachoviscus*, *Pseudochalceus*, *Nematocharax* and *Hyphessobrycon uruguayensis*). Here we propose the genus *Rachoviscus* as the sister-group of *Hollandichthys*, grouped in the same larger clade with the remaining *incertae sedis* taxa added to this study. In addition, we support the evidence that insemination evolved independently at least three times in Characidae.

### Resumo

As relações filogenéticas dentro da família Characidae são complexas, com vários gêneros de posição incerta na sistemática da família. *Hollandichthys* é um desses gêneros problemáticos, tendo o status de *incertae sedis* dentro da família até recentemente, quando duas filogenias publicadas, uma morfológica e outra molecular, inferiram *Hollandichthys* como mais relacionado a dois gêneros distintos, *Pseudochalceus* ou *Rachoviscus*, respectivamente. Neste trabalho, foram feitas inferências filogenéticas baseadas em diversos arranjos com cinco genes (três mitocondriais - COI, ND2 e 16S; e dois nucleares - Sia e Trop), totalizando até 2719 pb e 41 espécies de Characidae. Este total inclui quatro táxons *incertae sedis* já referidos como supostamente relacionados a *Hollandichthys*, mas nunca analisados numa mesma filogenia (*Rachoviscus*, *Pseudochalceus*, *Nematocharax* and *Hyphessobrycon uruguayensis*). Nós propomos aqui o gênero *Rachoviscus* como o grupo-irmão de *Hollandichthys*, os quais, juntamente com os demais táxons *incertae sedis* adicionados nessa análise, ficaram posicionados em um mesmo clado mais abrangente. Além disso, os resultados suportam a evidência de que a inseminação evoluiu independentemente pelo menos três vezes em Characidae.



## Introduction

1           The family Characidae is the most diverse of the order Characiformes and represents the  
2 fishes popularly known as “tetras”. Characids classification and taxonomy suffer constant changes  
3 due to the complex evolutionary history of the group and lack of well supported phylogenies  
4 (Mirande, 2009). Weitzman & Malabarba (1998) suggested the necessity to investigate the  
5 monophyly of several subfamilies in a tentative to clarify the resolution in Characidae. In view of  
6 the poorly known and incongruent relationships among groups inside this family, Lima *et al.* (2003)  
7 considered as valid only those subfamilies for which some evidence of monophyly was available.  
8 Thus, 88 genera were listed as *incertae sedis* in Characidae, including 620 species. Part of this total,  
9 corresponding to 53% or 47 genera, are monotypic, but probably representing several species  
10 complex (Lima *et al.*, 2003). Recently, a new phylogeny of Characidae, using morphological data,  
11 was suggested by Mirande (2009) in which he presents new combinations at the subfamily level.

12           The first molecular study proposing a phylogeny in Characidae was presented by Ortí &  
13 Meyer (1997), followed by Calcagnotto *et al.* (2005) and Javonillo *et al.* (2010). In this last one, the  
14 authors found three large monophyletic clades among characids (acting a supraorbital bone): the  
15 clade “A”, corroborating proposal of Malabarba & Weitzman (2003), with the subfamilies  
16 Glandulocaudinae and Stevardiinae and 19 *incertae sedis* genera; the clade “B” with the subfamilies  
17 Characinae, the monophyletic Cheirodontinae (Malabarba, 1998), Aphyocharacinae and  
18 Tetragonopterinae sensu strict (including only *Tetragonopterus*); and clade “C” with the subfamily  
19 Stethaprioninae and several genera *incertae sedis*.

20           The genus *Hollandichthys* represents one of the many phylogenetic uncertainties regarding  
21 the family Characidae. This genus has the status of *incertae sedis* and is monotypic. It was first  
22 proposed by Eigenmann in 1909 based on the species *Tetragonopterus multifasciatus* Eigenmann &  
23 Norris, 1900. Two other species described in the genus *Pseudochalceus* (*P. affinis* Steindachner,  
24 1908 and *P. perstriatus* Ribeiro, 1908) were considered as synonyms of *Hollandichthys*  
25 *multifasciatus* by Eigenmann (1910). The genus *Pseudochalceus* Kner, 1863, was always pointed as

26 being very similar to *Hollandichthys*, despite their disparate geographic distributions.  
27 *Hollandichthys* species lives in the Southeastern Atlantic coast of Brazil, while *Pseudochalceus* lives  
28 in coastal drainages of Ecuador and Colombia, in the South American Pacific coast. Even though, in  
29 1966, Schultz referred *Hollandichthys* as a synonym of *Pseudochalceus* because he was unable to  
30 find any important anatomical difference.

31 Géry (1972) cited *Hollandichthys* as subgenus of *Pseudochalceus* and referred to the  
32 geographical disjunction of 4500km between the *Pseudochalceus* species as an interesting  
33 biogeographical problem. Finally, Weitzman *et al.* (1986) proposed to retain the name  
34 *Hollandichthys* until a cladistic phylogenetic study could demonstrate monophyly between  
35 *Hollandichthys* and *Pseudochalceus*. In this same paper they proposed the genera *Hollandichthys*  
36 and *Rachoviscus* Myers, 1926 as being possibly related with the genus *Nematocharax* Weitzman,  
37 Naércio & Menezes, 1986, based on the bear well-toothed maxillae and the relatively close  
38 geographic distribution

39 Only in 2003, Bertaco applied a morphological phylogenetic approach with *Hollandichthys*,  
40 proposing a sister-group relationship to the genus *Pseudochalceus* and these being closely related  
41 to a clade formed by the genus *Rachoviscus* + *Aphyocharax anisitsi* + *Nematobrycon palmeri*. On the  
42 other hand, Quevedo (2006) inferred phylogenetically the genus *Rachoviscus* as sister-group of  
43 *Hollandichthys*, both genera of inseminating fishes, and related to *Mimagoniates rheocharis* and  
44 *Diapoma speculiferum*, both species belonging to the clade "A". Globular expansions are found in  
45 the pectoral-fins of the species *Lophiobrycon weitzmani* Castro *et al.*, 2003 and in both species of  
46 *Rachoviscus* – *R. crassiceps* and *R. graciliceps* (Quevedo, 2006). In a sperm ultra structure analyses  
47 Quevedo (2006) found shared elongate spermatid nuclei in the spermatozooids of the genera  
48 *Rachoviscus*, *Hollandichthys*, *Lophiobrycon* and *Brittanichthys*.

49 Recently, two studies with different inference tools, positioned the genus *Hollandichthys* in  
50 different groups. The morphological study of Mirande (2009) proposed the genus *Hollandichthys* in

51 a group called “*Bramocharax*” formed by the genera *Hollandichthys* + *Pseudochalceus* +  
52 *Bramocharax* + *Oligosarcus*. Conversely, molecular data was used to position *Hollandichthys* in a  
53 clade with *Rachoviscus* + *Moenkhausia* + *Thayeria* (Javonillo *et al.*, 2010).

54 Attempting to test the relationships previously described between some characid genera,  
55 we aim to propose a molecular phylogeny of *Hollandichthys* and putative related taxa, with the  
56 objective to (1) infer the relationships of sister-group and more related taxa to the genus  
57 *Hollandichthys* and (2) suggest a position to this genus inside the Characidae family.

58

## 59 **Material and Methods**

### 60 *Taxon sampling, DNA extraction and sequencing*

61 Tissue samples from 40 specimens, representing 14 *Hollandichthys* and 26 individuals of  
62 other characiforms were obtained from fish collections and field work (Table 1). The ingroup  
63 embraced all the *Hollandichthys* samples, the genera previously proposed as related to  
64 *Hollandichthys*, such as *Pseudochalceus* (Bertaco, 2003), *Rachoviscus* (Quevedo, 2006),  
65 *Nematocharax* (Weitzman *et al.*, 1986) and *Lophiobrycon* (Castro *et al.*, 2003), and species of  
66 Characidae that share some morphological characters with *Hollandichthys*, as longitudinal black  
67 stripes in *Hyphessobrycon uruguayensis*. *Mimagoniates microlepis* was included as a representative  
68 of the Glandulocaudinae, which currently houses *Lophiobrycon*. *Aphyocharax anisitsi* was included  
69 due to its relationships hypothesized to the genera listed above (Bertaco, 2003). We choose as  
70 outgroup the genus *Bryconops* Kner, 1858, considered hypothetically basal species of the  
71 Characidae (Weitzman & Malabarba, 1998).

72 DNA extraction from tissues maintained in 96% ethanol followed the modify salt-  
73 precipitation protocol (Medrano *et al.*, 1990). We amplified five different genes, three as proposed  
74 by Calcagnotto *et al.* (2005): one mitochondrial gene (16S), the nuclear gene seven in absentia (Sia)

75 and the intron 5 of the  $\alpha$ -tropomyosin gene (Trop); and two more mitochondrial genes: cytochrome  
76 oxidase I (COI) and the NADH dehydrogenase 2 (ND2) (Table 2) by the PCR technique in 20 $\mu$ l  
77 reactions with the following concentrations: 10-50ng DNA, 0,2 $\mu$ M primer, 0,2mM of each dNTP, 1x  
78 Buffer, 1,5 $\mu$ M MgCl<sub>2</sub> and 1U *Taq* DNA polymerase *Platinum* (Invitrogen). In some cases 4% of Triton  
79 was added. PCR conditions are presented in Table 3. The PCR product were purified using EXOSAP  
80 (Exonuclease I and Shrimp Alkaline Phosphatase, GE Healthcare®) and the sequences reactions  
81 were performed using the Et Terminator cycle sequencing kit and run in both directions in the  
82 MegaBACE 1000 (GE Healthcare®).

### 83 *Phylogenetic analyses*

84 The forward and reverse chromatogram reads were assembled and visualized using the  
85 *Phred/Phrap/Consed* package (Ewing *et al.*, 1998; Gordon *et al.*, 1998). The consensus sequences  
86 were automatically aligned using the software Muscle 3.6 (Edgar, 2004) and manually checked with  
87 BioEdit 7 (Hall, 1999). The basic statistics, as nucleotide diversity ( $\pi$ ) and nucleotide frequency,  
88 were calculated with the software DnaSP (Rozas *et al.*, 2003) and the pairwise distance among  
89 sequences was estimated with Mega 4 (Tamura *et al.*, 2007), with the Kimura-2-parameter method  
90 (Kimura, 1980). The mitochondrial coding genes COI and ND2 were concatenated because of the  
91 circular form of the mtDNA which makes them interconnected, under the same environmental  
92 pressures (Avice *et al.*, 1987). The gene 16S was not included in this concatenation because of the  
93 differences in the individuals sequenced.

94 Aligned sequences were analyzed with three different methods of phylogenetic inference:  
95 maximum parsimony, maximum likelihood and Bayesian analyses. The maximum parsimony was  
96 conducted in the software TNT (Goloboff, 2003), under the *New Technology Search* with Sectorial  
97 Searches, collapsing rules with minimum length=0, equal weighted and bootstrap values were  
98 estimated with 1000 replications. The maximum likelihood approach was inferred with the program  
99 RaxML 7 (Stamatakis, 2006) with a beginning evolutionary model of GTRGamma, one partition for

100 each gene and 1000 replications of bootstrap. The program Beast 1.5.3 (Drummond & Rambaut,  
101 2007) was used to develop the Bayesian analyses with a partitioned dataset. The evolutionary  
102 models for each partition were selected in MrModelTest 2.3 (Nylander, 2004 ) with PAUP 4.0  
103 (Swofford, 1998). Under the Akaike Information Criterion (AIC), we used the HKY+G model to the  
104 Sia gene partition, while we used the GTR+G model for the partitions containing Trop, 16S and the  
105 concatenated COI/ND2. We performed 100 millions of Markov Chain Monte Carlo (MCMC) sampled  
106 each 10000 generations, and 10% of the initial sampling trees were discarded as a burn-in. The  
107 nodal support was estimated based on its posterior probability.

108 To infer the position of *Hollandichthys* inside the family we used the sequences of  
109 Characidae available in GenBank (<http://www.ncbi.nlm.nih.gov/>) from the paper of Calcagnotto *et*  
110 *al.* (2005) for the genes Sia, Trop and 16S (Table 4) in addition of our sequences to the same three  
111 genes. The maximum parsimony and the maximum likelihood approaches followed the same steps  
112 as described above. For the Bayesian inference we re-estimated the evolutionary models as  
113 GTR+I+G for the genes 16S and Sia, and GTR+G for Trop, both estimated under AIC. We performed  
114 200 millions of Markov Chain Monte Carlo (MCMC) sampled each 10000 generations, with 20% of  
115 the initial samples discarded as a burn-in. The remaining Bayesian parameters were implemented  
116 as described to the first set of analyses. All the threes inferred with the addition of Calcagnotto`s  
117 sequences were rooted in *Chalceus erythrurus* for being *Chalceus* the genus proposed as sister-  
118 group of all other so-called Characidae (Calcagnotto *et al.*, 2005).

119 One last analysis was performed with the sequences to all family to infer a species tree to  
120 Characidae using the method called \*Beast under the multispecies coalescent model using a new  
121 algorithm proposed by Heled & Drummond (2009) and implemented in Beast 1.5.3 . It requires the  
122 combined information from multiple genes, adding the constrain that the time of common ancestry  
123 in each gene cannot be more recent than divergence time of the species (Heled & Drummond,  
124 2009).

## 125 **Results**

### 126 *Nucleotides*

127           After the alignment, the Sia gene consisted of 400 base pairs (bp), the Trop with 192bp, 16S  
128 with 423bp and mitochondrial coding genes concatenated with 1704bp (COI = 639bp/ND2 =  
129 1065bp). The first set of analyses comprised solely the sequences inferred by this study and we  
130 reached a total of 2719pb and 39 final taxa representing 10 species of characids. Of this total,  
131 942bp were variable and 873 parsimony informative. The nucleotide diversity ( $\pi$ ) varied from 0.07  
132 to 0.01. The remaining basics statistics for each partition are accessible in Table 5.

133           For analyses regarding all the family Characidae a total of 1003bp were used with the genes  
134 Sia, Trop and 16S, with 205bp variable and 157bp parsimony informative sites. A total of 70 final  
135 taxa representing 41 species of the family were employed. In this case, the nucleotide diversity ( $\pi$ )  
136 varied from 0.05 to 0.03 and the mean distance between sequences was 0.036 (Table 5).

137           The Trop intron region varied in length from 300pb to 1200pb (Calcagnotto *et al.*, 2005)  
138 because of this great difference in the indels length and the difficulty in aligning them, this region  
139 was deleted of the sequences. In the 16S the loops corresponding to the secondary structure  
140 proposed by Ortí & Meyer (1997) were excluded from the analyses. With the nuclear genes, we  
141 used the IUPAC-IUB ambiguity code for the cases that single nucleotide polymorphisms were  
142 detected in some individuals.

### 143 *Test of Putative Related Taxa*

144           The three methods of phylogeny inference utilized in this set of analyses have shown  
145 similar tree topologies (Fig. 1-3). For some clades, however, low support values were inferred  
146 mainly in the shallow branches. The bootstrap values above 50 are shown in the nodes and the  
147 posterior probability over 0.7. Lower values were omitted. The maximum parsimony analysis found  
148 three trees with length= 1651, CI= 77 and RI=90.

149           The monophyly of the genus *Hollandichthys* was tested and, in all trees inferred, the  
150 representatives of the genus have proved to share a common ancestral (Fig. 1-3). The genus  
151 *Rachoviscus* was inferred to be the sister-group of *Hollandichthys* in all methods (Fig. 1-3) and these  
152 two genera (*Hollandichthys* + *Rachoviscus*) form a clade with high support. A more inclusive clade  
153 including (*Hollandichthys* + *Rachoviscus*) and other three taxa: *Pseudochalceus*, *Hyphessobrycon*  
154 *uruguayensis* and *Nematocharax*, shows good support, proving they are more closely related than  
155 other taxa tested. It is possible to infer *Nematocharax* and *Hyphessobrycon uruguayensis* as being  
156 more closely related and *Pseudochalceus* position as still unclear inside the group.

157           The remaining taxa added to these analyses, as *Lophiobrycon*, *Mimagoniates* and  
158 *Aphyocharax*, are distantly related to the *Hollandichthys*'s group. A clade with *Mimagoniates* and  
159 *Lophiobrycon* are supported by high values of bootstrap/posterior probability, corresponding to the  
160 Glandulocaudinae.

#### 161 *Position in the Family Characidae*

162           Our maximum parsimony analysis (Fig. 4) has reached a similar topology as the Calcagnotto  
163 *et al.* (2005), with small differences in the position of some taxa, probably due to the addition of  
164 new species and the different root applied. The parsimony searches found 186 trees with a length  
165 of 588, CI=45 and RI=74. The trees for each methodology are presented in figures 4 to 6. The  
166 bootstrap values higher than 50 are shown in the nodes and the posterior probability higher than  
167 0.7. Lower values were omitted.

168           In all trees it is possible to distinguish three major clades quite well supported. The first one  
169 corresponds to the clade "A" (Malabarba & Weitzman, 2003), and the second and third clades  
170 agree with clades "B" and "C" proposed by Javonillo *et al.* (2010). We follow this proposal (clades  
171 "A", "B" and "C") since our results maintained the same pattern. The basal characids which are  
172 always pointed as components of a single or multiple and successive sister-groups of the other

173 three clades (Malabarba & Weitzman, 2003; Calcagnotto *et al.*, 2005; Mirande, 2009) formed a  
174 unique clade in our analyses.

175 The relationships between clades “A”, “B” and “C” are very unclear and change according to  
176 the method. In the parsimony tree the clade “A” is sister-group of (“B”+“C”). To the likelihood, the  
177 clade “B” is sister-group of (“A”+“C”) and to the Bayesian analysis clade “C” is sister-group of  
178 (“A”+“B”). This incongruence show how contradictory the methods of molecular inference can be  
179 dealing with our data set at this level of analyses.

180 The genus *Hollandichthys* is positioned in the clade “C” along with *Rachoviscus*. The internal  
181 relationships of clade “C” are very plastic, depending on the method used in the tree inference. In  
182 the parsimony analysis (Fig. 4), this clade forms a big polytomy with only two clades well supported:  
183 *Rachoviscus + Hollandichthys* and *Astyanax scabripinnis + A. bimaculatus*. Because of this, the  
184 sister-group more related to *Hollandichthys + Rachoviscus* remains uncertain. The position of the  
185 genus *Pseudochalceus* is still unknown because of the incongruence inside this clade. In the  
186 parsimony tree (Fig. 4) this genus appears in a polytomy. In the likelihood tree (Fig. 5) it is  
187 positioned with *Hemigrammus bleheri* and some species of *Astyanax*, while in the Bayesian  
188 approach (Fig. 6) this genus is inferred to be the sister-group of the clade formed by *Hollandichthys*  
189 and *Rachoviscus*. Nevertheless, in the likelihood and Bayesian the low support keeps the position of  
190 *Pseudochalceus* still unclear.

191 Some groups can be defined by the likelihood (Fig. 5) and Bayesian (Fig. 6) trees with high  
192 support. In both trees *Nematocharax venustus* grouped with *Hemigrammus erythrozonus* and  
193 *Hyphessobrycon uruguayensis* with *Hemigrammus rodwayi*, these point both species as not closely  
194 related to *Hollandichthys* and the genus *Hemigrammus* as paraphyletic.

195 The genus *Lophiobrycon* is positioned inside clade “A” and just for the Bayesian approach it  
196 appears along with *Hemibrycon beni* with a relatively well value of posterior probability (0.8).

197 *Species Tree*



198           The topology proposed by this methodology (Fig. 7) is very similar to the gene tree  
199 proposed by the Bayesian analysis (Fig. 6). The order between the major clades is the same (C +  
200 (A+B)), but some differences are found. In this approach, the genus *Hollandichthys* is positioned as  
201 sister-group of *Rachoviscus graciliceps* making *Rachoviscus* paraphyletic. The species of the genus  
202 *Astyanax* available in the data of Calcagnotto are positioned along with *Hemigrammus bleheri*  
203 forming a group with (*Rachoviscus* + *Hollandichthys*). The genus *Lophiobrycon* is pointed as sister-  
204 group of *Mimagoniates* clade. But the relationships that were not congruent among the gene trees  
205 methodologies remain unclear by this methodology of inferring the species tree.

206

## 207 **Discussion**

208           Both analyses, the first performed with *Sia*, *Trop*, 16S and mitochondrial coding genes  
209 including characid genera previously considered as possibly related to *Hollandichthys*, and the  
210 second performed with genes *Sia*, *Trop* and 16S and including a larger number of characid  
211 representatives, strongly support *Rachoviscus* as sister-group of *Hollandichthys*, corroborating the  
212 morphological hypotheses of Quevedo (2006) and the molecular hypothesis of Javonillo *et al.*  
213 (2010), and refuting other hypotheses presented above (Bertaco, 2003; Mirande, 2009). Quevedo  
214 (2006) proposed a synapomorphy for these two genera: a body cavity encompassing the anus and  
215 urogenital opening. Species of the two genera are inseminating and this cavity was inferred to play  
216 some role in the spermatozoid transference from males to females.

217           The three large internal clades of Characidae previously proposed (Malabarba & Weitzman,  
218 2003; Javonillo *et al.*, 2010) are clearly reconstructed in this study and seems to be valid taxonomic  
219 units inside the family. Such a definition is useful in the clearance of the chaotic taxonomic status of  
220 several intrafamilial taxa, and in furnishing guidelines in the choice of taxa in future phylogenetic  
221 studies. The genera *Hollandichthys* and *Rachoviscus* are positioned in the clade “C” corroborating  
222 the proposal by Javonillo *et al.* (2010). The addition of five *incertae sedis* (*Hollandichthys*,

223 *Rachoviscus*, *Pseudochalceus*, *Nematocharax* and *Hyphessobrycon uruguayensis*) inside the clade  
224 “C” is extremely helpful to clarify their relationships with other taxa inside this family (Weitzman &  
225 Malabarba, 1998).

226 Our results further corroborate the hypothesis that insemination evolved independently at  
227 least three times in Characidae (Malabarba, 1998), once in Clade C (*Hollandichthys* + *Rachoviscus*  
228 clade), once in Clade A (Stevardiinae and Glandulocaudinae - Menezes *et al.*, 2008), and once in  
229 clade B (in the tribe Compsurini of the Cheirodontinae, - Oliveira, 2007).

230 Although *Pseudochalceus*, *Nematocharax*, and *Hyphessobrycon uruguayensis* clustered  
231 together with *Hollandichthys* + *Rachoviscus* in the first analyses, they fail to group to these two  
232 genera in a more inclusive set of analyses including a larger number of characid taxa. Even though  
233 all genera belong to Clade C, their relationships to other genera are weakly supported, changing  
234 their position in tree topology according to the method of analyses. The low support values inside  
235 the clade “C” and the discordance of the position of some taxa inside this clade (Fig 4, 5, 6) was  
236 already noted in other molecular studies (Calcagnotto *et al.*, 2005; Javonillo *et al.*, 2010). This  
237 possibly occurs due to the large diversity inside this clade comparing to clades “A” and “B”, and to  
238 the small number of representatives used so far in the phylogeny (Javonillo *et al.*, 2010).

239 *Pseudochalceus* is morphologically very similar to *Hollandichthys* in such a way they were  
240 once considered a single genus (Schultz, 1966) or sister taxa (Bertaco, 2003), but such similarities  
241 seems to represent convergence or the common sharing of plesiomorphic traits among them. A  
242 better resolved phylogeny is needed to support one of the hypotheses. Although not as  
243 morphologically similar to *Hollandichthys* as *Pseudochalceus*, the same is true for *Hyphessobrycon*  
244 *uruguayensis*, which has longitudinal black stripes along the body and this is the reason to doubts in  
245 the identification of this species with *Hollandichthys* and *Pseudochalceus*.

246           The tentative proposal of Mirande (2009) grouping *Rachoviscus* (not included in his  
247 analysis) to the Aphyocharacinae is refuted, since *Rachoviscus* appears distant from *Aphyocharax*,  
248 housed in Clade B.

249           Mirande (2009) found the genus *Nematocharax*, listed by him inside Rhoadsiinae, varied its  
250 position in the cladogram in different analyses. Similarly, his clade formed by *Hollandichthys* +  
251 *Pseudochalceus*, listed inside the *Bramocharax* clade, showed an unstable position between other  
252 taxa. Although our analysis lacks representatives of *Bramocharax* or Rhoadsiinae, we always found  
253 *Nematocharax*, *Pseudochalceus*, and *Hollandichthys* grouped to clade C taxa, showing a better  
254 resolution than that of Mirande (2009). *Nematocharax* and *Pseudochalceus* were absent in Javonillo  
255 *et al.* (2010) analyses.

256           *Lophiobrycon weitzmani* is here positioned in the clade known as clade “A” (Malabarba &  
257 Weitzman, 2003) and the similar expansions in the pectoral fin rays found in this species and  
258 *Rachoviscus* does not seem to be homologous just as inferred by Quevedo (2006).

259           Inside *Hollandichthys*, the small universe of sampling was enough to demonstrate the  
260 diversity hidden behind a monotypic genus. The fourteen sequenced specimens resulted arranged  
261 in two very well supported clades, suggesting that the several populations of *Hollandichthys* may  
262 show a hierarchic pattern of relationships. It makes also possible that the two nominal species  
263 available to the genus (*H. perstriatus* and *H. affinis*) and currently considered junior synonyms of *H.*  
264 *multifasciatus*, become valid taxonomic units after a review of the *Hollandichthys multifasciatus*  
265 complex (Bertaco, 2003). Relationships among several *Hollandichthys* populations are further  
266 explored in Thomaz *et al.* (this volume).

267           The species tree approach is an inference that try to reduce potential sources of  
268 discrepancy between gene trees and species tree as horizontal transfer, lineage sorting and gene  
269 duplication/extinction (van der Niet & Peter Linder, 2008). Because of these events a gene history  
270 can be different from the species phylogeny, like the *Rachoviscus* genus being paraphyletic in the

271 species tree. To the case of Characidae the inferred species tree (Fig. 7) reported a very similar to  
272 the Bayesian gene tree (Fig. 6). The small differences found are probably due to the complexity of  
273 the relationships inside the family and this approach is especially good for problematic with closely  
274 related species or species with large population sizes (Heled & Drummond, 2009). Others studies  
275 inferring species tree with a taxa group more related can better test this new methodology but,  
276 with no doubt, this multispecies coalescent method represents a step toward the unification of  
277 molecular systematics and phylogeography.

278 Our molecular tentative to position the genus *Hollandichthys* inside Characidae rises with  
279 the problematic relationships among the groups inside this family: the large and unknown diversity  
280 and the different levels of divergence along the time. Some recent studies are contributing for a  
281 better comprehension of this complex group (Calcagnotto *et al.*, 2005; Mirande, 2009; Javonillo *et*  
282 *al.*, 2010). Besides that, our results were efficient in determining *Rachoviscus* as the sister-group of  
283 *Hollandichthys* and inferring the position of some *incertae sedis* genera. With an increase of the  
284 number of studies dedicated to solve small phylogeny problems inside characids, like the presented  
285 here, it will be possible to better understand the relationships in higher levels.

286

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**Table 1.** Tissue samples, voucher specimens and sequences used to the phylogenetic inference. UFRGS = Universidade Federal do Rio Grande do Sul, MUSM = Museo de La Universidad de San Marcos , MCP = Museu de Ciências e Tecnologia PUCRS, MHNCI = Museu de História Natural Capão da Imbuia, MNRJ = Museu Nacional Rio de Janeiro, STRI = Smithsonian Tropical Research Institute.

Taxon	Voucher	Sequence				
		Sia	Trop	16S	COI	ND2
<i>Aphyocharax anisitsi</i>	UFRGS 9360 (TEC43)	✓	✓	✓	✓	✗
<i>Aphyocharax anisitsi</i>	UFRGS 9361 (TEC44)	✓	✓	✓	✓	✗
<i>Astyanacinus multidentis</i>	MUSM 35742 A	✗	✗	✓	✗	✗
<i>Bryconops sp.</i>	MCP 35087	✓	✗	✓	✓	✗
<i>Bryconops sp.</i>	MCP 35087	✗	✗	✓	✗	✗
<i>Bryconops sp.</i>	MCP 35087	✗	✗	✓	✓	✗
<i>Hollandichthys multifasciatus</i>	UFRGS 11793 (TEC842 E)	✓	✓	✓	✓	✓
<i>Hollandichthys multifasciatus</i>	UFRGS 11792 (TEC841 B)	✓	✓	✓	✓	✓
<i>Hollandichthys multifasciatus</i>	MCP 38333 (C)	✓	✓	✓	✓	✓
<i>Hollandichthys multifasciatus</i>	UFRGS 10579 (TEC 105)	✓	✓	✓	✓	✓
<i>Hollandichthys multifasciatus</i>	MHNCI	✓	✓	✓	✓	✓
<i>Hollandichthys multifasciatus</i>	MCP 30557	✓	✓	✓	✓	✓
<i>Hollandichthys multifasciatus</i>	UFRGS 11782 (TEC 739 A)	✓	✗	✓	✓	✓
<i>Hollandichthys multifasciatus</i>	UFRGS 11784 (TEC 722 H)	✓	✓	✓	✓	✓
<i>Hollandichthys multifasciatus</i>	UFRGS 11785 (TEC 820 E)	✓	✓	✓	✓	✓
<i>Hollandichthys multifasciatus</i>	UFRGS 11787 (TEC 827 C)	✗	✓	✓	✓	✓
<i>Hollandichthys multifasciatus</i>	UFRGS 11771 (TEC 888 A)	✗	✗	✓	✓	✓
<i>Hollandichthys multifasciatus</i>	UFRGS 11788 (TEC 859 A)	✓	✓	✓	✓	✓
<i>Hollandichthys multifasciatus</i>	UFRGS 11773 (TEC 897 C)	✓	✗	✓	✓	✓
<i>Hollandichthys multifasciatus</i>	UFRGS 11776 (TEC 909 D)	✓	✓	✓	✓	✓
<i>Hyphessobrycon uruguayensis</i>	UFRGS 10564 (TEC 194 A)	✓	✓	✓	✗	✗
<i>Hyphessobrycon uruguayensis</i>	UFRGS 10564 (TEC 194 B)	✓	✓	✓	✓	✗
<i>Hyphessobrycon uruguayensis</i>	UFRGS 11129 (TEC 325 A)	✓	✓	✓	✗	✗
<i>Hyphessobrycon uruguayensis</i>	UFRGS 11129 (TEC 325 B)	✓	✓	✓	✓	✗
<i>Lophiobrycon weitzmani</i>	MNRJ 31664	✓	✓	✓	✗	✓
<i>Mimagoniates microlepis</i>	MCP 31800	✓	✗	✓	✗	✗
<i>Mimagoniates microlepis</i>	MCP 31800	✓	✗	✓	✗	✓
<i>Nematocharax venustus</i>	UFRGS 11649 (TEC 1121 A)	✓	✓	✓	✓	✗
<i>Nematocharax venustus</i>	UFRGS 11649 (TEC 1121 B)	✓	✓	✓	✓	✗
<i>Nematocharax venustus</i>	UFRGS 11649 (TEC 1121 C)	✓	✓	✓	✓	✗
<i>Nematocharax venustus</i>	UFRGS 11655 (TEC 1132 A)	✓	✓	✓	✓	✗
<i>Nematocharax venustus</i>	UFRGS 11655 (TEC 1132 B)	✓	✓	✓	✓	✗
<i>Pseudochalceus kyburzi</i>	STRI-9309	✗	✓	✓	✓	✓
<i>Pseudochalceus kyburzi</i>	STRI-9310	✓	✗	✓	✗	✓
<i>Pseudochalceus kyburzi</i>	STRI-9311	✓	✓	✓	✗	✓
<i>Rachoviscus crassiceps</i>	UFRGS 9356 (TEC 102)	✓	✓	✓	✓	✓
<i>Rachoviscus crassiceps</i>	UFRGS 9356 (TEC 103)	✓	✓	✓	✓	✓
<i>Rachoviscus graciliceps</i>	UFRGS 11116 (TEC 1117 A)	✓	✓	✓	✓	✓
<i>Rachoviscus graciliceps</i>	UFRGS 11116 (TEC 1117 B)	✓	✓	✓	✓	✓
<i>Rachoviscus graciliceps</i>	UFRGS 11116 (TEC 1117 C)	✓	✓	✓	✓	✓

**Table 2.** Primers used in this study, with their sequence and source.

Gene	Primer sequence (liste from 5' to 3')	Source
16S ar	ACG CCT GTT TAT CAA AAA CAT	Palumbi (1996)
16S br	CCG GTC TGA ACT CAG ATC ACG T	Palumbi (1996)
sia/T3b	ATT AAC CCT CAC TAA AGT CGA GTG CCC CGT GTG YTT YGA YTA	Calcagnotto <i>et al.</i> (2005)
sia/T7b	AAT ACG ACT CAC TAT AGG AAG TGG AAG CCG AAG CAG SWY TGC ATC AT	Calcagnotto <i>et al.</i> (2005)
TROP F	GAG TTG GAT CGG GCT CAG GA GCG	Friesen <i>et al.</i> (1999)
TROP R	CGG TCA GCC TCT TCA GCA ATG TGC TT	Friesen <i>et al.</i> (1999)
COI-H2198	TAA AcT TcA ggg TgA ccA AAA AAT cA	Herbert <i>et al.</i> (2003)
COI-L1490	ggT cAA cAA ATc ATA AAg ATA TTg g	Herbert <i>et al.</i> (2003)
ND2-L5216	GGC CCA TAC CCC GRA AAT G	Sorenson (2003)
ND2-H6313	ACT CTT RTT TAA GGC TTT GAA GGC	Sorenson (2003)

**Table 3.** PCR conditions.

Primer	Desnaturation	Cycles	Extension
16S	95°C/10'	35x 95°C/30", 48°C/30", 72°C/45"	72°C/7'
SIA	95°C/5'	35x 95°C/30", 60°C/30", 72°C/45"	72°C/7'
TROP	95°C/10'	35x 95°C/30", 60°C/30", 72°C/45"	72°C/7'
COI	96°C/1'	40x 94°C/30", 50°C/20", 48°C/5", 46°C/5", 44°C/5", 42°C/5", 40°C/20", 72°C/1'	72°C/3'
ND2	94°C/4'	9x 94°C/30", 57°C-1°C/cycle/1', 72°C/1'30", 40x 94°C/30", 47°C/1', 72°C/1'30"	72°C/5'

**Table 4.** Sequences of Calcagnotto *et al.* (2005) added to the analyses.

Taxon	GenBank Accession Number		
	16S	Sia	Trop
<i>Acestrorhynchus sp.</i>	AY787956	AY790014	AY817181
<i>Acestrorhynchus cf. nasutus</i>	-	AY790015	AY817182
<i>Aphyocheirodon sp.</i>	AY787966	AY790025	-
<i>Astyanacinus sp.</i>	AY787969	AY790028	AY817190
<i>Astyanacinus sp.</i>	AY787987	AY790046	AY817209
<i>Astyanax bimaculatus</i>	AY787955	AY790013	AY817180
<i>Astyanax scabripinnis</i>	AY787967	AY790026	AY817188
<i>Brycon sp.</i>	AY787982	AY790041	AY817204
<i>Brycon hilarii</i>	AY787976	AY790035	AY817198
<i>Bryconamericus diaphanus.</i>	AY787984	AY790043	AY817206
<i>Bryconops sp.</i>	AY787985	AY790044	AY817207
<i>Chalceus macrolepidotus</i>	AY787999	AY790058	AY817217
<i>Chalceus erythrurus</i>	AY787990	AY790049	AY817211
<i>Cheirodon sp.</i>	AY787995	AY790054	-
<i>Cheirodontops sp.</i>	AY787996	AY790055	-
<i>Creagrutus sp.</i>	AY788001	AY790060	AY817219
<i>Exodon paradoxus</i>	AY788013	AY790072	AY817227
<i>Gephyrocharax sp.</i>	AY788014	AY790073	AY817228
<i>Hemibrycon cf. beni</i>	AY788020	AY790079	AY817234
<i>Hemigrammus bleheri</i>	AY788017	AY790076	AY817231
<i>Hemigrammus erythrozonus</i>	AY788023	AY790082	AY817236
<i>Hemigrammus rodwayi</i>	AY788034	AY790092	AY817245
<i>Hyphessobrycon eques</i>	AY788022	AY790081	AY817235
<i>Inpaichthys kerri</i>	AY788039	AY790097	AY817248
<i>Knodus sp.</i>	AY788041	AY790099	AY817249
<i>Moenkhausia sanctaefilomenae</i>	AY788054	AY790112	AY817261
<i>Mimagoniates lateralis</i>	AY788051	AY790109	AY817259
<i>Prodonotocharax sp.</i>	AY788064	AY790122	-
<i>Roebooides sp.</i>	AY787994	AY790053	AY817214
<i>Salminus brasiliensis</i>	AY788080	AY790137	AY817282
<i>Triportheus angulatus</i>	AY788082	AY790139	AY817283

**Table 5.** Nucleotide diversity to each dataset used in the analyses (\*=COI + ND2, only samples without missing data; L= length; N= number of sequences; V= variable sites; S= segregating sites; P= parsimony informative sites;  $\pi$ = nucleotide diversity; k= average number of nucleotide differences; d= average of pairwise distance with kimura-2-parameter).

Approach	Segments	L	N	V	S	P	$\pi$	k	d	Nucleotide frequency			
										T	C	A	G
Related taxa	Concatenated*	1704	36	819	319	763	0.07	75.820	0.079	0.31	0.24	0.27	0.18
	16S	423	39	61	60	61	0.039	16.621	0.042	0.24	0.23	0.28	0.25
	Sia	400	34	50	50	41	0.027	10.699	0.028	0.17	0.38	0.17	0.28
	Trop	192	30	12	10	8	0.011	2.0050	0.011	0.14	0.19	0.28	0.39
Family	16S	423	60	101	95	79	0.050	20.541	0.052	0.24	0.23	0.28	0.25
	Sia	400	58	80	61	61	0.027	9.5610	0.028	0.17	0.37	0.18	0.28
	Trop	180	50	24	19	17	0.027	3.5550	0.029	0.14	0.18	0.29	0.39

**Figure legends:**

**Fig. 1.** Maximum parsimony tree inferred with *Sia*, *Trop*, *16S*, *COI* and *ND2* genes within taxa hypothesized as related to *Hollandichthys* [gray square]. Bootstrap values up to 50 are shown in the nodes.

**Fig. 2.** Maximum likelihood inference inferred with *Sia*, *Trop*, *16S*, *COI* and *ND2* genes within taxa hypothesized as related to *Hollandichthys* [gray square]. Bootstrap values up to 50 are shown in the nodes.

**Fig. 3.** Bayesian tree inferred with *Sia*, *Trop*, *16S*, *COI* and *ND2* genes to the taxa hypothesized as related to *Hollandichthys* [gray square]. Posterior probability values up to 0.7 are shown in the nodes.

**Fig. 4.** Phylogenetic inference to Characidae family with maximum parsimony analysis using the genes *Sia*, *Trop* and *16S*. The number in the nodes represents the bootstrap values up to 50.

**Fig. 5.** Maximum likelihood tree with *Sia*, *Trop* and *16S* genes to the family Characidae. The number in the nodes represents the bootstrap values up to 50.

**Fig. 6.** Bayesian tree with *Sia*, *Trop* and *16S* genes to Characidae family with posterior probability up to 0.7 in the nodes.

**Fig. 7.** Species tree proposed to the family Characidae with the genes *Sia*, *Trops* and *16S*. Posterior probability up to 0.7 in the nodes.

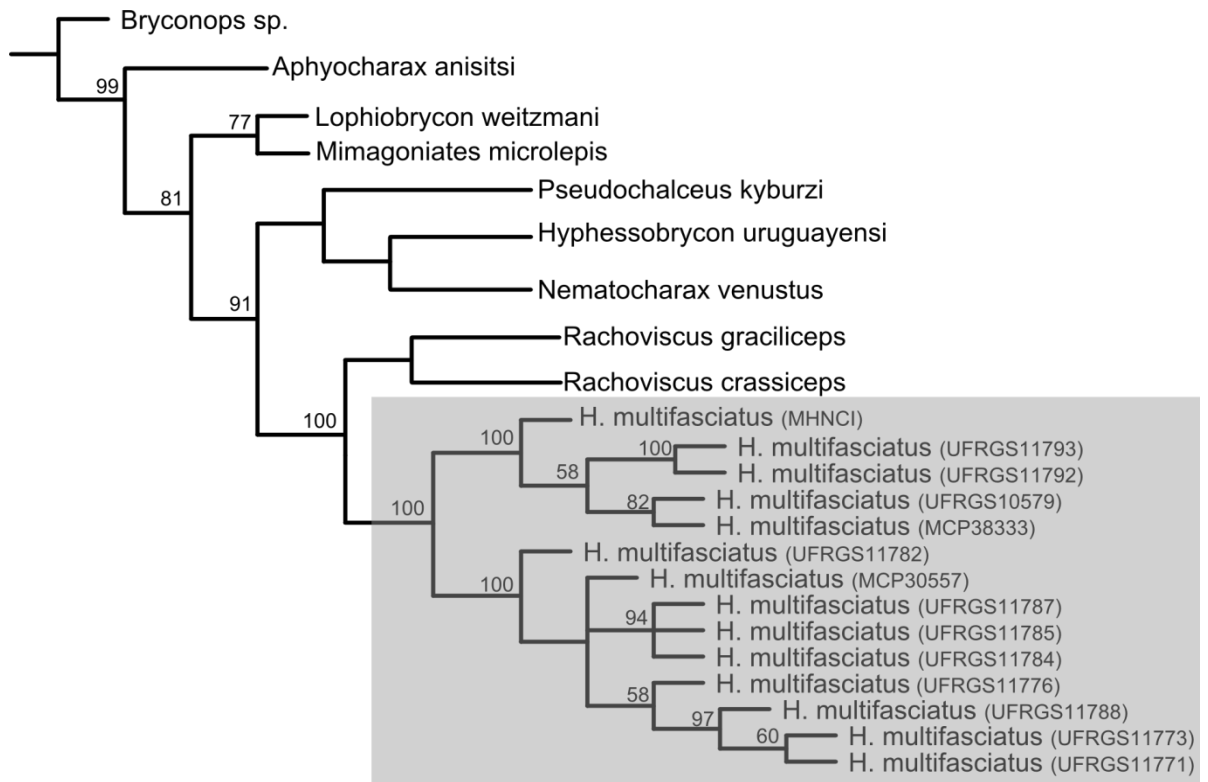


Fig. 1.

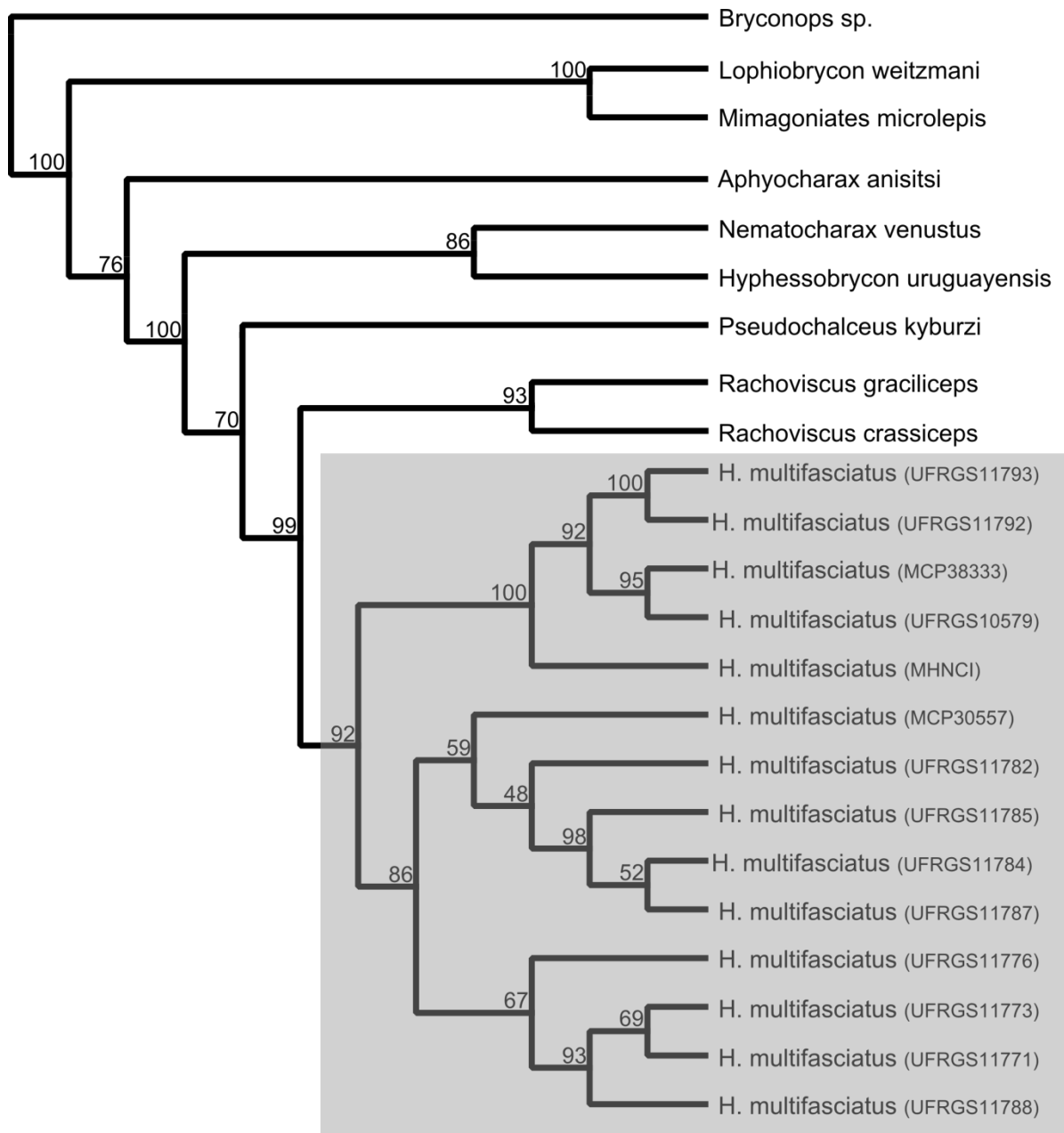


Fig. 2.

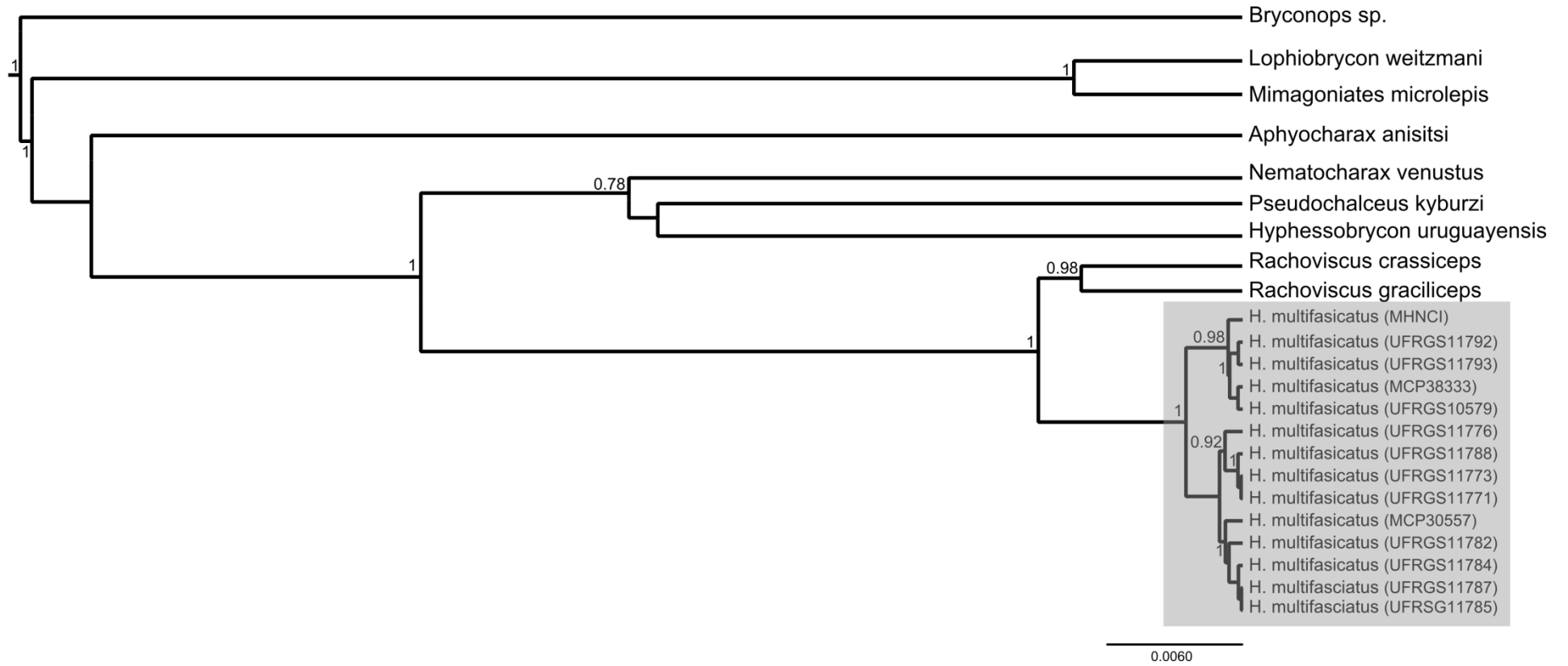


Fig. 3.



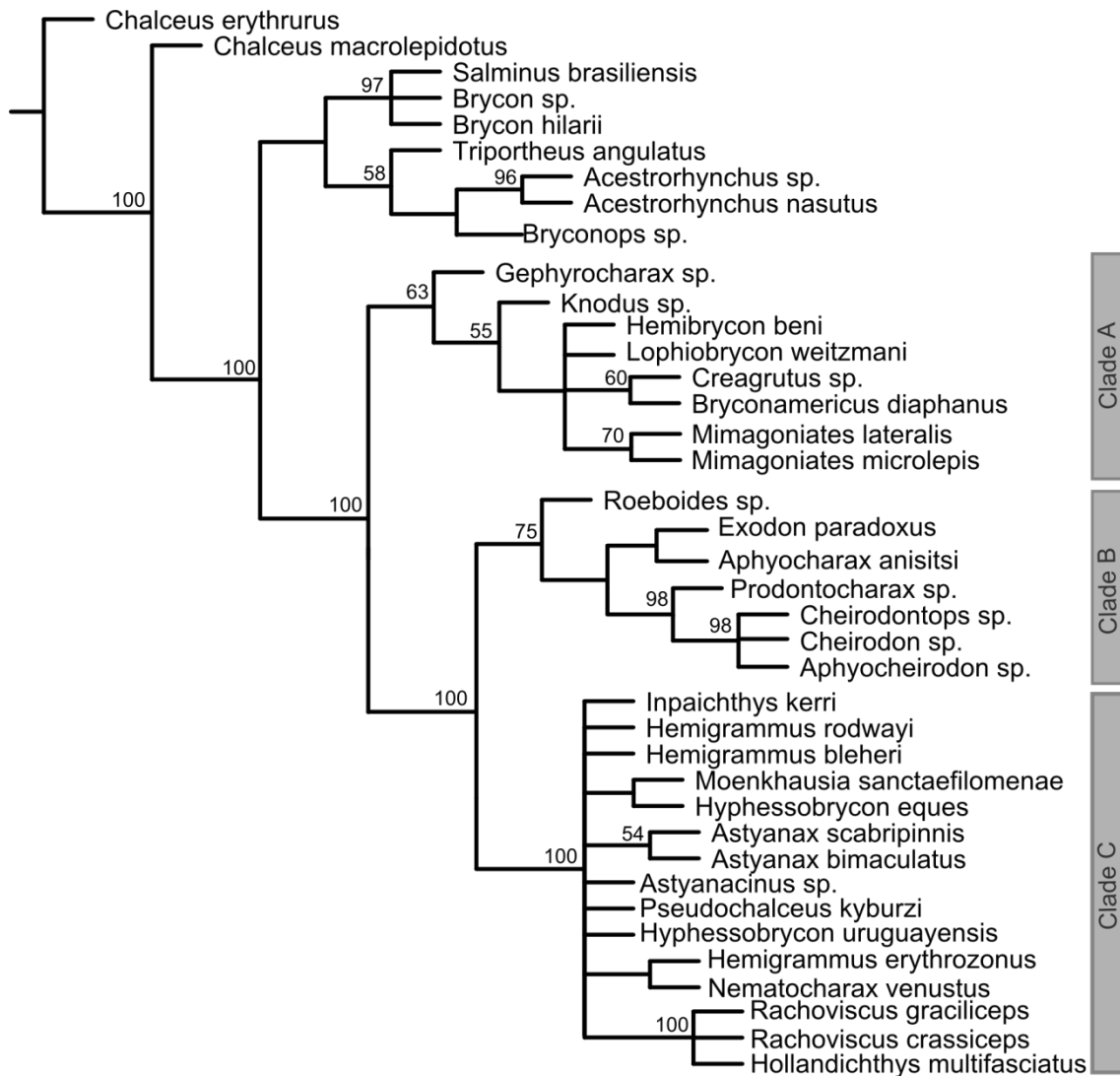


Fig. 4.

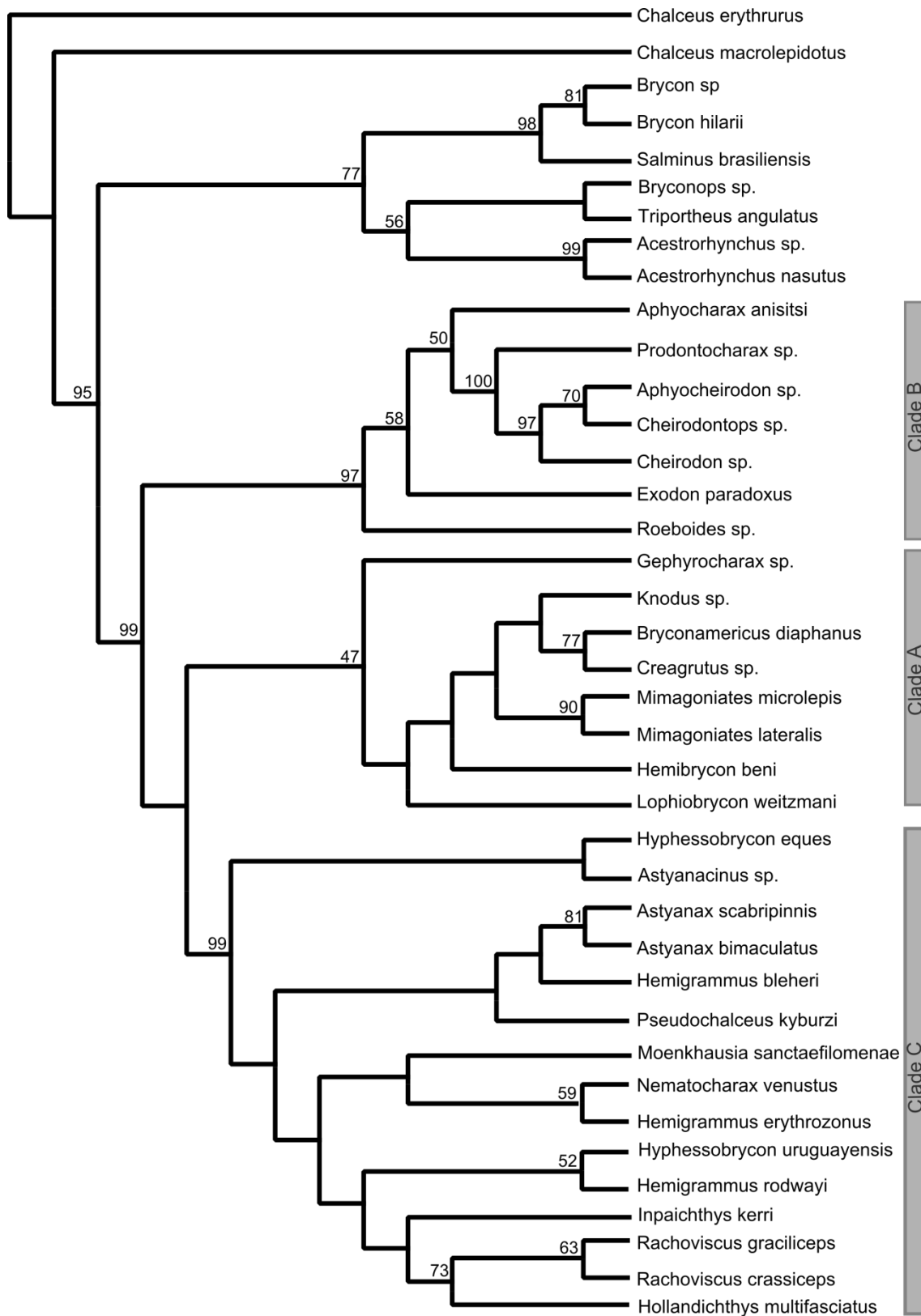


Fig. 5.

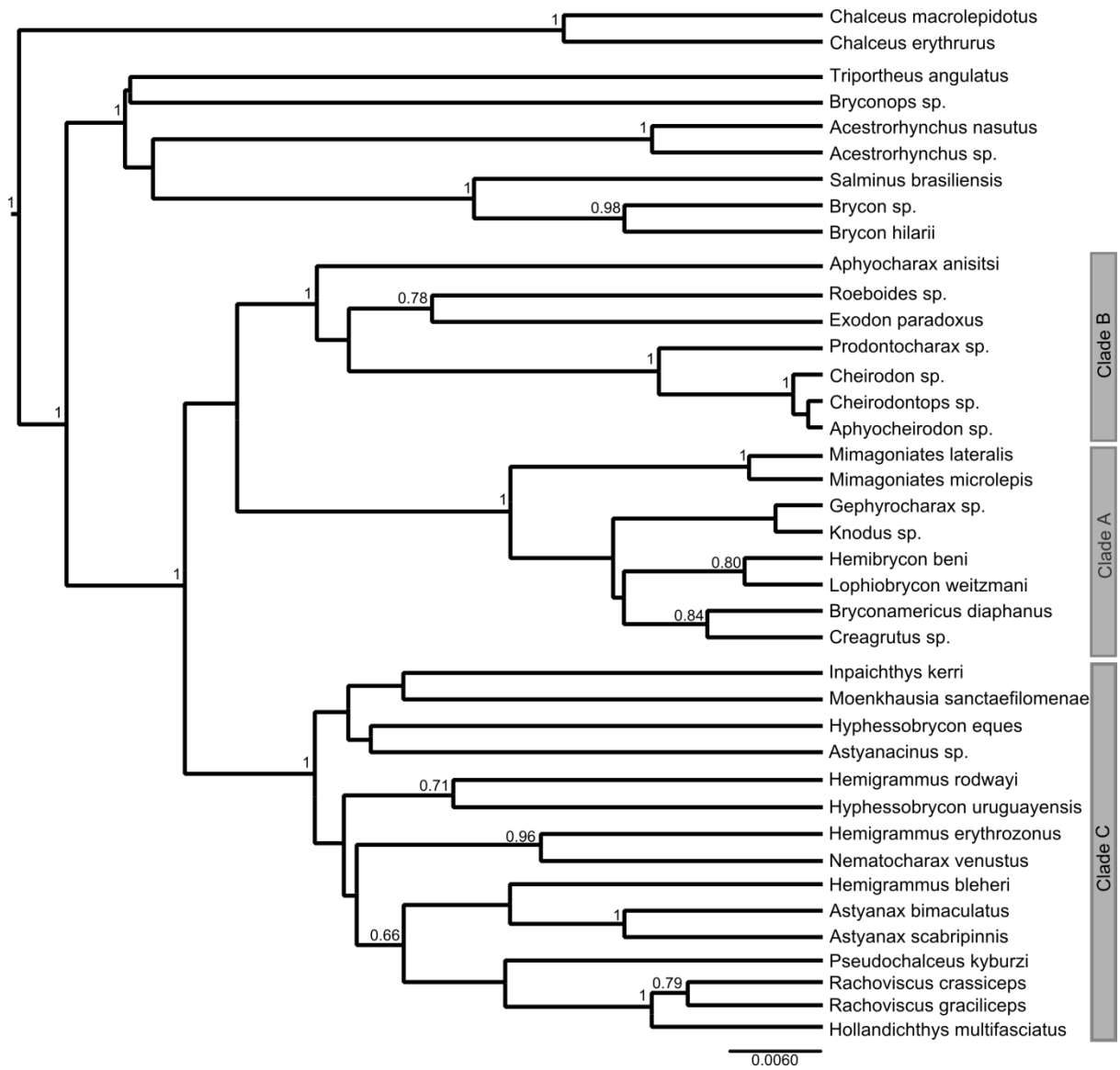


Fig. 6.

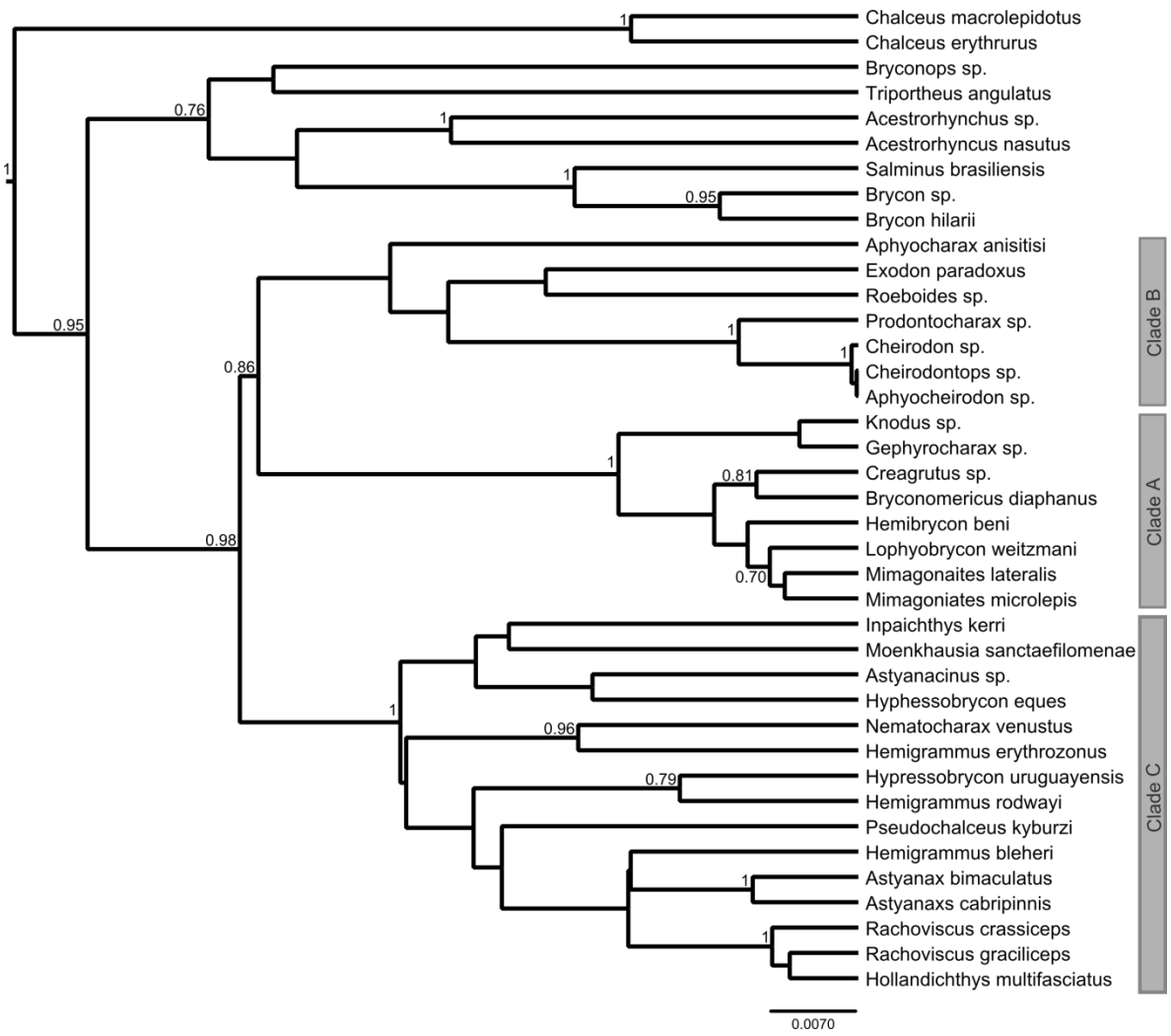


Fig. 7.

## **Capítulo 2**

### **Phylogeography of the Species Complex**

***Hollandichthys multifasciatus* Eigenmann & Norris, 1900 (Teleostei: Characidae)**

#### **In Brazilian Coastal Drainages**

(Artigo a ser submetido ao periódico *Molecular Phylogenetics and Evolution*)

**Phylogeography of the Species Complex *Hollandichthys multifasciatus* Eigenmann & Norris,  
1900 (Teleostei: Characidae) in Brazilian Coastal Drainages**

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## **Abstract**

The Brazilian Atlantic coast corresponds to a system of isolated hydrographic basins, including important ecosystems as the Atlantic Forest. It is constantly under geological and climatic changes, especially because the glaciation and inter-glaciation periods. The ichthyofauna in this region suffered all these pressures, showing an extremely high endemism. *Hollandichthys multifasciatus* represents one of these cases and is known to have a great diversity behind this single name with eight morphotypes proposed. Results inferred with 201 samples of two mtDNA (COI and ND2) and more 40 sequences of three more conserved genes (16S, Trop, Sia) from 20 populations, proposed a consistent separation of two groups - North and South - in the Paranaguá estuary, dating from 1.8 Mya. The populations were consistently arranged into five groups that better fits to our molecular and geographic data set. In a general manner, the evolutionary history inferred for this genus is strictly correlated with the climatic changes that caused impact in the Atlantic Forest area. A bottleneck would have happened during the last maximum glacial, followed by a populational growth that coincides with the expansion of the forest - from small isolated areas to a large continuum.

**Key-word:** Atlantic Forest, Quaternary, endemism, speciation, morphotypes.

## 1. Introduction

1           The Brazilian Atlantic coast, with an extension of 9200km, contains one of the most  
2 important world ecosystems, the Atlantic Forest. The region is constantly under geological and  
3 climatic pressures, especially because the sea level fluctuations between the glaciation and inter-  
4 glaciation periods, and also processes linked with the global tectonic, like the uplift of crystalline  
5 shield resulting in the formation of Serra do Mar (Villwock et al., 2005). All these geological events  
6 started with the Gondwana break down. The rivers that constitute this region form a series of  
7 isolated hydrographic basins, known as Coastal Drainages of Eastern Brazil (Ribeiro, 2006). These  
8 smaller isolated basins are separated from the large inland drainages by the scarped,  
9 mountainous landscapes of the eastern margin of the Brazilian crystalline shield (Ribeiro, 2006).

10           The freshwater fishes are direct dependent of connections between river basins for their  
11 dispersal. The history of river drainages interconnections reflects the underlying geological  
12 development of landscapes (Bermingham and Martin, 1998). Since the Atlantic Coastal drainages  
13 correspond to a system of isolated creeks, it is expected that the living ichthyofauna in this region  
14 shares the same pattern, reflecting the geological history. Traditionally, this system of drainages  
15 has been recognized as a very distinct area in term of ichthyofauna (Ribeiro et al., 2006) because  
16 of the high number of endemic taxa. Bizerril (1994) calculated the endemism rate of 285 species  
17 in 96 genera of fish in the coastal drainages. Of this total, 23.4% of the genera and 95% of the  
18 species were reported as endemic to this region. This extremely high level of endemism in species  
19 diversity is strictly related to a variety of continuing processes and unique events that created  
20 new freshwater habitats and fragmented the existing ones, providing opportunities for allopatric  
21 divergence. Lundberg (1998) proposed that the sea level fluctuations alternatively caused some  
22 coalescence of coastal rivers (during low stands) and truncations and isolation of rivers (during  
23 high stands) allowing isolation and temporary connections between ichthyofauna from different  
24 watersheds. Innumerous events of headwater capture are reported to this region, especially



25 between the Tietê and Paraíba do Sul rivers, which originally drained toward the Atlantic  
26 (Ab'Sáber, 1957; Malabarba, 1998). The ichthyofauna shared by these systems of drainages, like  
27 the genera *Hollandichthys* Eigenmann, 1909 and *Spintherobolus* Eigenmann, 1911, both present in  
28 the coastal drainages and adjacent uplands of the Tietê drainage (Langeani, 1989; Weitzman and  
29 Malabarba, 1999), agree with this theory of multiple headwaters captures (Ribeiro, 2006).

30 The genus *Hollandichthys* is a Neotropical freshwater fish, popularly known as “striped-  
31 tetras” (“lambari-listrado”). It constitutes one of the endemic genera in the Coastal Drainages of  
32 Brazil, ranging from the north portion of the Rio Grande do Sul state to the south of Rio de Janeiro  
33 state (Fig. 1). In this distributional range, the genus is found only in small creeks in the coastal  
34 drainages or in islands near the coast, with the exception of the upper part of the Rio Tietê basin,  
35 an upper tributary of the upper Rio Paraná (Langeani, 1989). *Hollandichthys* species lives in creeks  
36 always associated with the presence of preserved Atlantic Rainforest and its diet has pronounced  
37 dependence on the resources imported from the forest, like terrestrial insects and plants that fall  
38 in the stream, indicated by the surface picking behavior (Abilhoa et al., 2009).

39 Phylogeography is a field inside biogeography specially focused on the principles and  
40 processes that govern the distribution of genealogical lineages, within and among related species  
41 (Avice, 2000). *Hollandichthys*, a monotypic genus with a single valid species (*Hollandichthys*  
42 *multifasciatus*) has been morphologically diagnosed as a species complex with eight morphotypes  
43 (Bertaco, 2003). For this reason, along with its large distribution among several isolated coastal  
44 river drainages, it constitutes an interesting organism to be studied in a phylogeographic  
45 approach, because it has to deal with a great hidden diversity which probably suffered recent  
46 vicariant events during Quaternary (Ribeiro, 2006).

47 Moritz (1994) proposed the “Evolutionary Significant Units”(ESU) concept, which is the  
48 premise of reciprocal monophyly for mtDNA lineages. In others words, it is necessary sufficient  
49 complementary haplotypes extinction for a species to be recovered as monophyletic. On the

50 other hand, Rieppel (2009) argues that reciprocal monophyly means exclusivity of relationships  
51 and that the lineages discovered in the present cannot be stable over time. Gene trees mirror  
52 species history, but the ESU concept do not take in account the possibility of gene flow and  
53 adaptive diversity (Kizirian and Donnelly, 2004). The problem in assuming the reciprocal  
54 monophyly is that rapid events of speciation are not reflected in the mtDNA lineages coalescence  
55 (Maddison and Knowles, 2006). Phylogeography can be the field to provide a bridge between  
56 micro- and macroevolution, helping to understand the demographic process in the populations,  
57 as dispersal and genetic isolation, but how to determine a phylogeny that truly represents a  
58 phylogeographical history of the studied species will still be a problem (Diniz-Filho et al., 2008).

59 The aim of this study is to characterize phylogeographic patterns and demographic history  
60 of *H. multifasciatus* species complex, using mitochondrial genes and a large set of samples  
61 including all the geographic range of the genus. With these approaches, we aim to compare the  
62 patterns proposed for this genus with the geological events that contribute to the actual  
63 characteristics of the coastal drainages system. Besides that, we want to propose a molecular  
64 phylogeny to this genus using mitochondrial and nuclear genes, and compare it with the  
65 morphological study, testing if the eight morphotypes proposed agree with the organism  
66 evolutionary history proposed by the molecular data.

67

## 68 **2. Material and Methods**

### 69 *2.1. Taxon sampling, DNA extraction and sequencin.*

70 Tissues samples from 201 specimens of *Hollandichthys multifasciatus* from all  
71 distributional range were obtained from fish collections and field work (Table 1). We also included  
72 5 individuals of the genus *Rachoviscus*, pointed as sister-group of *Hollandichthys* (Thomaz et al.,  
73 this volume).

74 DNA extraction from tissues maintained in 96% ethanol followed the modified salt-  
75 precipitation protocol (Medrano et al., 1990). We amplified five different genes, being three  
76 mitochondrial: cytochrome oxidase I (COI), the NADH dehydrogenase 2 (ND2) and the ribosomal  
77 16S; and two nuclear genes: seven in absentia (Sia) and the intron 5 of the  $\alpha$ -tropomyosin (Trop)  
78 (Table 2), by the PCR technique in 20 $\mu$ l reactions with the following concentrations: 10-50ng DNA,  
79 0,2 $\mu$ M primer, 0,2mM of each dNTP, 1x Buffer, 1,5 $\mu$ M MgCl<sub>2</sub> and 1U *Taq* DNA polymerase  
80 *Platinum* (Invitrogen. In some cases 4% of Triton was added to the mix. PCR conditions are  
81 presented in Table 3. After this, PCR product was purified using EXOSAP (Exonuclease I and  
82 Shrimp Alkaline Phosphatase GE Healthcare®) and the sequencing reactions were performed  
83 using the Et Terminator cycle sequencing kit and read in both directions in the automated  
84 sequencer MegaBACE 1000 (GE Healthcare®).

## 85 2.2. Sequence alignment

86 The forward and reverse chromatogram reads were assembled and visualized using the  
87 *PHRED/PHRAP/CONSED* package (Ewing et al., 1998; Gordon et al., 1998). The consensus sequences  
88 were automatically aligned using the software MUSCLE 3.6 (Edgar, 2004) and manually checked  
89 with BIOEDIT 7 (Hall, 1999). The basic statistics, as nucleotide diversity ( $\pi$ ) and nucleotide  
90 frequency, were calculated with the software DNASP (Rozas et al., 2003) and the pairwise distance  
91 among sequences was estimated with MEGA 4 (Tamura et al., 2007), with the Kimura-2-parameter  
92 method (Kimura, 1980). The mitochondrial coding genes COI and ND2 were concatenated  
93 because of the circular form of the mtDNA which makes them interconnected, under the same  
94 environmental pressures (Avice et al., 1987).

## 95 2.3. Phylogenetic inference

96 The phylogenetic tree was inferred with all five genes and samples corresponding to 40 *H.*  
97 *multifasciatus* specimens, one or two individuals for each sampling location, and five of the genus  
98 *Rachoviscus* as outgroup of the analysis (Table 1). The Trop intron region varied in length, because

99 of this great difference in the indels length and the difficult aligning, this region was deleted of the  
100 sequences (Calcagnotto et al., 2005). In the 16S the loops corresponding to the secondary  
101 structure proposed by Ortí & Meyer (1997) were excluded from the analyzes. In the nuclear  
102 genes, we used the IUPAC-IUB ambiguity code for the cases that single nucleotide polymorphisms  
103 were detected in some individuals.

104 The evolutionary models were calculated in the program MRMODELTEST 2.3 (Nylander,  
105 2004 ) with PAUP 4.0 (Swofford, 1998). The model proposed by the Akaike Information Criterion  
106 (AIC) to Sia, Trop and 16S genes partitions was HKY+G and to the concatenated COI+ND2 partition  
107 was GTR+I+G. The computational inference of the tree was developed under a Bayesian approach  
108 with the program BEAST 1.5.3 (Drummond and Rambaut, 2007) with partitioned genes dataset.  
109 We performed 200 millions of Markov Chain Monte Carlo (MCMC), sampling each 1000  
110 generations, 10% of the initial sampling trees (20000) were discarded as a burn-in and the nodal  
111 support was estimated with posterior probability with the remaining trees.

#### 112 *2.4. Phylogeographic analyses*

113 The sample of 201 *Hollandichthys* specimens was grouped according the watershed of the  
114 sampled creek in 20 different populations (Fig. 1/Table 1). To this set of analyses, only the genes  
115 COI and ND2 were used in the concatenated form. First, we performed an analysis of haplotype  
116 diversity ( $Hd$ ) with the software DNASP (Rozas et al., 2003), following the construction of the  
117 haplotype network in the program NETWORK 4.5 (Bandelt et al., 1999), with the median-joining  
118 algorithm. Analyses of F statistics ( $Fst$  and  $\phi st$ ) and Molecular Variance (AMOVA) (Excoffier et al.,  
119 1992) were carried in the program ARLEQUIN 3.11 with the haplotypic frequencies, with just one  
120 group to realize the variability among populations and with eight groups, to test the morphotypes  
121 proposed for this species complex (Bertaco, 2003). In populations with  $n=1$ , the specimen was  
122 excluded from these analyses (Garopaba and 3 Forquilhas). Because  $\phi st$  takes in account the  
123 mutational distances between the haplotypes and conventional  $Fst$  don't (Holsinger and Weir,

124 2009), here we will analyze the values of  $\phi_{st}$  because of the large mutational steps between the  
125 sequences in our data. In the tentative to infer the best geographic assemblage for the  
126 populations and possible barriers to gene flow, we used the spatial analysis of molecular variance  
127 (Dupanloup et al., 2002) with the program SAMOVA from K=2 until k=8  
128 (<http://web.unife.it/progetti/genetica/Isabelle/samova.html>).

129 To understand the dynamics of the population size fluctuation along the time, we used  
130 the Bayesian Skyline Plot method implemented in the program BEAST 1.5.3 (Drummond and  
131 Rambaut, 2007). Three different sets of data were constructed for this inference, one with all the  
132 samples and other two with the samples separated in two groups (North and South), defined  
133 according to previous analyses. All the sets were analyzed under a substitution rate of 0.01/mya  
134 (Avice et al., 1998; Bermingham et al., 1997; Ornelas-Garcia et al., 2008; Reeves and Bermingham,  
135 2006) in a strict clock approach. For the analyses with the total set and also the North group, the  
136 evolutionary model GTR+I+G was selected by the AIC criterion in the program MRMODELTEST 2.3  
137 (Nylander, 2004 ); each search was performed with 100 million MCMC, with sampling each 1000  
138 generations. For the South group, the evolutionary model used was GTR+G; we employed 200  
139 million MCMC with sampling every 1000 generations in a manner to stabilize the sampling in the  
140 universe of possibilities.

141

### 142 **3. Results**

#### 143 *3.1. Phylogeny*

144 A total of 2719 base pairs (bp) were obtained from the five genes amplified; of this total,  
145 355 bp were variable and the nucleotide diversity ( $\pi$ ) varied from 0.035 to 0.003. The remaining  
146 basics statistics for each partition are accessible in Table 4.

147 In the Bayesian tree inferred for this dataset (Fig. 2), it is possible to distinguish two major  
148 clades that correspond to *Hollandichthys* Northern and Southern geographic range. The major  
149 clades proposed by this data set are well supported (posterior probability = 1). The resolution  
150 inside the clades is better supported between the deepest clades and starts to be more complex  
151 and weakly supported in the shallow groups. The eight morphotypes proposed for this genus  
152 (Bertaco, 2003) do not fully agree with the molecular phylogeny inferred here. Only two  
153 morphotypes (*H. sp. A* and *H. sp. C*) are monophyletic and supported under this molecular tree.

154 The coalescence of North and South clades are dated around 500 – 600 thousand years  
155 and they seem to have been originated in the same period. The divergence between the clades,  
156 which correspond to the coalescence for the genus, was estimated around 1.6 Mya.

### 157 3.2. Phylogeographic pattern and population structure

158 A total of 1704 base pairs (bp) were obtained with the mitochondrial genes COI and ND2,  
159 from which 161 bp were variable. The nucleotide diversity ( $\pi$ ) was 0.02 in the concatenated  
160 dataset and a total of 65 haplotypes were found for *Hollandichthys* species complex (Table 4),  
161 with the same two divergent clades found in the phylogeny inference, corresponding to the North  
162 and South geographic range of *H. multifasciatus* and under an absolute allopatric model. The  
163 South clade (S) is represented by 30 haplotypes and has a distribution from Rio Grande do Sul  
164 state, in the locality of Maquiné, to the south of the Paranaguá estuary, in Paraná state. The North  
165 clade (N), with 35 haplotypes, represents the specimens from Laranjeiras river (north of  
166 Paranaguá estuary, Paraná state) to the north of the Paraty county, in Rio de Janeiro State, which  
167 is the most northern population known in its distribution (Fig. 1).

168 The clades proposed in the phylogenetic inference (Fig. 2) with a wider set of genes are  
169 coincident with the tree inferred just with the two mitochondrial partitions and an increased  
170 number of individuals (Fig. 3). The largest difference was the coalescent dates inferred: with the  
171 nuclear partitions, it was estimated around 500-600 thousand years ago to south and north

172 clades; with only the COI and ND2 genes, it was proposed to be between 700 and 900 thousand  
173 years and the genus coalescence in turn of 1.9 million years ago.

174           Within the two big clades, it is possible to recognize some groups inside them (Fig. 3). In  
175 the South clade, the more austral group (green) has high values of support and an origin  
176 estimated in 190 thousand years ago. In the network (Fig. 4), it is possible to visualize that the  
177 haplotypes S9 and S10, from the southern population of the Florianópolis island are more similar  
178 to the austral group than to the haplotypes of the north of this island. The clade formed by  
179 remaining specimens from Florianópolis, Joinville, S. Francisco and Guaratuba samples (S11 – S26)  
180 show a high level of similarity, with few mutational steps between the haplotypes in a wide  
181 distributional range between the continent and two islands. Florianópolis and São Francisco do  
182 Sul islands share haplotypes in the network to each gene partition (Fig. 5 and 6). However,  
183 Florianópolis population values of  $\phi_{st}$  are significant for all pairwise comparisons (Table 5), but  
184 the lowest values are found for the comparison between Joinville, São Francisco and Guaratuba  
185 populations (0.37, 0.2 and 0.3, respectively). Comparing these three groups, all their  $\phi_{st}$  values  
186 were not significant among them. The haplotype network for the group has a star format,  
187 suggesting a recent expansion. The Paranaguá population (S27 – S30) seems to be an isolated  
188 population for more than 200 thousand years and presents high support values (=1) (Fig. 3), which  
189 is corroborated by the  $\phi_{st}$  values, ranging between 0.90 and 0.97 (Table 5).

190           The North clade is formed by four main subclades (Fig. 3). One is formed by the  
191 populations of Laranjeiras and Iguape, with a relatively low posterior probability (0.73); however,  
192 each population inside this clade is very well supported (=1), and do not share any haplotypes  
193 with others groups (Fig. 4) (N1-N4 and N26-N31). For both populations, the  $\phi_{st}$  values are all  
194 significant (Table 5). A second and complex clade is formed by the isolated population of Peruíbe  
195 and more three populations: Santos + Alto Tietê + Bertioga populations. The clade as a whole is  
196 well supported. The haplotype from Peruíbe (N6) is isolated since 40 thousand years ago. The

197 remaining internal relationships are extremely complex, with haplotypes (N5) shared among  
198 Santos + Alto Tietê + Bertioga populations (Fig. 4) and only the recent haplotypes of these  
199 populations, with less than 30 thousand years (Fig. 3), follow a range agreeing with the  
200 geographic distribution (N7-N9 and N10-N12). All  $\phi_{st}$  values among these three populations were  
201 very low and not significant (0.02, 0.08 and 0.12) (Table 5). Another well supported clade and  
202 extremely isolated in the North group is the population of Paraty N ( $\phi_{st} > 0.91$  – Table 5). This  
203 third clade is constituted by just one population and it seems to have been isolated since 0.7 Mya  
204 and has 15 mutational steps (N32-N35), a large number in comparison to the other haplotypes  
205 Finally, the last clade is constituted by the populations from S. Sebastião + Ilhabela Island +  
206 Ubatuba (N and S) + Toca do Boi + one specimen from Bertioga. São Sebastião has five unique  
207 haplotypes (N13-N17) and all  $\phi_{st}$  values significant. These constitute the center of origin of the  
208 haplotypes shared by Ubatuba N and Bertioga (N18), which is also the ancestral form to the  
209 remaining populations: Ilhabela (N19-N20), Ubatuba N (N21-N22) and Toca do Boi (N23-N25), not  
210 sharing haplotypes with other groups, presenting a recent monophyly, and without significant  
211 values of  $\phi_{st}$  (Table 5).

212 The Bayesian skyline plot scenario for the whole genus (Fig. 7a) showed a long period of  
213 constant population size, followed by a discrete bottleneck event in Pleistocene, around 0.15  
214 Mya, and a recent event of expansion starting around 0,1 Mya, with the population size increasing  
215 consistently. When the two major clades are analyzed separately, the results corroborate this  
216 recent expansion. In the North clade (Fig. 7b), the bottleneck event occurred around 0.03 Mya; on  
217 the other hand, the South population (Fig. 7c) seems to have had an expansion around 0.1 Mya,  
218 followed by a bottleneck (less than 0.03 Mya) and, after that, the same recent expansion  
219 experienced by the North clade.

220 The AMOVA results showed high evidence of isolation among all the 20 populations. For  
221 the conventional F-statistics, we found a  $F_{ST}$  value of 0.38, representing 62% of variation between



222 the populations, and using the Tamura-Nei method, the FST was even large (0.95), with both  
223 values being significant ( $p=0.000$ ). Although, when comparing with the eight morphotypes  
224 proposed (Bertaco, 2003), the conventional F-statistics return a FCT value (between groups)  
225 extremely low (FCT =  $-0.00286$ ,  $p= 0.5582\pm 0.00528$ ) and with Tamura-Nei correction, the FCT  
226 value was 0.68, which was significant but lower than the eight groups proposed furthermore by  
227 the SAMOVA test.

228         The results inferred by SAMOVA showed a better geographic/molecular conformation of  
229 groups than the morphotypes, with FCT = 0.89, maintaining the  $K=8$ . The groups proposed were  
230 (Maquiné, Mampituba, Araranguá)[*H. sp. A*] + (Florianópolis, S. Francisco, Joinville, Guaratuba)[*H.*  
231 *sp. B, H. affinis*] + (Paranaguá)[*H. affinis*] + (Laranjeiras)[*H. sp. C*] + (Iguape)[*H. perstriatus*] +  
232 (Peruíbe, Santos, Alto Tietê, Bertioga)[*H. perstriatus, H. multifasciatus, H. sp. D*] + (S. Sebastião,  
233 Ilhabela, Ubatuba N, Ubatuba S, Toca do Boi)[*H. sp. D, H. sp. E*] + (Paraty N)[*H. sp. E*]. Otherwise,  
234 in the moment that we test with smaller number of groups, it is possible to infer that the value of  
235  $K$  that best fits to our data set is 5 (FCT=0.84). In this case, the FCT values seems to arrived in a  
236 plateau of stabilization (Fig. 8) and the groups proposed are: (Maquiné, Mampituba,  
237 Araranguá)[*H. sp. A*] + (Florianópolis, S. Francisco, Joinville, Guaratuba, Paranaguá)[*H. sp. B, H.*  
238 *affinis*] + (Laranjeiras, Iguape, Peruíbe, Santos, Alto Tietê, Bertioga)[*H. sp. C, H. perstriatus, H.*  
239 *multifasciatus, H. sp. D*] + (S. Sebastião, Ilhabela, Ubatuba N, Ubatuba S, Toca do Boi)[*H. sp. D, H.*  
240 *sp. E*] + (Paraty N)[*H. sp. E*].

241

## 242 **4. Discussion**

### 243 *4.1. Phylogeographic patterns*

244         The time of divergence estimated based on the tree with the nuclear and mitochondrial  
245 genes was 1.6 Mya, in contrast the tree with protein coding genes in mtDNA alone presented 1.9

246 Mya. This discrepancy of 200 thousand years between the results is probably due to the  
247 differences found in substitution rates: nuclear genes developed approximately four times slower  
248 than mitochondrial genes (Avice, 2009). This fact contributed to a probable deceleration in the  
249 general data rate for the substitution model with nuclear genes. Apart from this difference, both  
250 results reported similar coalescence dates for the genus *Hollandichthys*. In the last two millions  
251 years cyclic climatic events culminated in glacial and inter-glacial stages which were followed by  
252 significant changes on the sea level (Villwock et al., 2005). Since these events are congruent with  
253 the origin of the *Hollandichthys* lineages, it would to be expected for the geographic pattern of  
254 this fish to be adjusted by these climatic events. Analyzing the patterns inferred to *Hollandichthys*  
255 this does not seem to be the main rule. Some lineages are extremely old, like the Paranaguá  
256 population (0.9 Mya), refuting the theory of population link during the glacial stages when the  
257 sea-level was lower and the creeks were connected.

258         On the other hand, the formation of the shallow clades (Fig. 3) seems to coincide with the  
259 last maximum glacial (Wisconsin glaciation – 21000 years ago). It is possible to infer that this last  
260 glaciation was the one which affected more profoundly the neighbor populations in view of its  
261 great intensity – reported as the most severe glaciation during the Pleistocene (Schwarzbold and  
262 Schafer, 1984). Its consequences could have been the connection of creeks in a small  
263 distributional range or an expansion of the Atlantic Forest during Pleistocene sea-level changes  
264 (Carnaval and Moritz, 2008), which is crucial to the presence of this genus.

265         The populations proposed according to the hydrographic basins range turned out to be  
266 effective units in molecular approaches. Having in mind that watershed divides such as high  
267 mountains are often regarded as effective dispersal barriers for fish (Ingenito and Buckup, 2007)  
268 and the Brazilian coast is formed by an extensive system of micro-watersheds. The populations  
269 proposed demonstrated an incredible range of differentiation with an  $F_{ST}$  value of 0.95, indicating  
270 a high degree of isolation. It can be a reflection of the paleo-drainages distribution which has a big

271 correlation with the current position of the river mouths and the main paleo-valley flow lines.  
272 These results make reference to changes in current fluvial courses which used to be longer having  
273 to cross broad platforms, excavated during the periods of sea-level regression (Conti and Furtado,  
274 2006).

275         The allopatry between the two major clades is complete (North and South), with their  
276 origin during the Pleistocene, around 0.5 to 0.9 Mya. The geographic barrier responsible for this  
277 breakdown corresponds to the Paranaguá region (Fig. 1), an extensive estuary delimited by the  
278 uplift of the Serra do Mar crystalline shield border. This estuary is mainly formed by the  
279 convergence of two rivers: the Paranaguá river in the southern portion of the estuary and the  
280 Laranjeiras river in the northern portion (Muehe, 2003), in between them we find the Ponta  
281 Grossa arch, a structure present in the area since the Cretaceous (Raposo, 1995), which seems to  
282 be an important barrier that only allows the convergence of those two rivers at a short distance  
283 from the ocean. By this point they comprise an estuary of 600km<sup>2</sup>, primordially constituted of  
284 Pleistocene and Holocene beach ridges (Lessa et al., 2000). According to Ingenito & Buckup (2007)  
285 main river lowlands might act as an obstacle for fish dispersion, suggesting Paranaguá as a good  
286 breakdown area between the distributional range of *Hollandichthys*. To the Amazonian region a  
287 southern-northern shift in fish species distribution or genetic diversity can be attributed to the  
288 main channel of the Amazon river acting as a physical barrier to dispersal (Hubert and Renno,  
289 2006) in a pattern similar of the found here.

290         Comparing the populations around this estuary (Paranaguá and Laranjeiras), each one in a  
291 separate clade, it is clear that there is a high degree of differentiation between them (38  
292 mutational steps). As a result, this barrier can be seen as an old and effective separation, despite  
293 the tendency of the estuary-mouth migrating to the north during the last Pleistocene and  
294 Holocene (Lessa et al., 2000). Some other species of freshwater fishes suggest similar distribution  
295 with *Hollandichthys*. The genus *Spintherobolus* has one species in the northern part of

296 *Hollandichthys* distribution (*S. broccae*), *S. leptoura* in the Ribeira de Iguape drainage, one in the  
297 upper part of the Tietê (*S. papilliferus*) and *S. ankoseion* in the south of Paranaguá (Weitzman and  
298 Malabarba, 1999), this last one working as an efficient and strong barrier. A North-South pattern  
299 such as Paranaguá was found by Graziotin et al. (2006) with the complex *Bothrops jararaca*  
300 snakes.

#### 301 4.2. The South clade

302 The South clade (S) is mainly formed by three groups: the southern clade (green) which  
303 lives in an unusual habitat for *Hollandichthys*. While almost all *Hollandichthys* distribution is  
304 characterized by high scarps where the boarder of the crystalline shield reaches a discrete coastal  
305 plain; the referred southern portion has a large plain, formed by a chain of sand barriers and  
306 lagoons. In these localities, the genus is found in lateral puddles of the rivers, while it is usually  
307 found in small creeks associated with the Atlantic Forest. These differences are result of different  
308 regional pressures in tectonic processes. Because of that, the clade with southern distribution  
309 lives in an area where the large plain confines the Atlantic Forest between the scarps of the Serra  
310 do Mar (Villwock et al., 2005).

311 The Florianópolis population is very diverse. The divergent haplotypes S9 and S10 (Fig. 4)  
312 describe an ancient pattern more closely connected to the geographic demography – such as  
313 populations separated by hills - than to the isolation of the island during the Holocene (Milne et  
314 al., 2005). Those localities are in the south of the island while the other samples found in  
315 Florianópolis are located in the north of the island separated by a series of scarps. On the other  
316 hand, in the continent, Garopaba is geographically the nearest population to Florianópolis (S11-  
317 Fig 4), which does not share haplotypes with the island. The third group is the isolate Paranaguá  
318 population since 0.22 Mya, this probably occurs due to the position of the region between two  
319 large bays: Paranaguá (already mentioned) and Guaratuba, in the south (Angulo and Lessa,  
320 1997).The specimens here called Guaratuba population (Fig. 3, S19 and S20) were sampled in

321 creeks in the southern portion of this bay and, possibly because of this, they are genetically  
322 similar to Joinville, São Francisco and Florianópolis.

323         The fact that the islands of Florianópolis and São Francisco have so recently separated  
324 from the continent (Milne et al., 2005) can be a reason for both islands to still share same lineages  
325 (Fig 5-6, S4 and S6 respectively). The rises in the sea-level were responsible for the vicariant event  
326 of these islands formation and, consequently, for the isolation of the creeks in a small portion of  
327 land. The data assessed here still demonstrate to be suffering from incomplete lineage sorting  
328 (Maddison and Knowles, 2006). Different from the proposed by Fitzpatrick (2009) that the  
329 isolation time for a taxon of frogs on some islands near the Brazilian coast was enough to  
330 differentiate it from other species.

#### 331 *4.3. The North clade*

332         The North group (N) shows complex phylogeographic patterns. The Laranjeiras and  
333 Ribeira de Iguape populations are isolated since 0.2 and 0.1 Mya, respectively. An extremely  
334 isolated population is Paraty N, in the northern portion. The coalescence between this group and  
335 other populations is estimated in 0.7 Mya, in an incredible divergent clade. This seems to be a  
336 result of very eventful coast line, with the crystalline shield boarder arriving until the ocean  
337 between this population and the remaining ones. The populations São Sebastião, Ubatuba N and  
338 S, Ilhabela and Toca do Boi share a same evolutionary history. Their shared haplotypes, or closed  
339 related ones, still permit to infer a history of connections between these hydrographic basins. The  
340 most divergent population in this group is São Sebastião - the presence of a deep valley between  
341 the continent (S. Sebastião) and the island (Ilhabela) in a southwestern direction, can be the  
342 reason for a great separation of two near locations as well as the isolation of S. Sebastião  
343 population around 0.13 Mya (Conti and Furtado, 2006). The ancient population in Ilhabela  
344 probably suffers from a founder effect because of the low level of diversity presented. It is  
345 interesting to observe that the Bertioga specimen shares the haplotype with Ubatuba population

346 (N18, Fig 3), which is possibly caused by a recent migration event, like, for instance, a flow that  
347 would have permitted a temporary connection.

348         The multiple events of headwater captures between upper Tietê and the coastal  
349 drainages have been the main explanation for the *Hollandichthys* presence in this drainage, in a  
350 time when the upper Tietê drained in a composite drainage with the Paraíba do Sul river (Ingenito  
351 and Buckup, 2007; Lundberg et al., 1998; Ribeiro, 2006; Weitzman et al., 1988). This hypothesis is  
352 corroborated by two characids fossils from late Oligocene (35 to 23 Mya), *Megacheiroduon unicos*  
353 and *Lignobrycon ligniticus*, closely related to the current fauna from coastal drainages  
354 (Malabarba, 1998). These possible scenarios happened in a time before the *Hollandichthys*  
355 coalescence (1.9 Mya). Our results, showing Alto Tietê samples sharing haplotypes with  
356 populations on the coast at non-significant values of genetic divergence (Fig. 4/Tab. 5) do not  
357 agree with these inferences, and we propose here an extremely recent single event of  
358 colonization during the Holocene. According to Ingenito & Buckup (2007), species like the genus  
359 *Astyanax*, tetras, are commonly used for artificial colonization of small dams. Therefore, the  
360 presence of *Hollandichthys* in the Alto Tietê basin can be a result of artificial introduction by  
361 humans, or a small scale and recent headwater transposal.

#### 362 4.4. Demographic dynamics

363         Population expansion in both South and North clades can be linked with the end of the last  
364 maximum glacial (21000) (Fig. 7). According to Carnaval and Moritz (2008) at 0.21 Mya the south  
365 of Doce river - *Hollandichthys* distributional range - was not predicted to have retained a large,  
366 stable habitat area for forest-dependent species, but rather small and medium-sized refuges only.  
367 This finding corroborates to the bottleneck event, before the population growth. Our strong  
368 phylogeographic structure in some populations can reflect on long-term population persistence in  
369 isolated areas, like mid-sized refugia. In addition, the north group pattern of divergent clades  
370 can infer the occurrence of several refugia in this area (Carnaval et al., 2009). Our late-Pleistocene

371 bottleneck followed by a population increase can be a reflection of the contraction and expansion  
372 of the Atlantic forest. However, the poor predictive performance of the Carnaval & Moritz (2008)  
373 model in the southeastern regions of the Atlantic forest emphasizes the need for phylogeographic  
374 studies that focus on taxa with broader distributions in this region (Fitzpatrick et al., 2009).

375         The different sea levels do not appear to have been decisive in the formation of the  
376 groups; their origins are older than the last maximum, 21 thousand year ago. The *Hollandichthys*  
377 current distribution seems to be more related to the habitat where they live - creeks associated  
378 with the Atlantic forest - and to the barriers, such as mountains. The geomorphological changes  
379 that affected the river courses and the climatic changes during the Pleistocene caused allopatric  
380 speciation in different ways. With our dataset some patterns can be proposed: the Paranaguá  
381 break is congruent with the paleogeographic hypothesis, which predicts allopatric differentiation  
382 among populations isolated throughout the species range distribution by the raising of the  
383 paleoarches in the lowlands (Hubert et al., 2007). On the other hand, the southern population  
384 (green) can be inferred as a hydrogeologic hypothesis predicting an increase in *Hollandichthys*  
385 distribution range by dispersion, with dispersive populations being subsequently isolated (Hubert  
386 et al., 2007; Montoya-Burgos, 2003).

#### 387 4.5. Phylogenetic patterns and morphotypes

388         Only two morphotypes proposed (Bertaco, 2003) maintained the reciprocal monophyly in  
389 the molecular approach, following the Moritz premises (1994). They are: *H. sp. A* and *H. sp. C*. The  
390 process of finding groups that would maintain this pattern is extremely difficult, because of the  
391 recent divergence between some clades: e.g. the populations on the islands and some possible  
392 migrations as the specimen from Bertioiga that shares haplotypes with a population in a northern  
393 range. Following these criteria and keeping the morphospecies in monophyletic lineages, it would  
394 only be possible to infer two genetic groups (South and North) in a species complex that is known

395 to be diverse and lives in a region under many external pressures such as climatic and geologic  
396 ones.

397 When comparing the morphotypes with the best molecular and geographic groups  
398 proposed by the Samova analyses (k=5), only the *H. sp. A* still remains as a genetic unit. When  
399 comparing with the eight groups proposed by Samova analyses, just the two monophyletic  
400 species (*H. sp. A* and *H. sp. C*) are maintained. In all groups proposed by this methodology (K=2 to  
401 8) the Paranaguá was always pointed as one of the geographic barriers and this seems to be  
402 corroborated by the morphological study (Bertaco, 2003).

403 It is necessary to have in mind the extremely recent origin of this diversity and that  
404 possible forces, as incomplete lineage sorting and recent migrations events, are still acting in the  
405 molecular scale (Avice, 2000). Also, it is possible that the difference in the mode of speciation  
406 (founder population versus fractioned species range) contributes to the differences in species  
407 patterns found in nature (Mayr, 1954). Some mixtures in clades can be resulted of a former  
408 widespread distribution followed by vicariant events and the still incomplete speciation cannot be  
409 discarded (Hubert and Renno, 2006).

410 This discussion about what can be a species is still in the beginning and until the scientists  
411 arrive in a consensus about what is a species, it is important, in the moment to take a decision, to  
412 realize that the species proposed should mirror the hidden diversity in that moment, allowing it to  
413 be accessible in an organized manner.

414 In the *Hollandichthys* case we found only two reciprocally monophyletic species based on  
415 both molecular and morphological data (*H. sp. A* and *C*), but recognition of both species makes all  
416 other six morphospecies paraphyletic regarding gene trees. If we recognize species based only in  
417 the monophyly of gene trees, only two species are possible, corresponding to North and South  
418 clades, but this would not allow the recognition of the *H. sp. A* separately from other populations  
419 of the South clade, regardless the fact it is the most distinct, both morphologically and in habitat



420 use, among all *Hollandichthys* populations. Considering both evidences, we found molecular data  
421 extremely useful in accessing the evolutionary history among closely related populations of  
422 *Hollandichthys*, but it seems that events of speciation inside the south and north clades in  
423 *Hollandichthys* are recent and not fully reflected in the mtDNA lineages coalescence, as suggested  
424 for rapid speciation events by Maddison and Knowles (2006). Also there were no sufficient  
425 complementary haplotypes extinction for a species to be recovered as monophyletic, as proposed  
426 for “Evolutionary Significant Units” by Moritz (1994).

427         Species recognition based only in tree genes monophyly in this case seems problematic if  
428 we wish to reflect the *Hollandichthys* diversity at this moment. The non-recognition of *H. sp. A*  
429 population as a distinct species, for example, would hide an important evolutionary lineage of  
430 *Hollandichthys* recognizable in both morphological and habitat use aspects. We suggest  
431 morphological data to be re-examined along with gene tree information in accessing a better  
432 decision on *Hollandichthys* species level description.

433

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**Table 1.** Localities, vouchers, haplotypes and samples used to the phylogeny inference according to the morphotypes identification and the populational watershed separation.

Morphotypes	Population (Drainage)	Voucher	Locality	Lat.	Long.	Haplotype			Sequence			
						All	COI	ND2	Sia	Trop	16S	
<b>H. sp. A</b>	Maquiné	MCP 26969/38	arroyo afluente do arroio do Ouro	-29.58	-50.28	S1	S1	S1				
		MCP 26969/39	arroyo afluente do arroio do Ouro	-29.58	-50.28	S1	S1	S1				
		MCP 26969/40	arroyo afluente do arroio do Ouro	-29.58	-50.28	S1	S1	S1				
		MCP 26969/41	arroyo afluente do arroio do Ouro	-29.58	-50.28	S1	S1	S1				
		MCP 26969/42	arroyo afluente do arroio do Ouro	-29.58	-50.28	S1	S1	S1				
		UFRGS 11793/TEC842A	riacho na localidade de Barra do Ouro	-29.59	-50.29				S1			
		UFRGS 11793/TEC842B	riacho na localidade de Barra do Ouro	-29.59	-50.29	S1	S1	S1				
		UFRGS 11793/TEC842C	riacho na localidade de Barra do Ouro	-29.59	-50.29	S1	S1	S1				
		UFRGS 11793/TEC842D	riacho na localidade de Barra do Ouro	-29.59	-50.29	S1	S1	S1	*	*	*	
		UFRGS 11793/TEC842E	riacho na localidade de Barra do Ouro	-29.59	-50.29	S1	S1	S1	*	*	*	
		UFRGS 11793/TEC842F	riacho na localidade de Barra do Ouro	-29.59	-50.29	S1	S1	S1				
		UFRGS 11793/TEC842G	riacho na localidade de Barra do Ouro	-29.59	-50.29	S1	S1	S1				
		UFRGS 11793/TEC842H	riacho na localidade de Barra do Ouro	-29.59	-50.29			S1				
	3 Forquilhas	MCP 29244/153	córrego lateral ao arroio da Barra	-29.43	-50.18	S2	S1	S2	*	*	*	
	Mampituba	MCP 29241	arroyo Molha Coco em Vila Rosa	-29.17	-49.99	S2	S1	S4				
		MCP 29242	arroyo Molha Coco em Vila Rosa	-29.17	-50.00	S5	S1	S4				
		MCP 23625	arroyo Molha Coco em Vila Rosa	-29.17	-50.00	S8	S1	S4				
		UFRGS 11790/TEC839	arroyo Molha Coco	-29.17	-49.98	S5	S1	S4				
		UFRGS 11792/TEC841A	arroyo Faxinalzinho	-28.79	-49.93	S6	S1	S4				
		UFRGS 11792/TEC841B	arroyo Faxinalzinho	-28.79	-49.93	S5	S1	S4	*	*	*	
		UFRGS 11792/TEC841C	arroyo Faxinalzinho	-28.79	-49.93	S5	S1	S4				
		UFRGS 11792/TEC841D	arroyo Faxinalzinho	-28.79	-49.93	S5	S1	S4				
		UFRGS 11792/TEC841E	arroyo Faxinalzinho	-28.79	-49.93	S5	S1	S4				
		UFRGS 11792/TEC841F	arroyo Faxinalzinho	-28.79	-49.93	S7	S1	S4				
		UFRGS 11792/TEC841G	arroyo Faxinalzinho	-28.79	-49.93	S7	S1	S4	*	*	*	
	UFRGS 11792/TEC841H	arroyo Faxinalzinho	-28.79	-49.93	S5	S1	S4					
	Araranguá	UFRGS 11791/TEC840A	riacho afluente do rio Araranguá	-28.79	-49.93	S4	S2	S3	*	*	*	
		UFRGS 11791/TEC840B	riacho afluente do rio Araranguá	-28.79	-49.93	S30	S1	S3				
		UFRGS 11791/TEC840C	riacho afluente do rio Araranguá	-28.79	-49.93	S30	S1	S3		*	*	
		UFRGS 11791/TEC840D	riacho afluente do rio Araranguá	-28.79	-49.93	S30	S1	S3				
	<b>H. sp. B</b>	Garopaba	MCP 28734/108	riacho afluente do rio Araçatuba, drenagem rio Una	-28.07	-48.70	S11	S9	S7	*	*	*
		Florianópolis	MCP 28737/100	riacho na ilha de Florianópolis	-27.59	-48.48	S9	S3	S5			
			MCP 28737/101	riacho na ilha de Florianópolis	-27.59	-48.48	S10	S3	S5			
MCP 28737/102			riacho na ilha de Florianópolis	-27.59	-48.48	S9	S3	S5				
MCP 28747/104			riacho na ilha de Florianópolis	-27.51	-48.49	S15	S5	S6				
MCP 28732/107			riacho na ilha de Florianópolis	-27.51	-48.49	S15	S5	S6				
MCP 28732/125			riacho na ilha de Florianópolis	-27.51	-48.49	S17	S7	S6				
MCP 37654/A			riacho na ilha de Florianópolis	-27.46	-48.42	S14	S6	S8				
MCP 37654/B			riacho na ilha de Florianópolis	-27.46	-48.42	S18	S4	S10				
MCP 38333/A			riacho afluente do rio Ratones na ilha	-27.52	-48.47	S15	S5	S6				
MCP 38333/B			riacho afluente do rio Ratones na ilha	-27.52	-48.47	S15	S5	S6				
MCP 38333/C			riacho afluente do rio Ratones na ilha	-27.52	-48.47	S15	S5	S6	*	*	*	
MCP 38333/D			riacho afluente do rio Ratones na ilha	-27.52	-48.47	S15	S5	S6	*	*	*	
MCP 38333/E			riacho afluente do rio Ratones na ilha	-27.52	-48.47	S16	S8	S6				
MCP 38317/A			riacho na ilha de Florianópolis	-27.48	-48.44	S12	S4	S9				
MCP 38317/B			riacho na ilha de Florianópolis	-27.48	-48.44	S12	S4	S9				
MCP 38317/C			riacho na ilha de Florianópolis	-27.48	-48.44	S13	S4	S9				
MCP 37635/A			riacho na ilha de Florianópolis	-27.48	-48.44	S14	S6	S8				



		MCP 37635/B	riacho na ilha de Florianópolis	-27.48	-48.44	S14	S6	S8			
		MCP 37635/C	riacho na ilha de Florianópolis	-27.48	-48.44		S6	S8			
		MCP 37635/D	riacho na ilha de Florianópolis	-27.48	-48.44	S18	S4	S10			
<b>H. affinis</b>	Joinville	MCP 30552/158	riacho na localidade de Araquari,SC	-26.38	-48.73	S23	S11	S11			
		MCP 30667/176	rio Cubatão do Norte	-26.19	-48.93	S21	S10	S11	*	*	*
		MCP 31486/177	rio Cubatão do Norte	-26.19	-48.93	S22	S10	S11	*	*	*
	S. Francisco	MCP 30553/159	arroyo que deságua no rio Acaraí dentro da ilha	-26.33	-48.65	S24	S10	S11	*	*	*
		UFRGS 10579/TEC105	arroyo que deságua no rio Acaraí dentro da ilha	-26.33	-48.65	S26	S4	S6	*	*	*
		UFRGS 9359/TEC345	arroyo que deságua no rio Acaraí dentro da ilha	-26.33	-48.65	S25	S10	S12			
	Guaratuba	UFRGS 10578/TEC109	área alagada próxima a baía de Guaratuba	-25.88	-48.58	S20	S12	S6	*	*	*
		UFRGS 9358/TEC346	área alagada próxima a baía de Guaratuba	-25.88	-48.58	S19	S12	S6			
	Paranaguá	MCP 30556/161	riacho que converge para a baía de Paranaguá	-25.40	-48.87	S30	S13	S15	*	*	*
		MHNCI/1*	riacho que converge para a baía de Paranaguá	-25.43	-48.70	S29	S15	S13			
		MHNCI/2*	riacho que converge para a baía de Paranaguá	-25.43	-48.70			S13			
		MHNCI/3*	riacho que converge para a baía de Paranaguá	-25.43	-48.70	S28	S14	S14	*	*	*
		MHNCI/4*	riacho que converge para a baía de Paranaguá	-25.43	-48.70	S29	S15	S13			
		MHNCI/5*	riacho que converge para a baía de Paranaguá	-25.43	-48.70	S27	S14	S13			
		MHNCI/6*	riacho que converge para a baía de Paranaguá	-25.43	-48.70	S27	S14	S13	*	*	*
<b>H. sp. C</b>	Laranjeiras	MCP 30557/162	riacho que desaguá na baía de Laranjeiras	-25.17	-48.42	N30	N5	N4	*	*	*
		MCP 30558/163	riacho que desaguá na baía de Laranjeiras	-25.21	-48.43	N27	N5	N4			
		UFRGS 11778/TEC755A	afluente do rio Tagaçaba	-25.22	-48.45	N31	N4	N2		*	*
		UFRGS 11778/TEC755B	afluente do rio Tagaçaba	-25.22	-48.45	N26	N6	N4			
		UFRGS 11778/TEC755C	afluente do rio Tagaçaba	-25.22	-48.45	N31	N4	N2			
		UFRGS 11778/TEC755D	afluente do rio Tagaçaba	-25.22	-48.45	N28	N5	N3		*	*
		UFRGS 11778/TEC755E	afluente do rio Tagaçaba	-25.22	-48.45	N28	N5	N3			
		UFRGS 11779/TEC763A	riacho na Enseada do Benito	-25.17	-48.42	N30	N5	N4			
		UFRGS 11779/TEC763B	riacho na Enseada do Benito	-25.17	-48.42	N27	N5	N4			
		UFRGS 11779/TEC763C	riacho na Enseada do Benito	-25.17	-48.42	N27	N5	N4		*	*
		UFRGS 11779/TEC763D	riacho na Enseada do Benito	-25.17	-48.42	N29	N5	N3			
<b>H. perstriatus</b>	Ribeira	UFRGS 11780/TEC784	rio Pariquera-açú	-24.69	-47.89	N3	N2	N5			
	de Iguape	UFRGS 11781/TEC806A	riacho afluente do Ribeira de Iguape	-24.66	-47.49	N2	N1	N5			
		UFRGS 11781/TEC806B	riacho afluente do Ribeira de Iguape	-24.66	-47.49	N1	N1	N7			
		UFRGS 11781/TEC806C	riacho afluente do Ribeira de Iguape	-24.66	-47.49	N2	N1	N5			
		UFRGS 11781/TEC806D	riacho afluente do Ribeira de Iguape	-24.66	-47.49	N4	N3	N6	*	*	*
		UFRGS 11782/TEC739A	riacho afluente do Ribeira de Iguape	-24.65	-47.48	N2	N1	N5	*		*
		UFRGS 11782/TEC739B	riacho afluente do Ribeira de Iguape	-24.65	-47.48	N2	N1	N5			
	Peruíbe	MCP 30554/276	riacho que drena para o oceano	-24.36	-47.04	N6	N10	N8			
		MCP 30555/294	riacho que drena para o oceano	-24.37	-47.05	N6	N10	N8			
		MCP 30561/316	riacho que drena para o oceano	-24.36	-47.04	N6	N10	N8	*	*	*
		UFRGS 11783/TEC817A	riacho Cachoiras da Anta na localidade de Guaraú	-24.36	-47.04			N8			
		UFRGS 11783/TEC817B	riacho Cachoiras da Anta na localidade de Guaraú	-24.36	-47.04	N6	N10	N8			
		UFRGS 11783/TEC817C	riacho Cachoiras da Anta na localidade de Guaraú	-24.36	-47.04	N6	N10	N8			
		UFRGS 11783/TEC817D	riacho Cachoiras da Anta na localidade de Guaraú	-24.36	-47.04	N6	N10	N8			
		UFRGS 11783/TEC817E	riacho Cachoiras da Anta na localidade de Guaraú	-24.36	-47.04	N6	N10	N8			
		UFRGS 11784/TEC 722A	riacho drenando para o mar na localidade de Guaraú	-24.37	-47.06	N6	N10	N8			
		UFRGS 11784/TEC 722B	riacho drenando para o mar na localidade de Guaraú	-24.37	-47.06	N6	N10	N8			
		UFRGS 11784/TEC 722C	riacho drenando para o mar na localidade de Guaraú	-24.37	-47.06	N6	N10	N8			
		UFRGS 11784/TEC 722D	riacho drenando para o mar na localidade de Guaraú	-24.37	-47.06	N6	N10	N8			
		UFRGS 11784/TEC 722E	riacho drenando para o mar na localidade de Guaraú	-24.37	-47.06	N6	N10	N8			
		UFRGS 11784/TEC 722F	riacho drenando para o mar na localidade de Guaraú	-24.37	-47.06			N10			
		UFRGS 11784/TEC 722G	riacho drenando para o mar na localidade de Guaraú	-24.37	-47.06	N6	N10	N8			

		UFRGS 11784/TEC 722H	riacho drenando para o mar na localidade de Guaraú	-24.37	-47.06	N6	N10	N8	*	*	*
<b>H.</b>	Santos	MCP 30560/290	arroio que deságua na baía de Santos	-23.84	-46.33	N12	N11	N8			
<b>multifasciatus</b>		MCP 30560/291	arroio que deságua na baía de Santos	-23.84	-46.33	N10	N9	N9			
		MCP 30559/341	arroio que deságua na baía de Santos	-23.86	-46.35	N10	N9	N9			
		MCP 30559/342	arroio que deságua na baía de Santos	-23.86	-46.35	N10	N9	N9			
		MCP 30559/343	arroio que deságua na baía de Santos	-23.86	-46.35	N10	N9	N9			
		MCP 30559/344	arroio que deságua na baía de Santos	-23.86	-46.35	N11	N9	N10	*	*	*
		UFRGS 11785/TEC820A	afluente do rio da Onça na estrada do Quilombo	-23.86	-46.35	N5	N9	N8			
		UFRGS 11785/TEC820B	afluente do rio da Onça na estrada do Quilombo	-23.86	-46.35		N9				
		UFRGS 11785/TEC820C	afluente do rio da Onça na estrada do Quilombo	-23.86	-46.35	N5	N9	N8			
		UFRGS 11785/TEC820D	afluente do rio da Onça na estrada do Quilombo	-23.86	-46.35		N9				
		UFRGS 11785/TEC820E	afluente do rio da Onça na estrada do Quilombo	-23.86	-46.35	N10	N9	N9	*	*	*
		UFRGS 11785/TEC820F	afluente do rio da Onça na estrada do Quilombo	-23.86	-46.35		N9				
		UFRGS 11785/TEC820G	afluente do rio da Onça na estrada do Quilombo	-23.86	-46.35	N10	N9	N9			
	Alto Tietê	UFRGS 11786/TEC826A	arroio na localidade de Paranapiacaba	-23.77	-46.33	N5	N9	N8		*	*
		UFRGS 11786/TEC826B	arroio na localidade de Paranapiacaba	-23.77	-46.33	N5	N9	N8			
		UFRGS 11786/TEC826C	arroio na localidade de Paranapiacaba	-23.77	-46.33	N5	N9	N8			
		UFRGS 11786/TEC826D	arroio na localidade de Paranapiacaba	-23.77	-46.33	N5	N9	N8			
		UFRGS 11786/TEC826E	arroio na localidade de Paranapiacaba	-23.77	-46.33	N5	N9	N8			
		UFRGS 11786/TEC826F	arroio na localidade de Paranapiacaba	-23.77	-46.33	N5	N9	N8			
		UFRGS 11786/TEC826G	arroio na localidade de Paranapiacaba	-23.77	-46.33	N5	N9	N8			
		UFRGS 11786/TEC826H	arroio na localidade de Paranapiacaba	-23.77	-46.33	N5	N9	N8			
		UFRGS 11787/TEC827A	arroio na localidade de Paranapiacaba	-23.77	-46.31	N5	N9	N8			
		UFRGS 11787/TEC827B	arroio na localidade de Paranapiacaba	-23.77	-46.31	N5	N9	N8			
		UFRGS 11787/TEC827C	arroio na localidade de Paranapiacaba	-23.77	-46.31	N5	N9	N8		*	*
		UFRGS 11787/TEC827D	arroio na localidade de Paranapiacaba	-23.77	-46.31		N9				
		UFRGS 11787/TEC827E	arroio na localidade de Paranapiacaba	-23.77	-46.31	N5	N9	N8			
		UFRGS 11787/TEC827F	arroio na localidade de Paranapiacaba	-23.77	-46.31	N5	N9	N8			
		UFRGS 11787/TEC827G	arroio na localidade de Paranapiacaba	-23.77	-46.31		N9				
		UFRGS 11787/TEC827H	arroio na localidade de Paranapiacaba	-23.77	-46.31	N5	N9	N8			
<b>H. sp. D</b>	Bertioga	MZUSP 63130	arroio no município de Bertioga	-23.77	-45.98			N8			
		UFRGS 11771/TEC888A	tributário rio Vermelho, estrada Rio-Santos km 208	-23.78	-46.00	N18	N15	N11			*
		UFRGS 11771/TEC888B	tributário rio Vermelho, estrada Rio-Santos km 208	-23.78	-46.00	N5	N9	N8			
		UFRGS 11771/TEC888C	tributário rio Vermelho, estrada Rio-Santos km 208	-23.78	-46.00	N5	N9	N8			
		UFRGS 11771/TEC888D	tributário rio Vermelho, estrada Rio-Santos km 208	-23.78	-46.00	N5	N9	N8			
		UFRGS 11771/TEC888E	tributário rio Vermelho, estrada Rio-Santos km 208	-23.78	-46.00	N5	N9	N8			
		UFRGS 11771/TEC888F	tributário rio Vermelho, estrada Rio-Santos km 208	-23.78	-46.00	N5	N9	N8			
		UFRGS 11771/TEC888G	tributário rio Vermelho, estrada Rio-Santos km 208	-23.78	-46.00	N8	N9	N8			
		UFRGS 11771/TEC888H	tributário rio Vermelho, estrada Rio-Santos km 208	-23.78	-46.00	N5	N9	N8			
		UFRGS 11777/TEC980A	tributário rio Vermelho, estrada Rio-Santos km 208	-23.78	-46.00	N5	N9	N8			*
		UFRGS 11777/TEC980B	tributário rio Vermelho, estrada Rio-Santos km 208	-23.78	-46.00	N7	N9	N8			
		UFRGS 11777/TEC980C	tributário rio Vermelho, estrada Rio-Santos km 208	-23.78	-46.00	N5	N9	N8			
		UFRGS 11777/TEC980D	tributário rio Vermelho, estrada Rio-Santos km 208	-23.78	-46.00	N5	N9	N8			
		UFRGS 11777/TEC980E	tributário rio Vermelho, estrada Rio-Santos km 208	-23.78	-46.00	N5	N9	N8			
		UFRGS 11777/TEC980F	tributário rio Vermelho, estrada Rio-Santos km 208	-23.78	-46.00	N9	N12	N8			

		UFRGS 11777/TEC980G	tributário rio Vermelho, estrada Rio-Santos km 208	-23.78	-46.00	N5	N9	N8			
		UFRGS 11777/TEC980H	tributário rio Vermelho, estrada Rio-Santos km 208	-23.78	-46.00			N8			
São Sebastião	MCP 30658/164		riacho no município de São Sebastião	-23.76	-45.71	N16	N14	N11			
	MCP 30660/166		riacho no município de São Sebastião	-23.79	-45.55	N14	N13	N11			
	UFRGS 11772/TEC891A		arroio Taquarubu	-23.76	-45.72	N16	N14	N11			
	UFRGS 11772/TEC891B		arroio Taquarubu	-23.76	-45.72	N16	N14	N11			
	UFRGS 11772/TEC891C		arroio Taquarubu	-23.76	-45.72	N16	N14	N11			
	UFRGS 11772/TEC891D		arroio Taquarubu	-23.76	-45.72		N14	N11			
	UFRGS 11772/TEC891E		arroio Taquarubu	-23.76	-45.72	N16	N14	N11			
	UFRGS 11772/TEC891F		arroio Taquarubu	-23.76	-45.72	N16	N14	N11			
	UFRGS 11772/TEC891G		arroio Taquarubu	-23.76	-45.72	N16	N14	N11			
	UFRGS 11772/TEC891H		arroio Taquarubu	-23.76	-45.72	N17	N14	N11			
	UFRGS 11795/TEC1229A		rio Boiçucanga na localidade de Boiçucanga	-23.77	-45.61	N16	N14	N11		*	*
	UFRGS 11795/TEC1229B		rio Boiçucanga na localidade de Boiçucanga	-23.77	-45.61	N15	N13	N1			
	UFRGS 11795/TEC1229C		rio Boiçucanga na localidade de Boiçucanga	-23.77	-45.61	N16	N14	N11			
	UFRGS 11795/TEC1229D		rio Boiçucanga na localidade de Boiçucanga	-23.77	-45.61	N13	N13	N1			
	UFRGS 11795/TEC1229E		rio Boiçucanga na localidade de Boiçucanga	-23.77	-45.61	N16	N14	N11			
	UFRGS 11795/TEC1229F		rio Boiçucanga na localidade de Boiçucanga	-23.77	-45.61		N14	N11			
	UFRGS 11795/TEC1229G		rio Boiçucanga na localidade de Boiçucanga	-23.77	-45.61	N15	N13	N1			
	UFRGS 11795/TEC1229H		rio Boiçucanga na localidade de Boiçucanga	-23.77	-45.61	N17	N14	N11			
	UFRGS 11788/TEC859A		rio Guaecá na estrada SP-55	-23.82	-45.45		N13	N11	*	*	*
	UFRGS 11788/TEC859B		rio Guaecá na estrada SP-56	-23.82	-45.45		N13				
<b>H. sp. E</b>	<b>Ilhabela</b>	MCP 30661/358	riacho na ilha	-23.83	-45.36	N19	N15	N11			
		MCP 30661/359	riacho na ilha	-23.83	-45.36	N19	N15	N11	*	*	*
		MCP 30662/383	riacho na ilha	-23.82	-45.36	N19	N15	N11			
		MCP 30662/384	riacho na ilha	-23.82	-45.36	N19	N15	N11			
		UFRGS 11773/TEC897A	riacho da Cachoeira da Toca	-23.82	-45.35	N20	N15	N11			
		UFRGS 11773/TEC897B	riacho da Cachoeira da Toca	-23.82	-45.35		N15	N11			
		UFRGS 11773/TEC897C	riacho da Cachoeira da Toca	-23.82	-45.35	N19	N15	N11	*		*
		UFRGS 11773/TEC897D	riacho da Cachoeira da Toca	-23.82	-45.35	N19	N15	N11			
		UFRGS 11773/TEC897E	riacho da Cachoeira da Toca	-23.82	-45.35	N19	N15	N11			
	<b>Ubatuba S</b>	MCP 30663/170	arroio a oeste de Ubatuba	-23.43	-45.13	N22	N16	N12			
		UFRGS 11774/TEC900A	arroio na estrada Ubatuba-Taubaté no km 88	-23.41	-45.11		N16	N11			
		UFRGS 11774/TEC900B	arroio na estrada Ubatuba-Taubaté no km 88	-23.41	-45.11	N21	N16	N12	*	*	*
	<b>Ubatuba N</b>	UFRGS 11789/TEC866A	valo na beira da estrada do Cambucá	-23.35	-44.87		N15				
		UFRGS 11789/TEC866B	valo na beira da estrada do Cambucá	-23.35	-44.87	N18	N15	N11	*		*
	<b>Toca do Boi</b>	MCP 30664/387	córrego da Toca do Boi, próximo a praia Trindade	-23.33	-44.68	N23	N15	N11			
		MCP 30664/388	córrego da Toca do Boi, próximo a praia Trindade	-23.33	-44.68	N23	N15	N11			
		MCP 30664/389	córrego da Toca do Boi, próximo a praia Trindade	-23.33	-44.68	N24	N15	N11			
		UFRGS 11775/TEC908A	córrego da Toca do Boi, próximo a praia Trindade	-23.33	-44.68	N25	N15	N13	*	*	*
		UFRGS 11775/TEC908B	córrego da Toca do Boi, próximo a praia Trindade	-23.33	-44.68	N23	N15	N11			
		UFRGS 11775/TEC908C	córrego da Toca do Boi, próximo a praia Trindade	-23.33	-44.68	N25	N15	N13			
		UFRGS 11775/TEC908D	córrego da Toca do Boi, próximo a praia Trindade	-23.33	-44.68	N25	N15	N13			
		UFRGS 11775/TEC908E	córrego da Toca do Boi, próximo a praia Trindade	-23.33	-44.68	N23	N15	N11			
		UFRGS 11775/TEC908F	córrego da Toca do Boi, próximo a praia Trindade	-23.33	-44.68		N15				
		UFRGS 11775/TEC908G	córrego da Toca do Boi, próximo a praia Trindade	-23.33	-44.68		N15				
		UFRGS 11775/TEC908H	córrego da Toca do Boi, próximo a praia Trindade	-23.33	-44.68		N15				
	<b>Paraty N</b>	MCP 30665/174	córrego que drena para o oceano	-23.08	-44.70	N32	N7	N14			
		MCP 30666/175	córrego que drena para o oceano	-23.04	-44.69	N35	N8	N14			
		UFRGS 11776/TEC909A	afluente do rio Taquari	-23.04	-44.60	N34	N8	N15			
		UFRGS 11776/TEC909B	afluente do rio Taquari	-23.04	-44.60	N33	N8	N14			
		UFRGS 11776/TEC909C	afluente do rio Taquari	-23.04	-44.60	N33	N8	N14			

	UFRGS 11776/TEC909D	afluente do rio Taquari	-23.04	-44.60	N33	N8	N14	*	*	*
	UFRGS 11776/TEC909E	afluente do rio Taquari	-23.04	-44.60		N8				
	UFRGS 11776/TEC909F	afluente do rio Taquari	-23.04	-44.60	N33	N8	N14			
	UFRGS 11776/TEC909G	afluente do rio Taquari	-23.04	-44.60	N33	N8	N14			
	UFRGS 11776/TEC909H	afluente do rio Taquari	-23.04	-44.60			N14			
<i>Rachoviscus crassiceps</i>	UFRGS 9356/TEC102							*	*	*
<i>Rachoviscus crassiceps</i>	UFRGS 9356/TEC103							*	*	*
<i>Rachoviscus graciliceps</i>	UFRGS 11116/TEC1117A							*	*	*
<i>Rachoviscus graciliceps</i>	UFRGS 11116/TEC1117B							*	*	*
<i>Rachoviscus graciliceps</i>	UFRGS 11116/TEC1117C							*	*	*

**Table 2.** Genes used in this study.

Gene	Primer sequence (liste from 5' to 3')	Source
16S ar	ACG CCT GTT TAT CAA AAA CAT	Palumbi (1996)
16S br	CCG GTC TGA ACT CAG ATC ACG T	Palumbi (1996)
sia/T3b	ATT AAC CCT CAC TAA AGT CGA GTG CCC CGT GTG YTT YGA YTA	Calcagnotto (2005)
sia/T7b	AAT ACG ACT CAC TAT AGG AAG TGG AAG CCG AAG CAG SWY TGC ATC AT	Calcagnotto (2005)
TROP F	GAG TTG GAT CGG GCT CAG GA GCG	Friesen et al. (1999)
TROP R	CGG TCA GCC TCT TCA GCA ATG TGC TT	Friesen et al. (1999)
COI-H2198	TAA AcT TcA ggg TgA ccA AAA AAT cA	Herbert et al (2003)
COI-L1490	ggT cAA cAA ATc ATA AAg ATA TTg g	Herbert et al (2003)
ND2-L5216	GGC CCA TAC CCC GRA AAT G	Sorenson (2003)
ND2-H6313	ACT CTT RTT TAA GGC TTT GAA GGC	Sorenson (2003)

**Table 3.** PCR conditions applied to each gene.

Primer	Desnaturation	Cycles	Extension
16S	95°C/10'	35x 95°C/30", 48°C/30", 72°C/45"	72°C/7'
SIA	95°C/5'	35x 95°C/30", 60°C/30", 72°C/45"	72°C/7'
TROP	95°C/10'	35x 95°C/30", 60°C/30", 72°C/45"	72°C/7'
COI	96°C/1'	40x 94°C/30", 50°C/20", 48°C/5", 46°C/5", 44°C/5", 42°C/5", 40°C/20", 72°C/1'	72°C/3'
ND2	94°C/4'	9x 94°C/30", 57°C-1°C/cycle/1', 72°C/1'30", 40x 94°C/30", 47°C/1', 72°C/1'30"	72°C/5'

**Table 4.** DNA diversity estimates for *Hollandichthys multifasciatus* using all the studied segments.

Approach	Segments	L	N	V	S	P	$\pi$	k	d	h	Hd	Nucleotide frequency			
												T	C	A	G
Phylogeny	Concatenated*	1704	45	331	179	314	0.035	36.28	0.037	31	0.981	0.31	0.24	0.28	0.17
	16S	423	45	9	9	9	0.006	2.406	0.006	6	0.757	0.24	9.23	0.27	0.25
	Sia	400	36	12	12	9	0.005	1.879	0.005	7	0.698	0.17	0.37	0.17	0.28
	Trop	192	40	3	3	3	0.003	0.046	0.003	4	0.424	0.14	0.19	0.28	0.39
Phylogeography	Concatenated*	1704	177	161	160	127	0.020	33.29	0.020	65	0.957	0.32	0.25	0.29	0.15
	COI	639	195	49	44	42	0.016	9.974	0.017	31	0.906	0.33	0.25	0.23	0.19
	ND2	1065	188	112	39	85	0.019	8.132	0.019	30	0.889	0.28	0.23	0.34	0.15

\* COI + ND2, only samples without missing data

L= lenght (bp)

N= number of sequences

h= number of haplotypes

V= variable sites

S= segregating sites

P= parsimony informative sites

$\pi$ = nucleotide diversity

k= average number of nucleotide differences

Hd= Haplotype diversity

SD= standart deviation

d= average of pairwise distance with kimura-2-parameter

**Table 5.** Fst values calculated to the concatenated dataset. Fst values in the upward diagonal and  $\phi_{st}$  values in the downward diagonal. Significant p values are presented in bold.

	Maq.	Mamp.	Arar.	Floria.	Joinv.	S.Franc.	Guarat.	Paran.	Laranj.	Iguape	Peruí.	Santos	A.Tietê	Bert.	S.Seab.	Ilhab.	Uba.S	Uba.N	Toca
Maq.		<b>0.64</b>	<b>0.65</b>	<b>0.39</b>	<b>0.62</b>	<b>0.53</b>	0.60	<b>0.50</b>	<b>0.42</b>	<b>0.54</b>	<b>0.73</b>	<b>0.47</b>	<b>0.74</b>	<b>0.55</b>	<b>0.45</b>	<b>0.65</b>	<b>0.53</b>	0.60	<b>0.47</b>
Mamp.	<b>0.91</b>		<b>0.54</b>	<b>0.33</b>	<b>0.50</b>	<b>0.40</b>	<b>0.46</b>	<b>0.40</b>	<b>0.34</b>	<b>0.45</b>	<b>0.67</b>	<b>0.40</b>	<b>0.68</b>	<b>0.48</b>	<b>0.38</b>	<b>0.57</b>	<b>0.40</b>	<b>0.46</b>	<b>0.39</b>
Arar.	0.21	<b>0.72</b>		<b>0.26</b>	0.43	0.28	0.34	<b>0.32</b>	<b>0.25</b>	<b>0.37</b>	<b>0.70</b>	<b>0.33</b>	<b>0.70</b>	<b>0.44</b>	<b>0.33</b>	<b>0.55</b>	0.28	0.34	<b>0.32</b>
Floria.	<b>0.70</b>	<b>0.75</b>	<b>0.64</b>		<b>0.20</b>	0.09	0.10	<b>0.16</b>	<b>0.12</b>	<b>0.20</b>	<b>0.43</b>	<b>0.19</b>	<b>0.43</b>	<b>0.27</b>	<b>0.19</b>	<b>0.32</b>	0.09	0.10	<b>0.17</b>
Joinv.	<b>0.98</b>	<b>0.96</b>	<b>0.90</b>	<b>0.37</b>		0.17	0.21	0.24	<b>0.18</b>	<b>0.30</b>	<b>0.68</b>	<b>0.27</b>	<b>0.68</b>	<b>0.39</b>	<b>0.27</b>	<b>0.51</b>	0.17	0.21	<b>0.25</b>
S.Franc.	<b>0.98</b>	<b>0.96</b>	<b>0.88</b>	<b>0.20</b>	0.00		0.00	0.12	0.07	0.18	<b>0.60</b>	0.16	<b>0.60</b>	<b>0.30</b>	0.17	<b>0.40</b>	0.00	0.00	0.14
Guarat.	<b>1.00</b>	<b>0.97</b>	0.93	<b>0.30</b>	0.81	0.74		0.14	0.08	0.21	<b>0.66</b>	0.19	<b>0.67</b>	0.33	0.19	0.46	0.00	0.00	0.16
Paran.	<b>0.90</b>	<b>0.90</b>	<b>0.81</b>	<b>0.63</b>	<b>0.75</b>	<b>0.72</b>	<b>0.74</b>		<b>0.15</b>	<b>0.24</b>	<b>0.55</b>	<b>0.22</b>	<b>0.56</b>	<b>0.32</b>	<b>0.22</b>	<b>0.40</b>	0.12	0.14	<b>0.21</b>
Laranj.	<b>0.99</b>	<b>0.98</b>	<b>0.97</b>	<b>0.92</b>	<b>0.97</b>	<b>0.97</b>	<b>0.98</b>	<b>0.94</b>		<b>0.19</b>	<b>0.47</b>	<b>0.18</b>	<b>0.47</b>	<b>0.27</b>	<b>0.18</b>	<b>0.33</b>	0.07	0.08	<b>0.16</b>
Iguape	<b>0.98</b>	<b>0.98</b>	<b>0.96</b>	<b>0.88</b>	<b>0.94</b>	<b>0.94</b>	<b>0.96</b>	<b>0.90</b>	<b>0.87</b>		<b>0.59</b>	<b>0.26</b>	<b>0.60</b>	<b>0.36</b>	<b>0.26</b>	<b>0.45</b>	0.18	0.21	<b>0.25</b>
Peruí.	<b>1.00</b>	<b>1.00</b>	<b>1.00</b>	<b>0.93</b>	<b>1.00</b>	<b>1.00</b>	<b>1.00</b>	<b>0.97</b>	<b>0.95</b>	<b>0.96</b>		<b>0.52</b>	<b>0.76</b>	<b>0.58</b>	<b>0.49</b>	0.69	0.60	0.66	<b>0.52</b>
Santos	<b>1.00</b>	<b>0.99</b>	<b>0.99</b>	<b>0.92</b>	<b>0.99</b>	<b>0.99</b>	<b>0.99</b>	<b>0.95</b>	<b>0.92</b>	<b>0.90</b>	<b>0.96</b>		<b>0.43</b>	<b>0.26</b>	<b>0.25</b>	<b>0.40</b>	0.16	0.19	<b>0.23</b>
A.Tietê	<b>1.00</b>	<b>1.00</b>	<b>1.00</b>	<b>0.93</b>	<b>0.99</b>	<b>0.99</b>	<b>1.00</b>	<b>0.97</b>	<b>0.95</b>	<b>0.93</b>	<b>1.00</b>	0.02		0.05	<b>0.49</b>	<b>0.70</b>	<b>0.60</b>	<b>0.67</b>	<b>0.53</b>
Bert.	<b>0.97</b>	<b>0.96</b>	<b>0.95</b>	<b>0.89</b>	<b>0.94</b>	<b>0.94</b>	<b>0.94</b>	<b>0.91</b>	<b>0.80</b>	<b>0.75</b>	<b>0.78</b>	0.08	0.12		<b>0.33</b>	<b>0.48</b>	<b>0.30</b>	0.31	<b>0.32</b>
S.Seab.	<b>0.99</b>	<b>0.99</b>	<b>0.98</b>	<b>0.94</b>	<b>0.98</b>	<b>0.98</b>	<b>0.98</b>	<b>0.96</b>	<b>0.95</b>	<b>0.95</b>	<b>0.97</b>	<b>0.96</b>	<b>0.97</b>	<b>0.90</b>		<b>0.38</b>	0.17	0.19	<b>0.23</b>
Ilhab.	<b>1.00</b>	<b>1.00</b>	<b>0.99</b>	<b>0.93</b>	<b>0.99</b>	<b>0.99</b>	<b>1.00</b>	<b>0.96</b>	<b>0.97</b>	<b>0.97</b>	<b>1.00</b>	<b>0.99</b>	<b>1.00</b>	<b>0.91</b>	<b>0.82</b>		<b>0.40</b>	0.46	<b>0.39</b>
Uba.S	<b>1.00</b>	<b>0.99</b>	<b>0.99</b>	<b>0.91</b>	0.99	0.99	1.00	<b>0.94</b>	<b>0.96</b>	<b>0.95</b>	<b>1.00</b>	<b>0.99</b>	<b>1.00</b>	<b>0.89</b>	<b>0.86</b>	<b>1.00</b>		0.00	0.14
Uba.N	<b>1.00</b>	<b>0.99</b>	0.98	<b>0.90</b>	0.98	0.98	1.00	<b>0.92</b>	<b>0.95</b>	<b>0.94</b>	<b>1.00</b>	<b>0.98</b>	<b>1.00</b>	<b>0.87</b>	<b>0.77</b>	0.00	1.00		0.03
Toca	<b>1.00</b>	<b>1.00</b>	<b>0.99</b>	<b>0.93</b>	<b>0.99</b>	<b>0.99</b>	<b>1.00</b>	<b>0.97</b>	<b>0.97</b>	<b>0.97</b>	<b>1.00</b>	<b>0.99</b>	<b>1.00</b>	<b>0.92</b>	<b>0.84</b>	0.00	<b>1.00</b>	0.00	
Par.N	<b>0.99</b>	<b>0.99</b>	<b>0.98</b>	<b>0.93</b>	<b>0.98</b>	<b>0.98</b>	<b>0.99</b>	<b>0.95</b>	<b>0.95</b>	<b>0.96</b>	<b>0.99</b>	<b>0.97</b>	<b>0.99</b>	<b>0.91</b>	<b>0.95</b>	<b>0.98</b>	<b>0.97</b>	<b>0.97</b>	<b>0.98</b>

## Figure legends

**Fig. 1.** Geographical distribution of the *Hollandichthys multifasciatus* complex. Each point represents a sampled locality and each color represents a different population. This color pattern are follow in figures 2 to 6.

**Fig. 2.** Bayesian tree inferred with Sia, Trop, 16S, COI and ND2 genes with 40 *Hollandichthys* samples and out-groups. Colored branches indicate the morphotype definition. Numbers in red are the divergence times proposed and in black the posterior probability above 0.7.

**Fig. 3.** Bayesian tree inferred with COI and ND2 genes for 201 *Hollandichthys* samples. Colored branches indicate the sampled population. Numbers in red are the divergence times proposed and in black the posterior probability above 0.7.

**Fig. 4.** Median-joining network among haplotypes, inferred by the concatenated dataset (COI+ND2). Each circle represents a different haplotype with proportional size according to their frequencies. Each color represents a population as in Fig. 1. Crossed markers indicate the number of mutations in the branch when it is bigger than one. Between the two major clades North and South there are 38 nucleotide modifications.

**Fig. 5.** Median-joining network among haplotypes to COI partition, showing the two major clades proposed. Each circle represents a different haplotype with proportional size according to their frequencies. Each color represents a population as in Fig. 1. Crossed markers indicate the number of mutations in the branch when it is bigger than one.

**Fig. 6.** Median-joining network among haplotypes to ND2 partition, showing the two major clades proposed. Each circle represents a different haplotype with proportional size according to their frequencies. Each color represents a population as in Fig. 1. Crossed markers indicate the number of mutations in the branch when it is bigger than one.

**Fig. 7.** Bayesian skyline plot showing the effective population size fluctuations in the time **(a)** to all *Hollandichthys multifasciatus* species complex (solid line = median; grey area = confidence interval); **(b)** just to sequences in the North clade (solid line = median; grey area = confidence interval); **(c)** just to sequences in the South clade (solid line = median; grey area = confidence interval).

**Fig. 8.** FCT values according to the number of groups (k) proposed to *Hollandichthys multifasciatus* species complex.



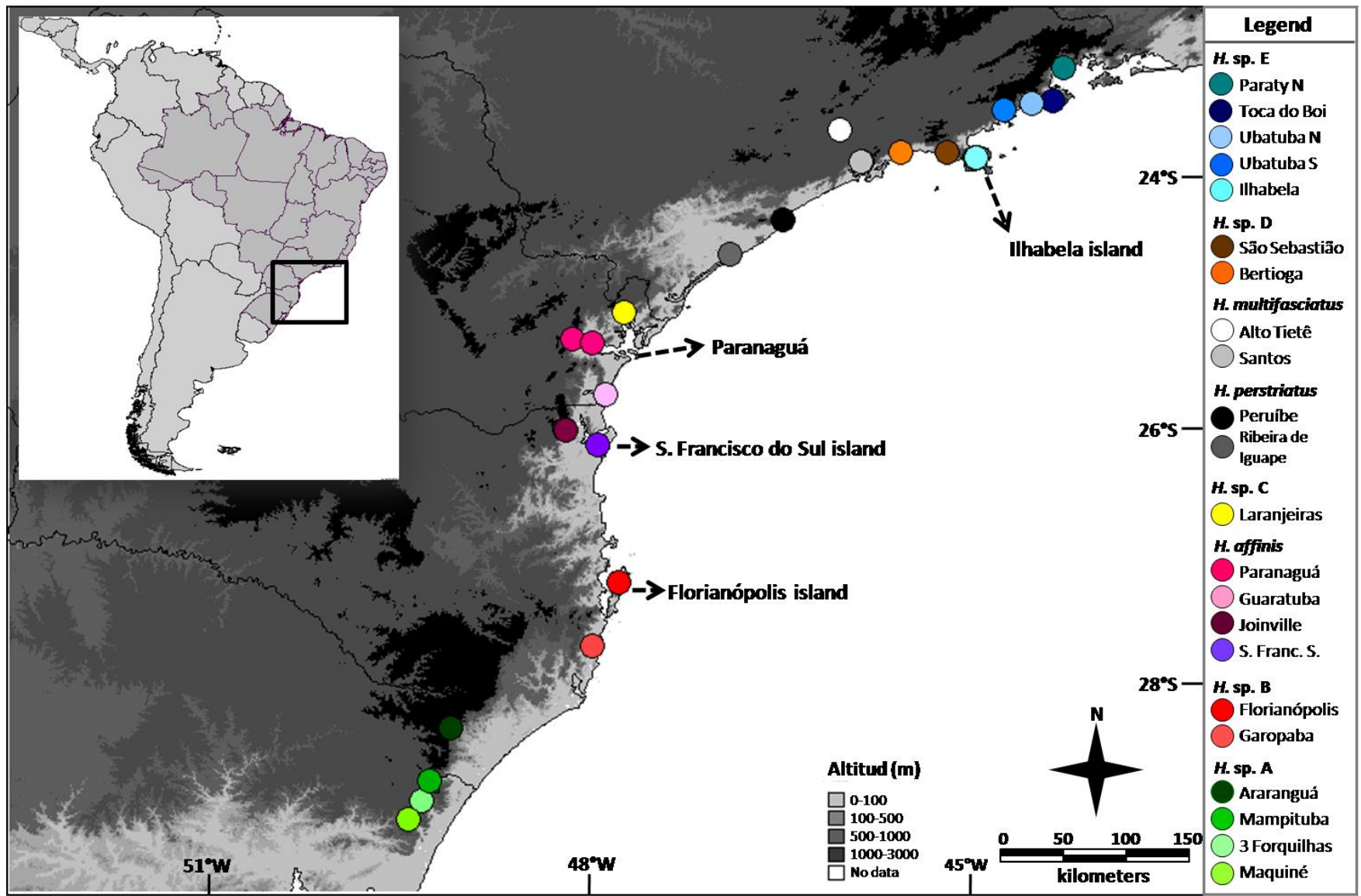


Fig. 1.

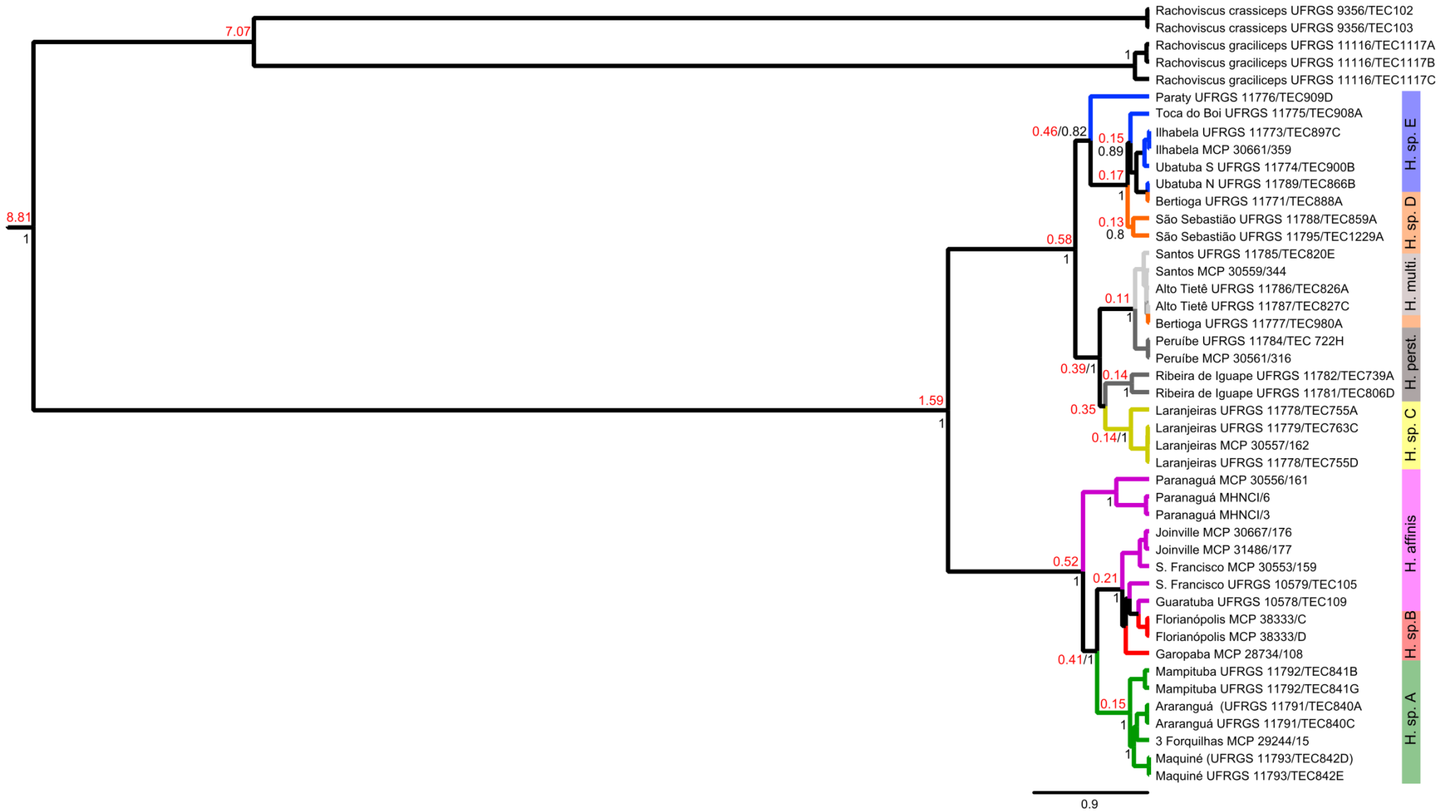


Fig. 2.

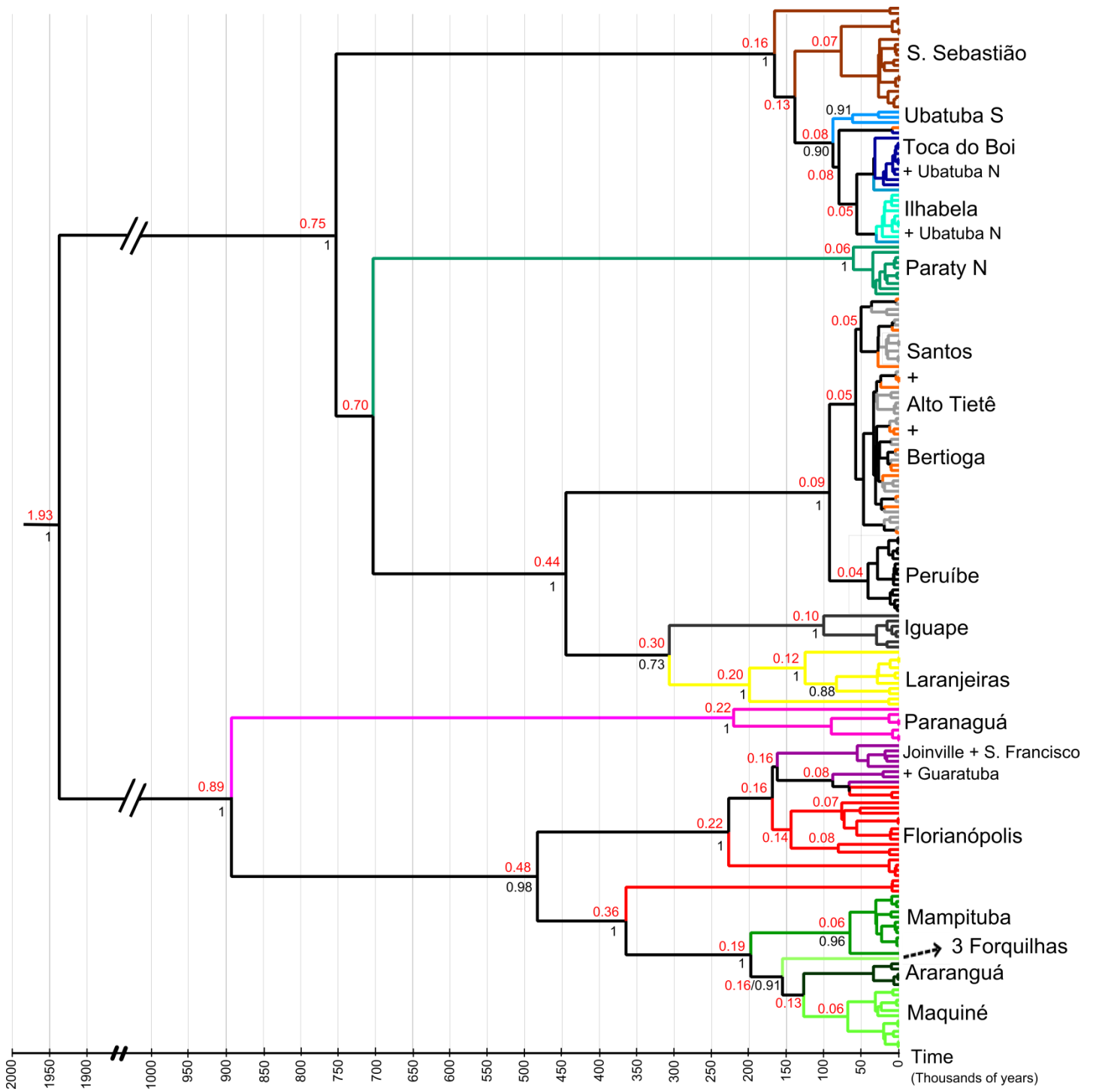


Fig. 3.

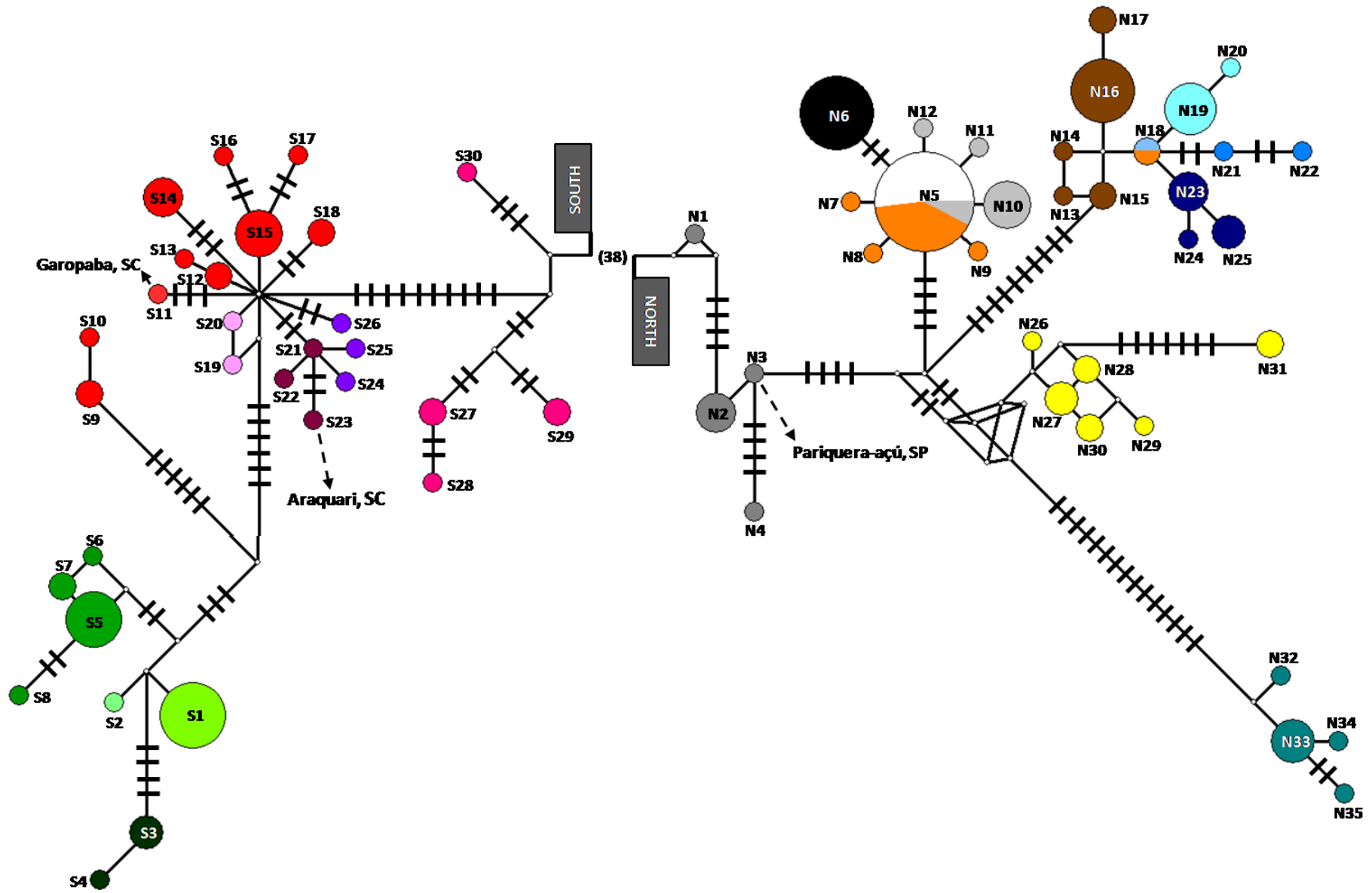


Fig. 4.

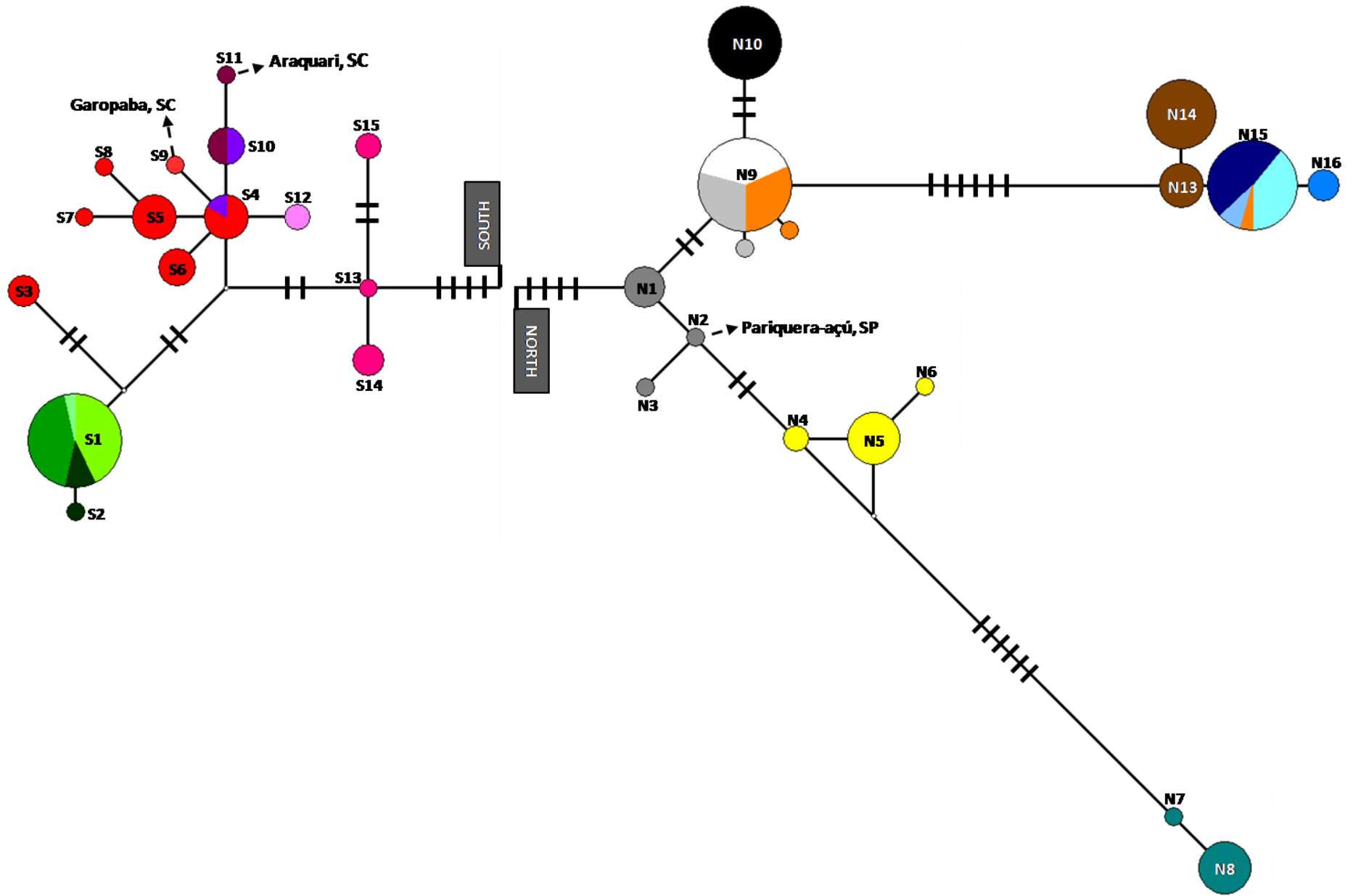


Fig. 5.

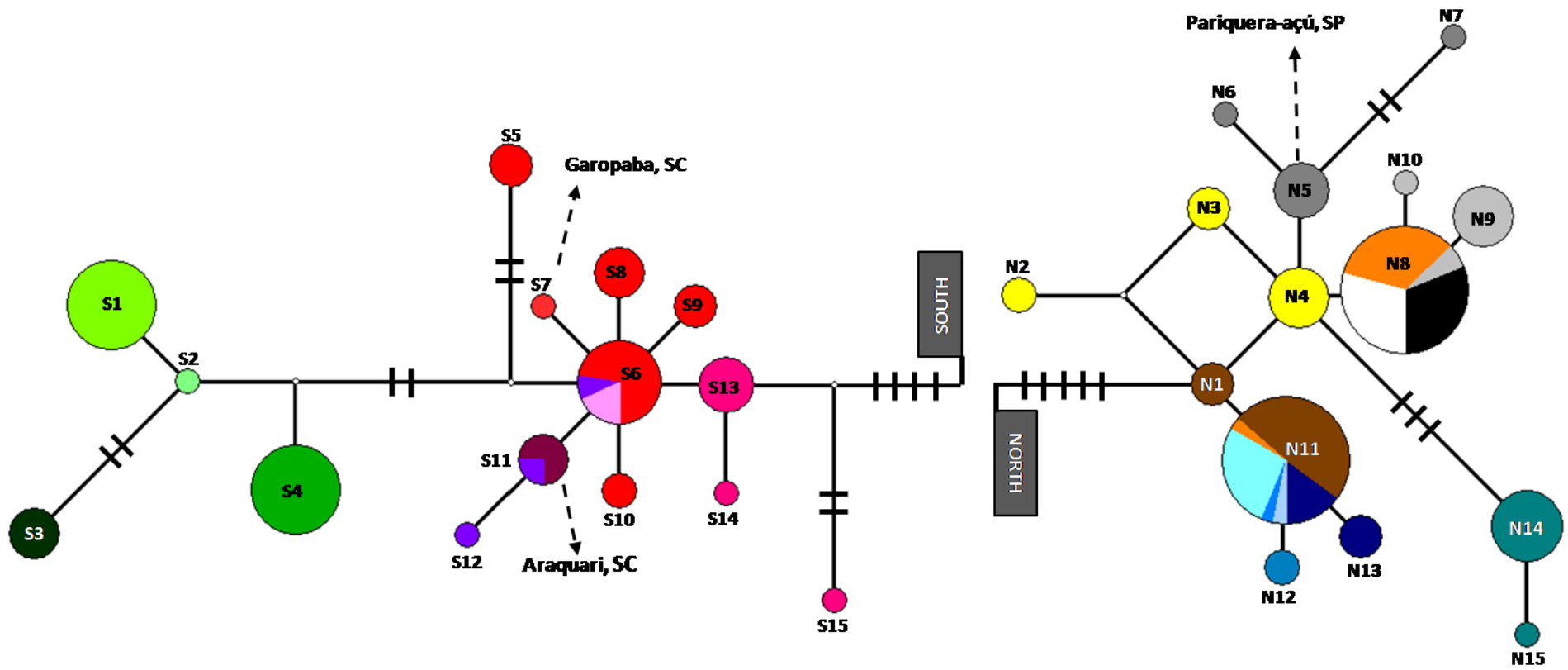


Fig. 6.

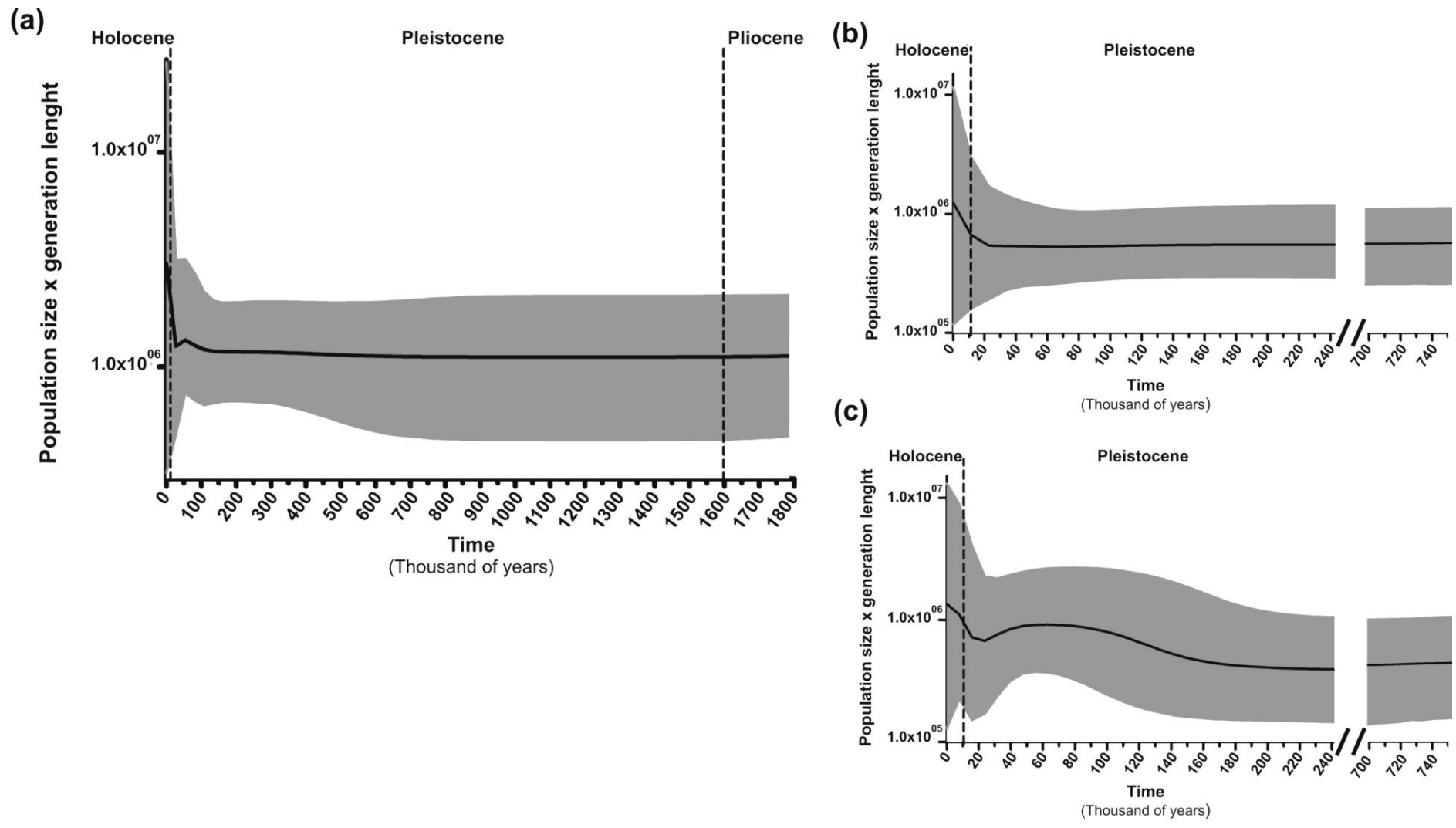


Fig. 7.

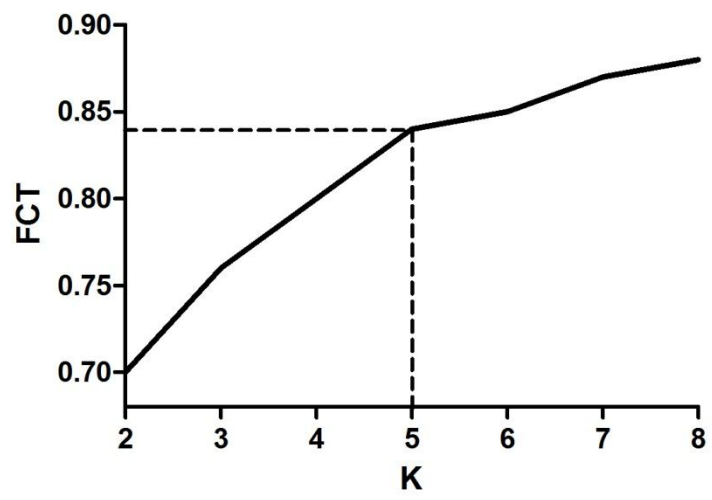


Fig. 8.



## CONCLUSÕES

*Hollandichthys multifasciatus* é caracterizado como um complexo de espécies, ao invés de compor um gênero monotípico. Corrobora-se com forte suporte a indicação do gênero *Rachoviscus* como grupo-irmão de *Hollandichthys*, refutando outras hipóteses anteriores, e o posicionando no clado “C” (*sensu* Javonillo et al., 2010) dentro da família Characidae. Outros táxons *incertae sedis* em Characidae (*Pseudochalceus*, *Nematocharax* e *Hyphessobrycon uruguayensis*) são posicionados filogeneticamente e pela primeira vez no clado “C” dessa família. Embora não tenha sido obtida uma resolução satisfatória das relações internas do clado “C”, este resultado possibilita a inferência de novas questões de relacionamentos entre estes táxons que antes não era possível devido aos seus posicionamentos duvidosos.

Dois grupos principais são claramente formados na filogenia do gênero. Um representa a distribuição ao norte e o outro ao sul da barreira inferida como o estuário de Paranaguá, sendo esta separação datada em torno de 1.9 Mya. Além disso, o estudo filogeográfico permitiu questionar a hipótese amplamente consolidada na literatura de que a presença de espécies em comum entre o alto Tietê e rios costeiros era relacionada a eventos de captura de cabeceira associados ao paleo rio Paraíba. Estes resultados suportam a presença extremamente recente de *Hollandichthys* no Alto Tietê, sendo possivelmente por introdução antrópica ou evento recente de captura de cabeceira em uma área geográfica bastante reduzida.

Os eventos de *bottleneck* e de aumento populacional observados estão mais correlacionados aos eventos climáticos e de expansão e retração da mata Atlântica, do que com os diversos eventos de subida e descida do mar, evidenciando a estreita relação desses peixes com o seu ambiente. O fato dos cladogramas apresentarem datas relativamente antigas comprova essa relação e demonstra que as diversas transgressões e regressões marinhas

recentes não tiveram um papel fundamental para as relações e distribuição atual de *Hollandichthys*. Algumas populações extremamente isoladas, por exemplo Paraty N, indica que o litoral bem recortado com muitas cadeias de montanhas são importantes barreiras para a dispersão desses peixes também. Já as ilhas, pelo seu curto tempo de isolamento, ainda apresentam uma relação próxima com algumas populações no continente.

Os resultados obtidos por este trabalho sugerem um padrão filogeográfico a ser testado para os demais componentes da ictiofauna vivente na região costeira do sudeste e sul do Brasil. A escassez de trabalhos filogenéticos e populacionais para peixes dessa região demonstra o pouco que se sabe sobre seus padrões de distribuição geográfica, refletindo na diversidade biológica que está ainda por ser descoberta. Além disso, essa região abriga a Mata Atlântica, um ecossistema que vem sofrendo grande ameaça por mais de 500 anos tendo sua área reduzida em aproximadamente 80%. Neste trabalho verificamos uma grande importância desse ecossistema para a manutenção da diversidade existente dentro do gênero *Hollandichthys*.

Estes resultados evidenciam a necessidade de mais estudos dessa natureza com o objetivo de avaliar o real grau de endemismo dos peixes ali viventes. Estes organismos se desenvolveram de maneira única devido a diversidade de fatores geológicos e climáticos da região que resultaram na diversidade e conformação atuais. Além de possibilitar um maior entendimento desses processos, estes estudos podem auxiliar em tomadas de decisões mais eficazes para a manutenção dessa diversidade, já que mais organismos do que se imaginava devem ser dependentes diretos desse bioma.

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